



# Applying Real-World Heavy-Duty Emissions Data to Inform Inventory Development

Presenter: Mo Chen

Mo Chen, Yirui Liang, Jiachen Zhang, David Quiros, Shaohua Hu, Tao Huai, Kevin Sothy, Belinda Chen, Shobna Sahni,  
John Karim

California Air Resources Board

# Background of EMFAC



# Decades of EMFAC Evolvement

*from humble beginnings...*



<https://arb.ca.gov/emfac/>

**EMFAC** CALIFORNIA AIR RESOURCES BOARD

EMISSIONS PROJECT ANALYSIS SCENARIO ANALYSIS FLEET DATABASE META

## Project Analysis

This project-level (PL) web tool provides emission rates under customized meteorological conditions (temperature and relative humidity) that users input. Please note that emission rates extracted from this web tool might be slightly different from those provided by EMFAC2021 software. These differences are expected for some special cases such as older passenger vehicles, transit buses, and SOx emissions and are mainly due to how the meteorological correction is being applied.

Model Version: **EMFAC2021 v1.0.1** EMFAC2017 v1.0.3

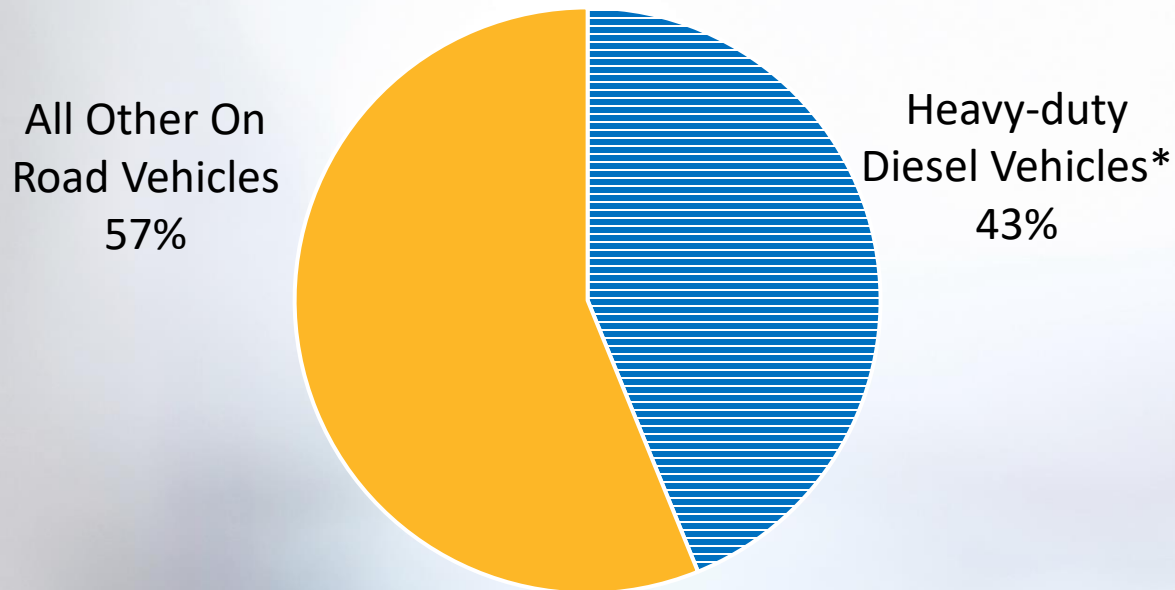
Region Type: **Sub-Area** County Metropolitan Planning Organization Air District Air Basin

Region: Los Angeles (SC)

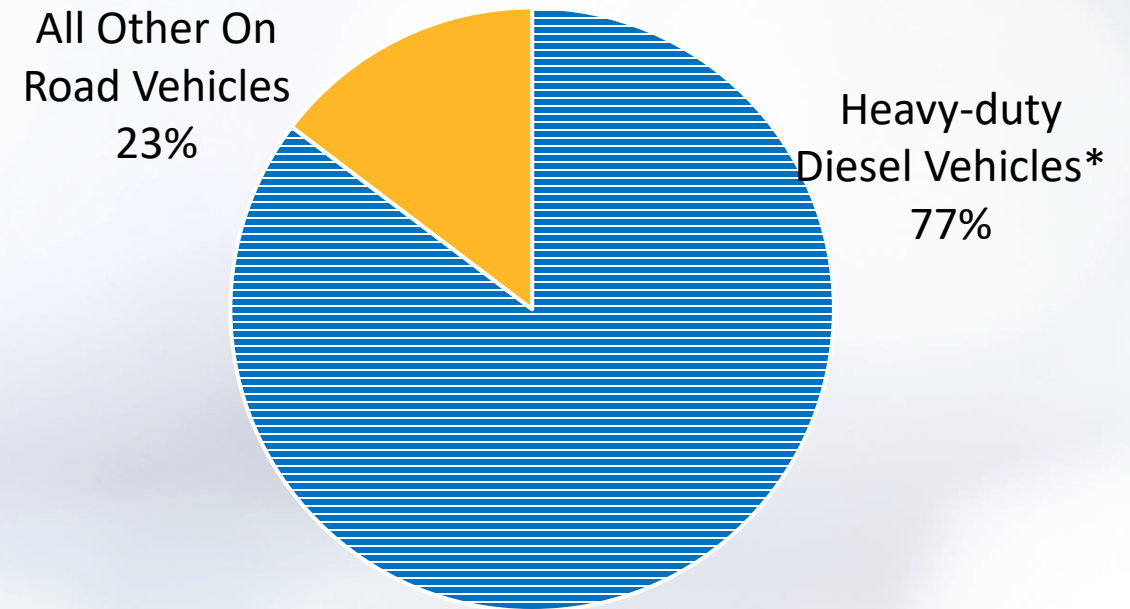
Map showing California regions: Del Norte (NC), Siskiyou (NEP), Modoc (NEP), Humboldt (NC), Shasta (SV), Lassen (NEP), Tehama (SV), Butte (MC), Glenn (SV), Sierra (MG), Placer (SV), Placer (LT), Lake (LC), Sonoma (NC), Solano (SV), Yuba (MC), Alameda (SF), Alameda (MC), Santa Cruz (N), Santa Cruz (SJV), Fresno (SJV), Inyo (GBV), Kern (SJV), Kern (MD), San Bernardino (MD), Santa Barbara (SL), Los Angeles (MD).

