

Collaborative Drought Planning Using Scenario Exercises

<https://drought.unl.edu/scenarioguide>

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UNIVERSITY OF NEBRASKA

Planning Worksheet #1

Select an Exercise Type

This worksheet is intended to help you foster discussions with organizers and partners, weigh selection factors, and discover which exercise types are most compatible with your objectives, planning stage, and capacity. Please note: No worksheet can fully capture all of the unique needs and characteristics of your community or organization. This worksheet can help guide you when selecting an exercise.

Step 1		Step 2			
Using the results from your needs assessment, identify the factors that are relevant to and a priority for your agency or organization.		Fill in all of the circles for each row where you answered yes in step 1.			
Factors	Is this relevant and/or a priority? Yes/No	Workshop	Game	Tabletop	
What do you hope to accomplish with the exercise?	Collect or share information	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	
	Write a component of your drought plan	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	
	Create consensus around a planning challenge	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	
	Educate participants about the complexities of drought	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	
	Generate mitigation and response strategies	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	
	Evaluate strategies, policies, or procedures	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	
	Train staff	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	
	Discover gaps in resources	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	
	Improve communications, collaboration, and/or coordination	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	
	Practice decision-making or implementing policies and procedures	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	
Who do you want to participate in the exercise?	Identify strengths and weaknesses of an existing plan	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	
	The public	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	
What level of interaction would you like participants to have with planning experts?	Agency or organizational staff with responsibilities related to managing drought or its effects	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	
	Create opportunities for participants to ask questions or work closely with planning experts	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	
		Focus on interactions among participants with minimal interactions with planning experts	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>



Sample size



Which group(s) will your evaluation target?


Will you target the entire group or just a sample?

Comparison group






NORTH CENTRAL REGION
WATER NETWORK



NATIONAL DROUGHT MITIGATION CENTER
UNIVERSITY OF NEBRASKA



NCRCD
North Central Regional Center
for Rural Development

Funding support provided by the North Central Region Water Network and North Central Regional Center for Rural Development



1 website



18
worksheets



10
case
studies



5 publications



27
exercises

20
Years



10 states



5
countries

30 years of drought planning



Overview

Drought Planning

Types

Processes

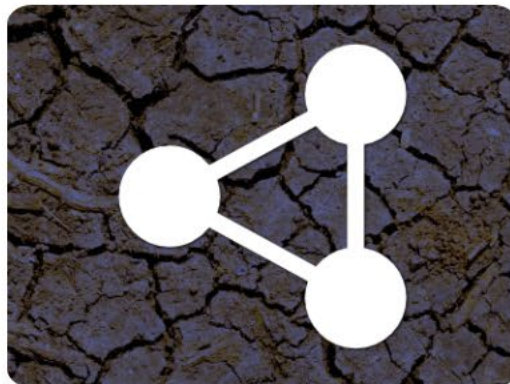
Resources

Drought Planning



Introduces drought planning and the role that scenario-based exercises play in preparedness.

Types



Describes the three forms of exercises and presents information to aid selection.

Process



Lays the groundwork for designing a successful drought scenario-based exercise.

Resources



Provides resources including case studies, research findings, a glossary, and references.

<https://drought.unl.edu/scenarioguide>

“

The event group was friendly, energetic, helpful, knowledgeable, and passionate. This event was a great way to meet new people who are working in different fields, with different ideas and opinions.

It was a great way to work together towards an end goal.”

Activate Windows
Go to Settings to activate Windows.

What are scenario exercises?

Activities used to plan & manage a hypothetical disaster



Bridgelynn

Challenge: During a drought, the demand for water is higher than usual. As the drought progresses, the water demand increases and leads to a pump failure in one of your municipal wells, which leads to a reduction of water supply to some communities. The pump repair will take 3 days. Ensure that people will have enough drinking water during those days.

Option A Decrease water demand Implement conservation practices and decrease demand on other pumps in the city.	Option B Increase water supply Bring more water into the area affected by pump failure.
MONITORING	MONITORING
Water use maps	Groundwater maps & Information about vulnerable populations
PUBLIC INFORMATION AND WARNING	TRANSPORTATION
Prepared public information messages OR Education on conservation practices	Water supply tanks

Drought

A period of drier-than-normal weather that lasts long enough to cause water shortages. It depends

on:



Location



Season



User Needs

**Drought means
different things to
different people**

**Drought
perspectives**



Meteorological



Agricultural



Hydrological



Ecological