# Heavy-Duty Diesel Vehicles Are a Major Contributor to Air Pollution in CA

On-Road NOx



On-Road Diesel PM2.5



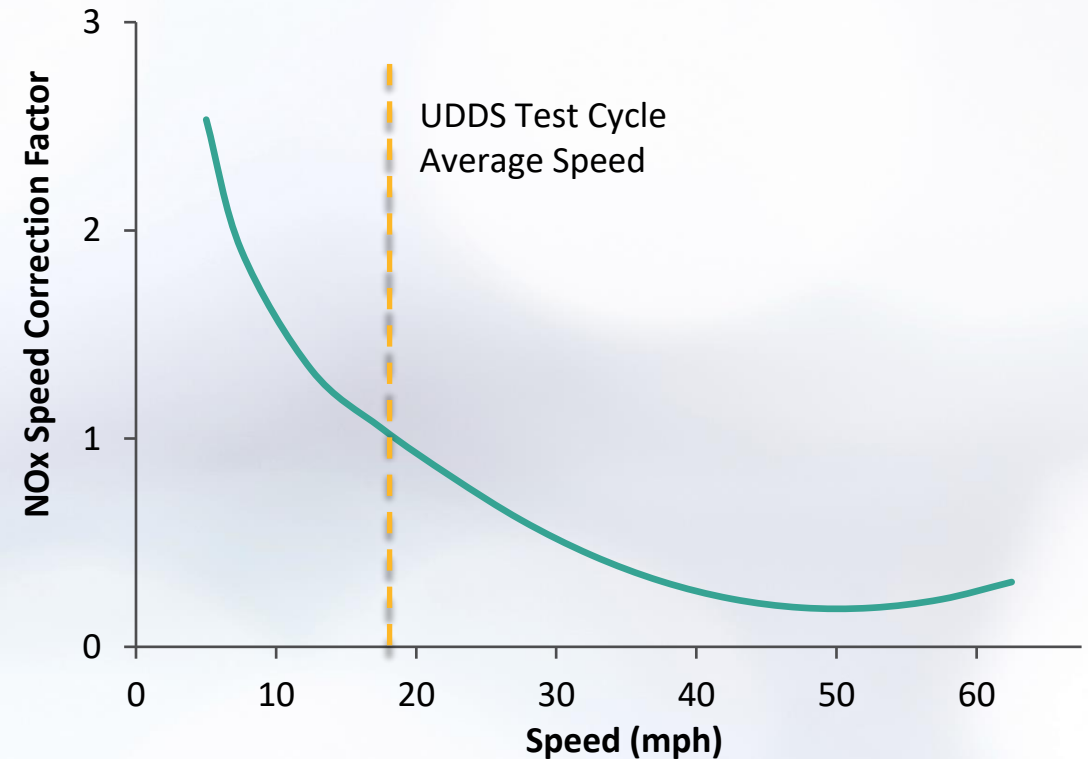
\*Gross vehicle weight rating >14,000 lbs  
Calendar Year 2023  
(EMFAC2021)

# Modeling Heavy-Duty (HD) Emission Rates in EMFAC

$$\text{Emission Rate} \left( \frac{g}{\text{mile}} \right) = \text{BER} \times \text{SCF}$$

- Base Emission Rates (BER) are developed for each Model Year group and weight class group (MHD/HHD).
- Speed correction factors (SCFs) account for variation of emissions under different vehicle speed.

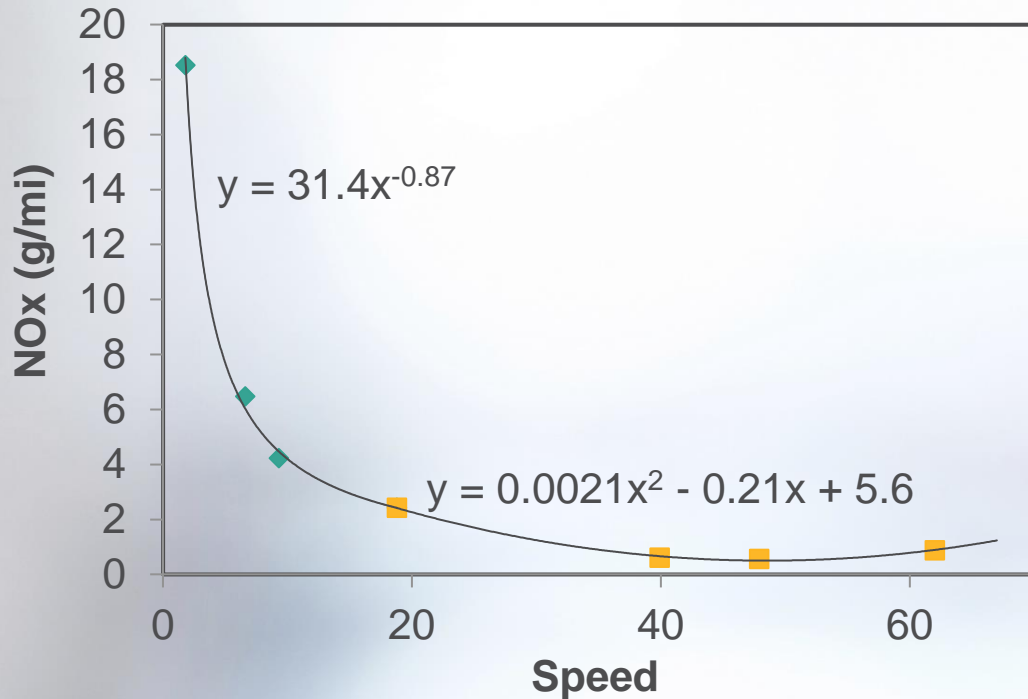
## Typical SCFs of SCR\*-equipped HD Vehicles



# Heavy-duty Vehicle Speed Correction Factors (SCFs) Modeling in EMFAC

Current SCFs developed using Dyno data

EMFAC2021 HHD 2013+MY



- EMFAC2021
  - Used mostly lab dyno testing data for HD emission rates and SCFs
  - SCFs differentiated by weight class (MHD, HHD)
- Potential EMFAC202Y Improvements
  - More detailed SCFs by vocation and MY group
  - One step forward to transition emission data analysis from lab dyno testing toward PEMS based approaches

# Heavy-duty Portable Emissions Monitoring Systems (PEMS) Testing

New Riverside Lab



# Heavy-duty Portable Emissions Monitoring Systems (PEMS) Testing

New Riverside Lab



**Goal:** to explore how PEMS can be used to better inform EMFAC HD emission rates





# Data Source of HDIUT PEMS

- Heavy-Duty In-Use Testing (HDIUT): a manufacturer-run program reported PEMS testing to USEPA and CARB since 2005
- 776 vehicles from 19 manufacturers
  - 566 were used for analysis, the rest were filtered out for either ambiguous vehicle info or missing data
- Testing date range: 2006 – 2021
- Engine model year range: 2003 – 2017
- Data type: 1Hz
  - NOx (and other pollutants) emissions
  - Vehicle speed
  - Temperature (ambient, exhaust)
  - Engine status (RPM, torque)
- Data length: typically 1-2 days

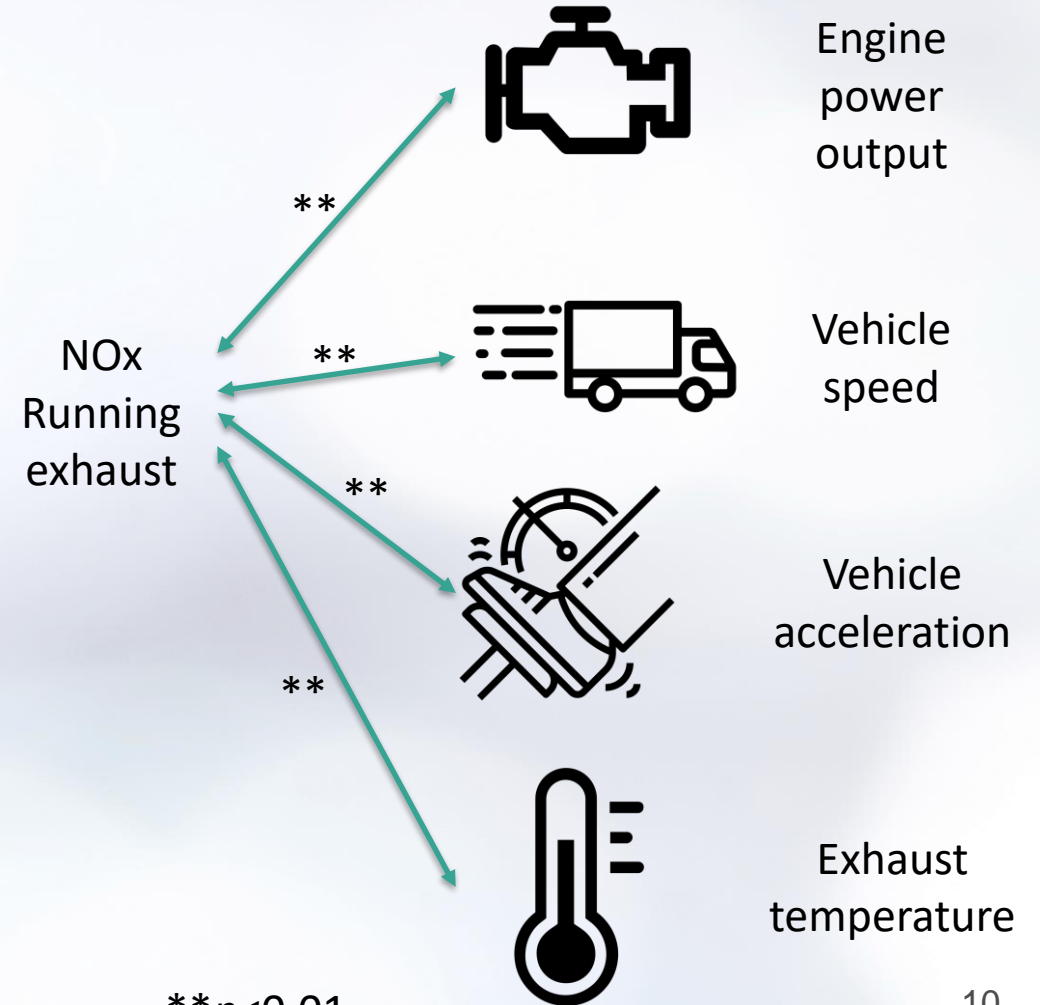


# Variables Correlated with Instantaneous NOx Emissions



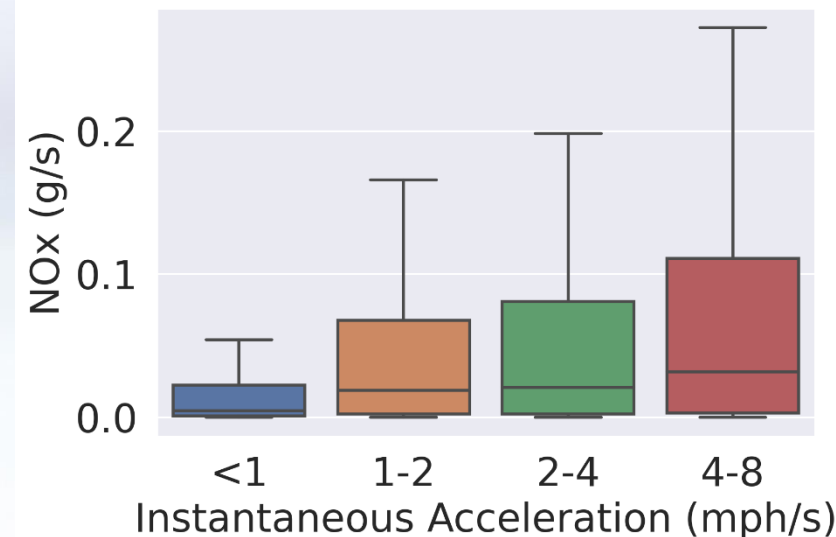
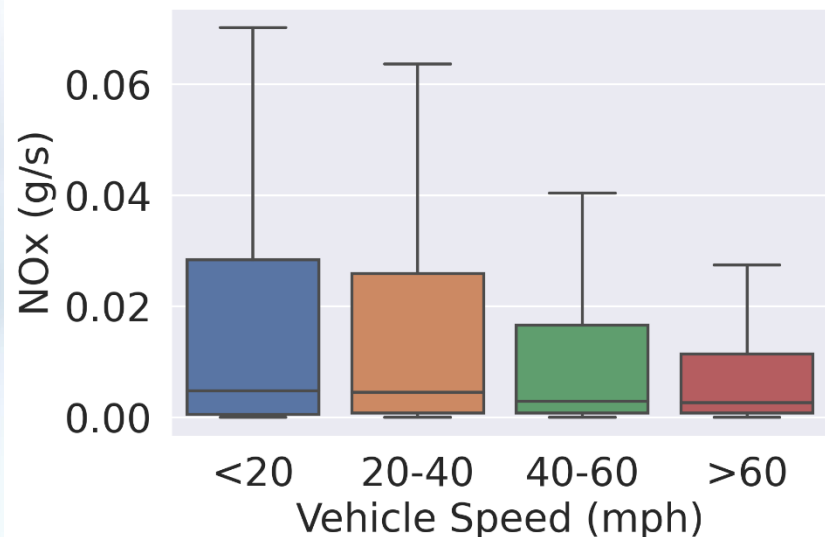
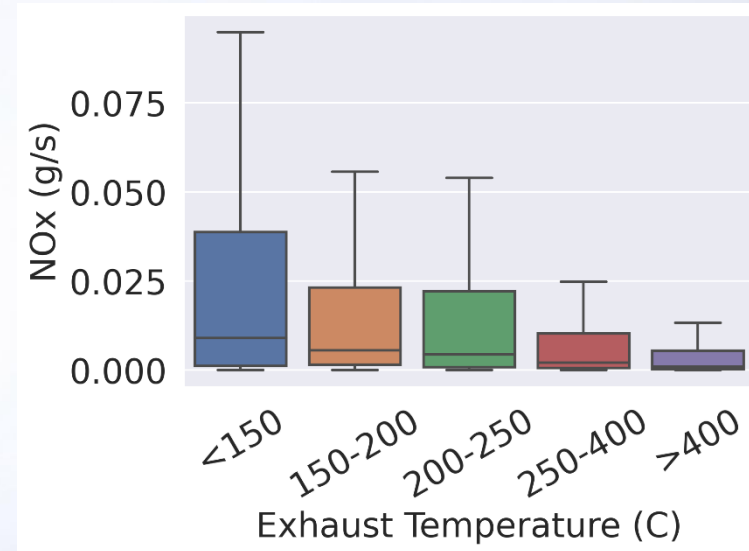
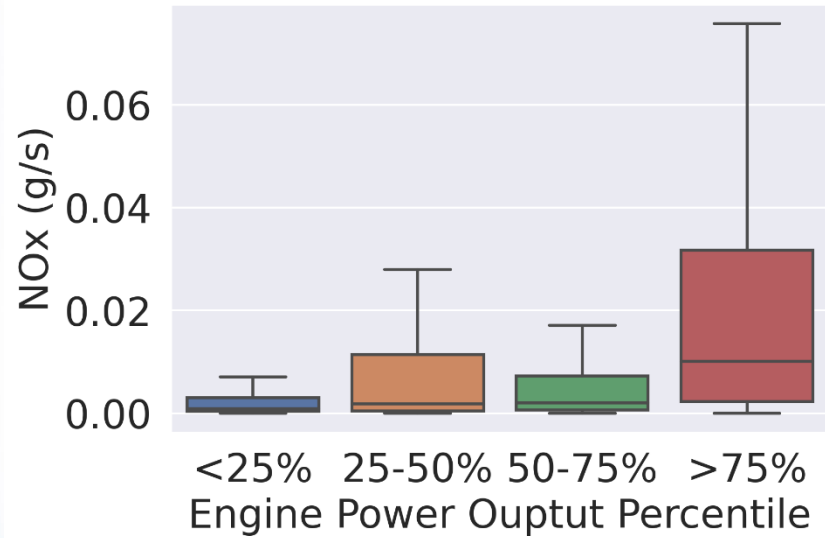
PEMS Data  
from 566 samples

Pre-2010 Model Year Class 4-7 Trucks
2010-2012 Model Year Class 4-7 Trucks
2013+ Model Year Class 4-7 Trucks
Pre-2010 Model Year Class 8 Trucks
2010-2012 Model Year Class 8 Trucks
2013+ Model Year Class 8 Trucks



# Variables Correlated with Instantaneous NOx Emissions

2013+ MY  
Class 8 Trucks



# Multivariable Regression Experiments

$$\text{NO}_x \text{ (g/s)} = a \cdot \text{HP} + b \cdot \text{Speed} + c \cdot \text{Acceleration} + d \cdot T_{exh} + e$$

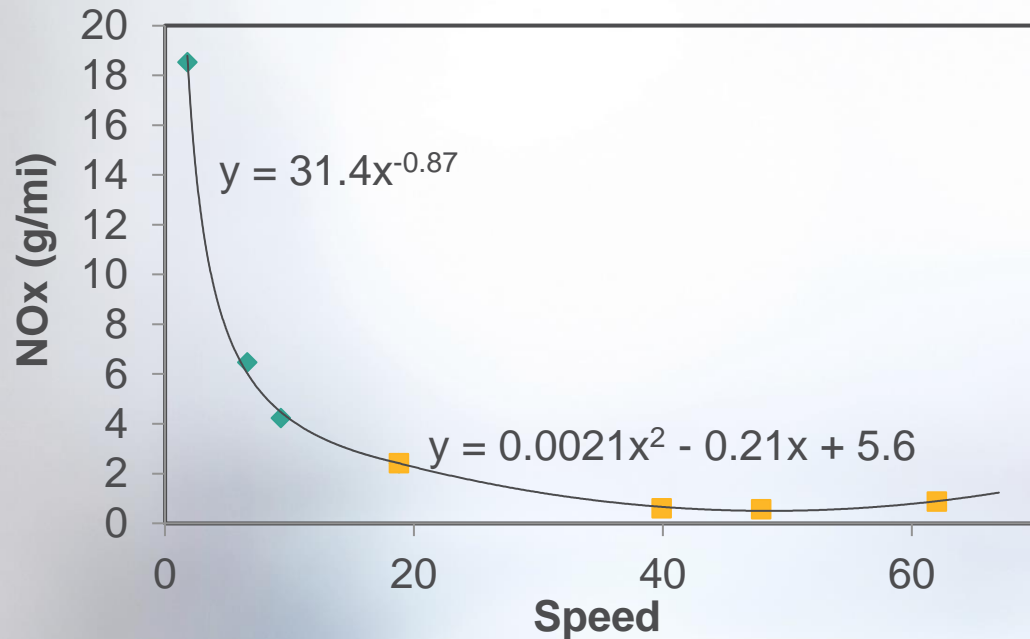
Subgroup	Pre-2010 MY Class 4-7	2010-2012 MY Class 4-7	2013+ MY Class 4-7	Pre-2010 MY Class 8	2010-2012 MY Class 8	2013+ MY Class 8
$r^2$	0.58	0.20	0.08	0.50	0.17	0.14

- Using linear regression, the four variables together can explain:
  - >50% of instantaneous NO<sub>x</sub> emissions for Pre-2010 Model Year trucks (no SCR equipped)
  - <20% of instantaneous NO<sub>x</sub> emissions for Post-2010 Model Year trucks (SCR equipped)

# Informing Emission Rates by Speed using PEMS

## Current SCFs Developed using Dyno data

### EMFAC2021 HHD 2013+MY

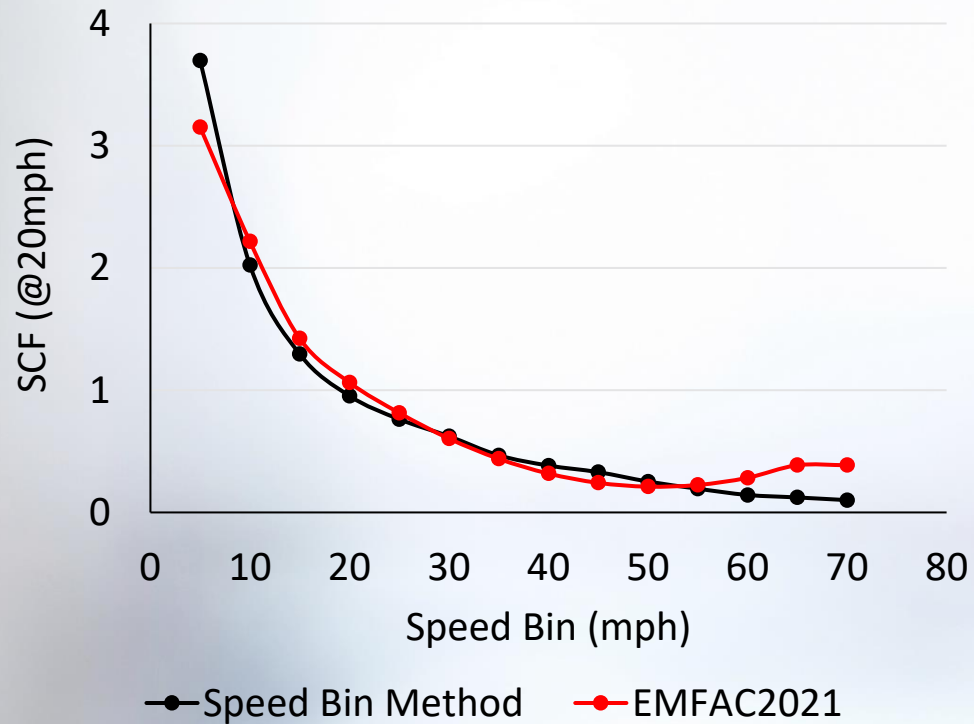



## Speed Bin Method

Local_Time	NOX_Mass_Sec_Final	Veh_Speed	Speed_Bins
183424	0.0375	6.773	10
183425	0.0419	8.987	10
183426	0.0361	10.693	15
183427	0.0346	12.076	15
183428	0.038	13.79	15
183429	0.0389	15.47	20
183430	0.0402	17.461	20

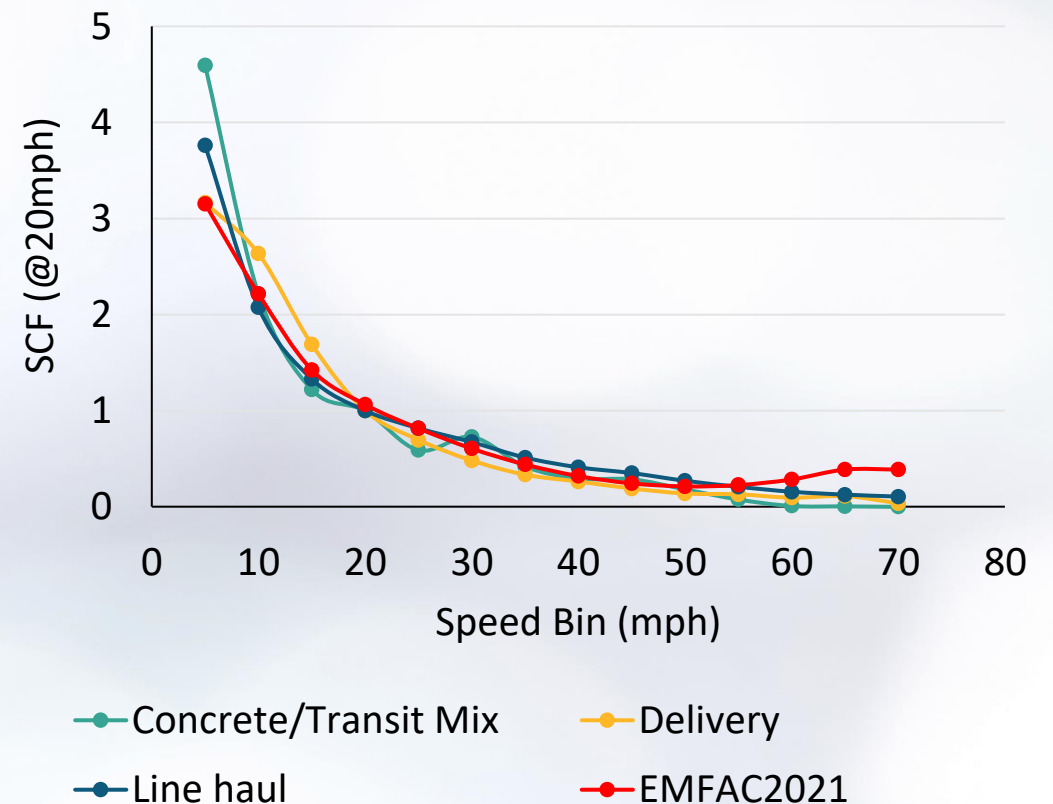
# Speed Bin Method using PEMS

HHD 2013+

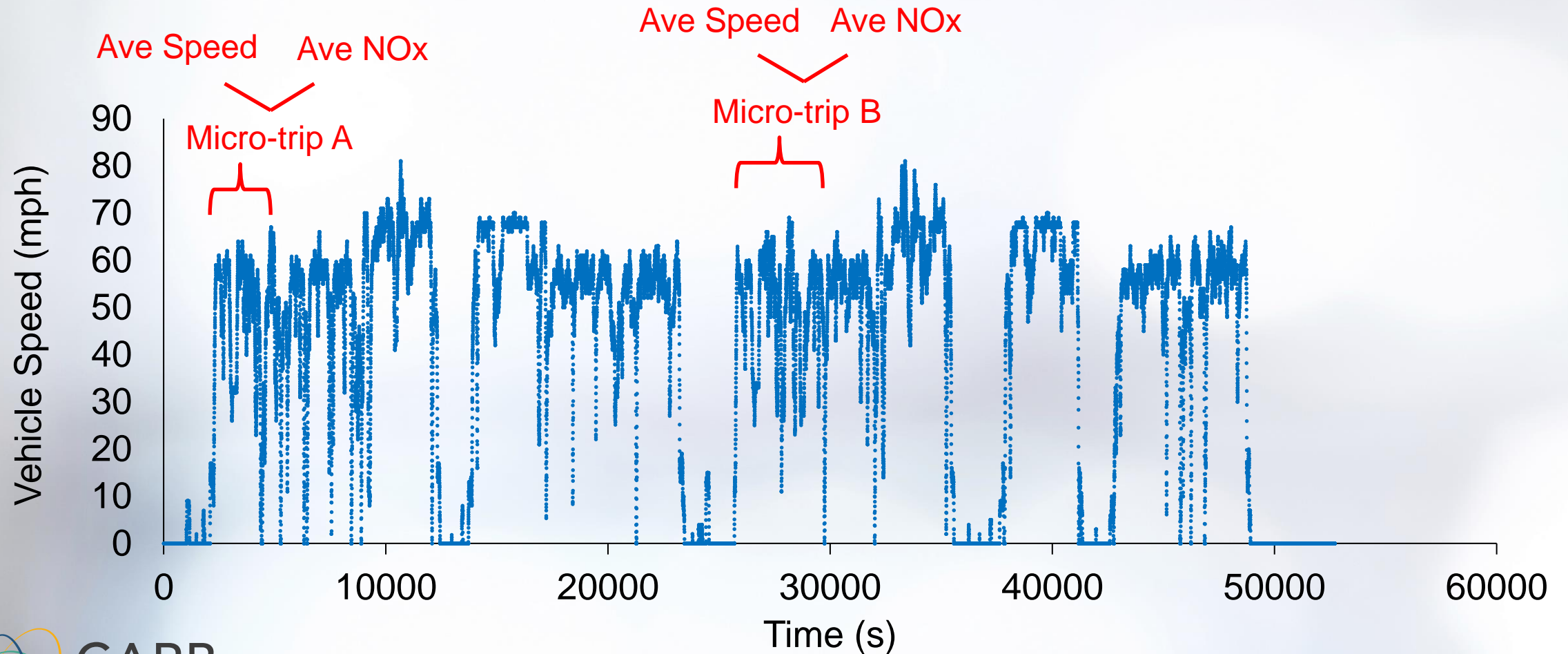


broken by vocations  


HDD 2013+

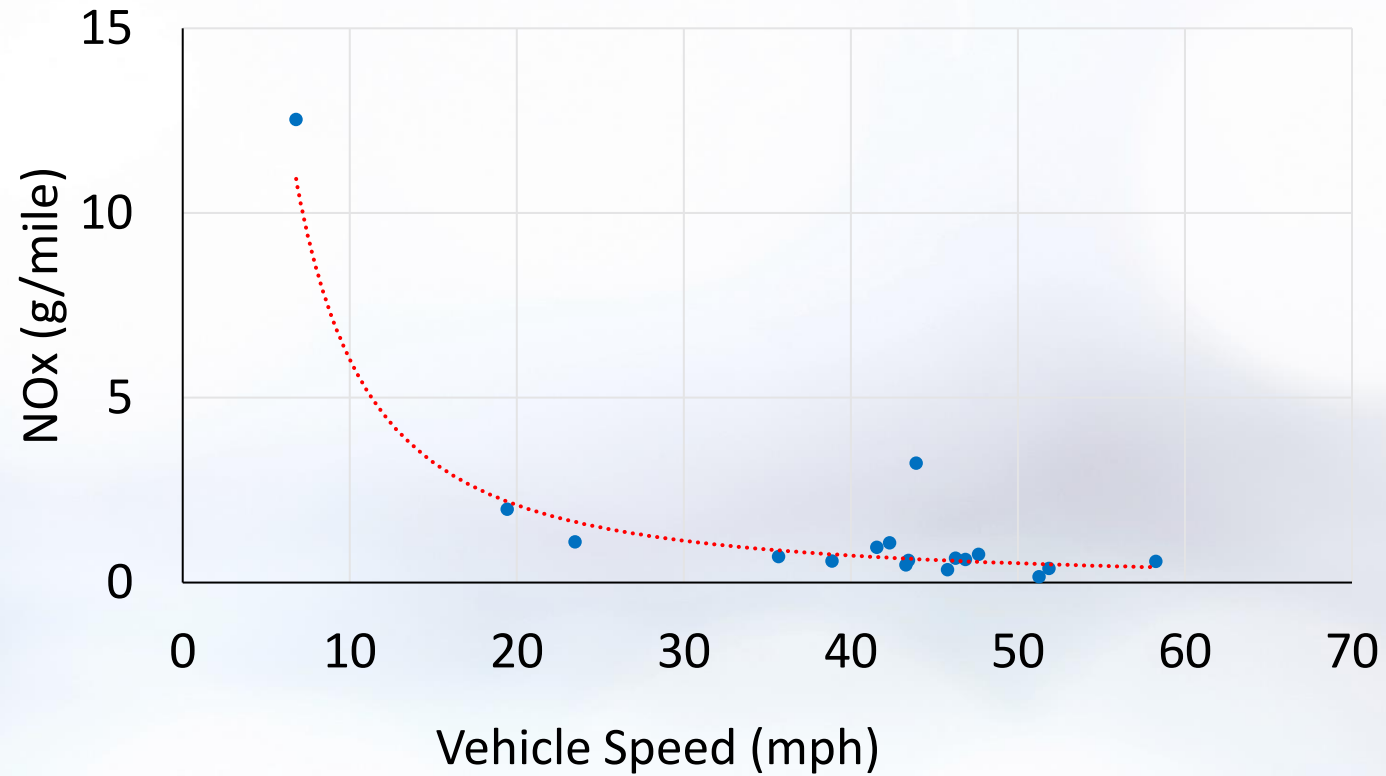


# Micro-trip Method using PEMS



# Micro-trip Method using PEMS

Single HDD 2013+ Vehicle Sample

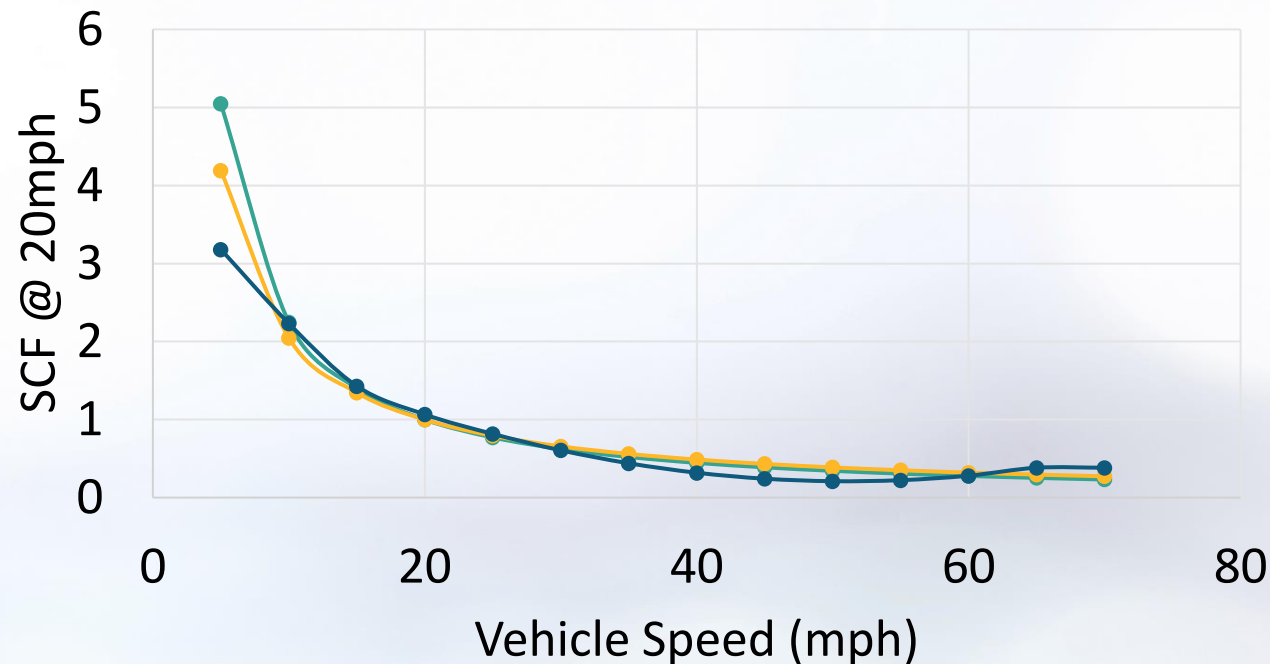




# Comparing Micro-trip Method with Chassis Dyno Data

NOx SCF

Data source: One sample HHD Engine MY 2019 truck from CARB TBSP\*



—●— chassis dyno —●— PEMS Micro-trip —●— EMFAC2021

\*TBSP: Truck and Bus Surveillance Program

# Discussion of Micro-trip Method

- Need to refine micro-trip definition
  - e.g., min/max trip length, filter out idling
- Incorporate multiple PEMS routes' data (city, highway) to make SCF curve fitting more representative
- Increase vehicle sample size across weight and vocation categories

# Summary

- **Engine power output (+), vehicle speed (-), vehicle acceleration (+), exhaust temperature (-)** are correlated with instantaneous NOx emissions, across all engine MY and weight class groups in HDIUT dataset.
- Using micro-trip method to analyze PEMS can give similar speed correction factors as using chassis dynamometer data, while providing larger sample size and higher vocation resolution.

# Next steps

- Evaluate and apply the two new methods to develop SCFs for EMFAC202Y.
- Keep using chassis dyno data to develop HD base emission rates, with continuing efforts of comparing emission rates derived from PEMS and dyno.
- Acquire more PEMS data through CARB internal testing programs and extramural contracts for further analysis

# Thank You!

Mo Chen, Ph.D.

Air Pollution Specialist

Mobile Source Technology Assessment and Modeling  
Section (MSTAMS)

California Air Resources Board

[mo.chen@arb.ca.gov](mailto:mo.chen@arb.ca.gov)

# Multivariable Regression Experiments

Pre-2010 MY Class 4-7 Trucks	$\text{NO}_x (g/s)$ $= 5.74 \times 10^{-4} \text{ HP} - 1.59 \times 10^{-6} \text{ Speed} + 1.22 \times 10^{-9} \text{ Acc} - 2.43 \times 10^{-5} T_{exh}$	$r^2 = 0.58$
2010-2012 MY Class 4-7 Trucks	$\text{NO}_x (g/s)$ $= 1.12 \times 10^{-4} \text{ HP} - 5.98 \times 10^{-5} \text{ Speed} + 1.59 \times 10^{-3} \text{ Acc} - 1.8 \times 10^{-5} T_{exh}$	$r^2 = 0.20$
2013+ MY Class 4-7 Trucks	$\text{NO}_x (g/s)$ $= 5.61 \times 10^{-5} \text{ HP} - 6.91 \times 10^{-5} \text{ Speed} + 1.29 \times 10^{-3} \text{ Acc} - 9.48 \times 10^{-6} T_{exh}$	$r^2 = 0.08$
Pre-2010 MY Class 8 Trucks	$\text{NO}_x (g/s)$ $= 5.05 \times 10^{-4} \text{ HP} - 2.23 \times 10^{-4} \text{ Speed} + 2.03 \times 10^{-3} \text{ Acc} - 7.52 \times 10^{-5} T_{exh}$	$r^2 = 0.50$
2010-2012 MY Class 8 Trucks	$\text{NO}_x (g/s)$ $= 9.7 \times 10^{-5} \text{ HP} - 1.85 \times 10^{-5} \text{ Speed} + 1.25 \times 10^{-2} \text{ Acc} - 1.94 \times 10^{-5} T_{exh}$	$r^2 = 0.17$
2013+ MY Class 8 Trucks	$\text{NO}_x (g/s)$ $= 8.68 \times 10^{-5} \text{ HP} - 2.13 \times 10^{-4} \text{ Speed} + 3.79 \times 10^{-3} \text{ Acc} - 2.82 \times 10^{-5} T_{exh}$	$r^2 = 0.14$

# Multivariable Regression Experiments

$$\text{NO}_x \text{ (g/s)} = A \cdot \text{HP} + B \cdot \text{Speed} + C \cdot \text{Acceleration} + D \cdot T_{\text{exh}}$$

Subgroup	A	B	C	D	$r^2$
Pre-2010 MY Class 4-7	$5.74 \times 10^{-4}$	$-1.59 \times 10^{-6}$	$1.22 \times 10^{-9}$	$-2.43 \times 10^{-5}$	0.58
2010-2012 MY Class 4-7	$1.12 \times 10^{-4}$	$-5.98 \times 10^{-5}$	$1.59 \times 10^{-3}$	$-1.8 \times 10^{-5}$	0.20
2013+ MY Class 4-7	$5.61 \times 10^{-5}$	$-6.91 \times 10^{-5}$	$1.29 \times 10^{-3}$	$-9.48 \times 10^{-6}$	0.08
Pre-2010 MY Class 8	$5.05 \times 10^{-4}$	$-2.23 \times 10^{-4}$	$2.03 \times 10^{-3}$	$-7.52 \times 10^{-5}$	0.50
2010-2012 MY Class 8	$9.7 \times 10^{-5}$	$-1.85 \times 10^{-5}$	$1.25 \times 10^{-2}$	$-1.94 \times 10^{-5}$	0.17
2013+ MY Class 8	$8.68 \times 10^{-5}$	$-2.13 \times 10^{-4}$	$3.79 \times 10^{-3}$	$-2.82 \times 10^{-5}$	0.14

# Multivariable Regression Experiments

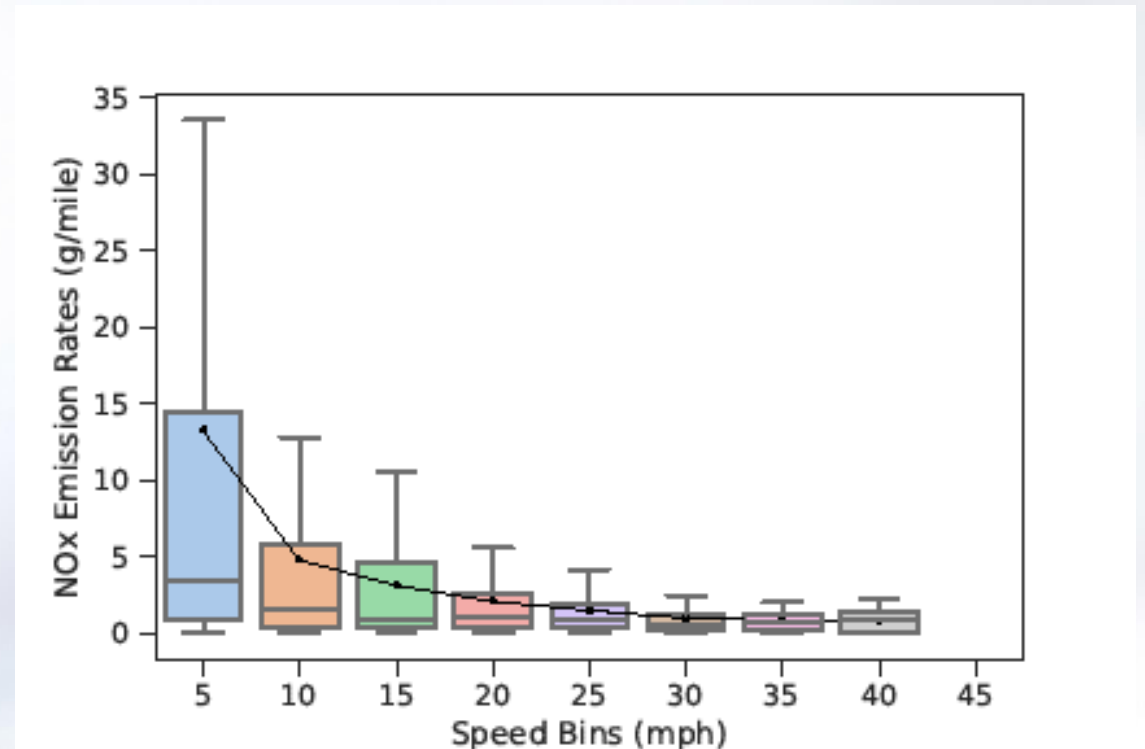
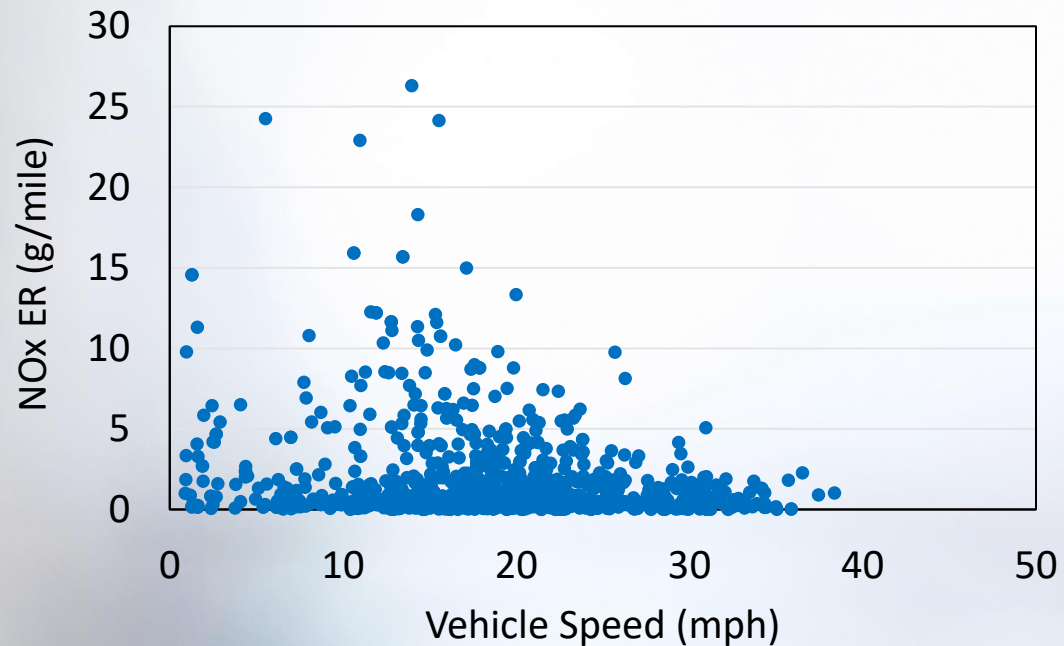
2013+ MY  
Class 8 Trucks

$$\text{NO}_x \text{ (g/s)} = A \cdot \text{HP} + B \cdot \text{Speed} + C \cdot \text{Acceleration} + D \cdot T_{\text{exh}}$$

	<i>A</i>	<i>B</i>	<i>C</i>	<i>D</i>	$r^2$
Regular Linear Regression	$8.68 \times 10^{-5}$	$-2.13 \times 10^{-4}$	$3.79 \times 10^{-3}$	$-2.82 \times 10^{-5}$	0.14
Simple Moving Average Regression	$4.84 \times 10^{-5}$	$-1.19 \times 10^{-4}$	$3.03 \times 10^{-2}$	$-2.23 \times 10^{-5}$	0.06
Exponentially Weighted Moving Average Regression	$7.95 \times 10^{-5}$	$-1.97 \times 10^{-4}$	$3.53 \times 10^{-2}$	$-2.34 \times 10^{-5}$	0.09

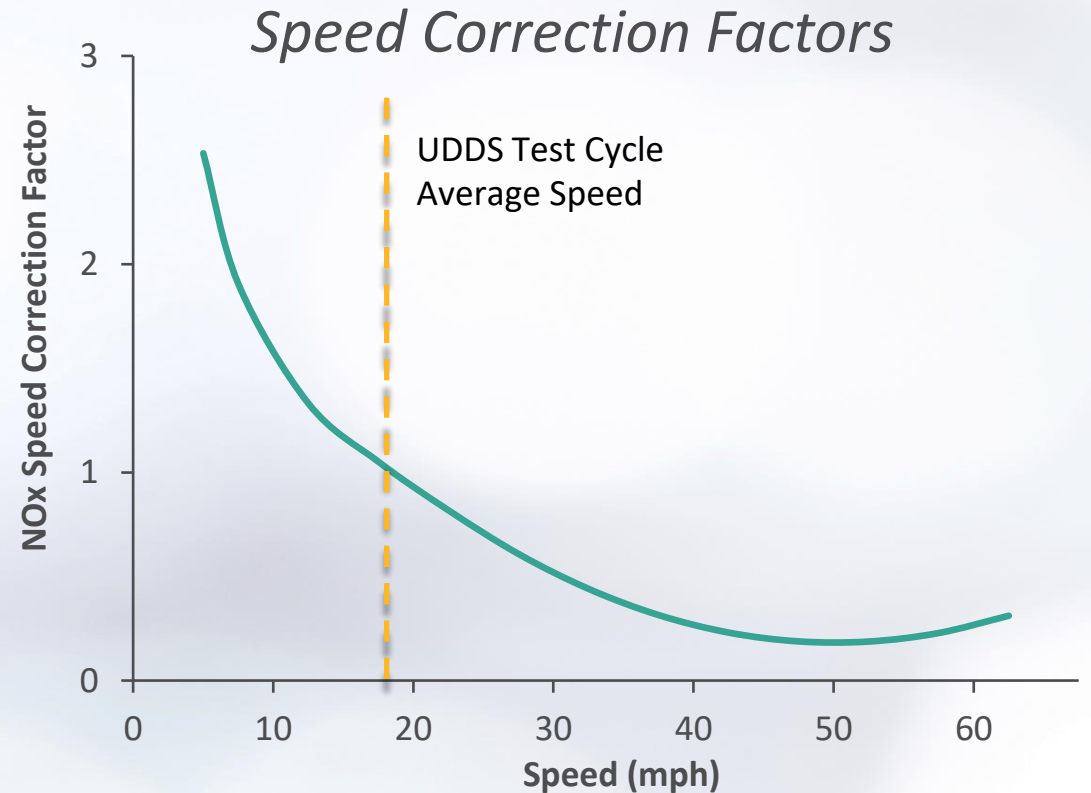


# Micro-trip Method using PEMS



# Modeling Heavy-Duty (HD) Emission Rates in EMFAC

$$\text{Emission Rate } \left(\frac{g}{\text{mile}}\right) = (ZMR + DR \times \text{Odometer}) \times SCF$$



Increasing percentage of high-emitting (up to 12X ZMR) vehicles w/ emissions after-treatment malfunction as the fleet ages → larger fleet-average emission rate

Speed correction factors (SCFs) account for variation of emissions for SCR\*-equipped vehicles under different operating conditions (e.g., low load)

\*SCR=Selective catalytic reduction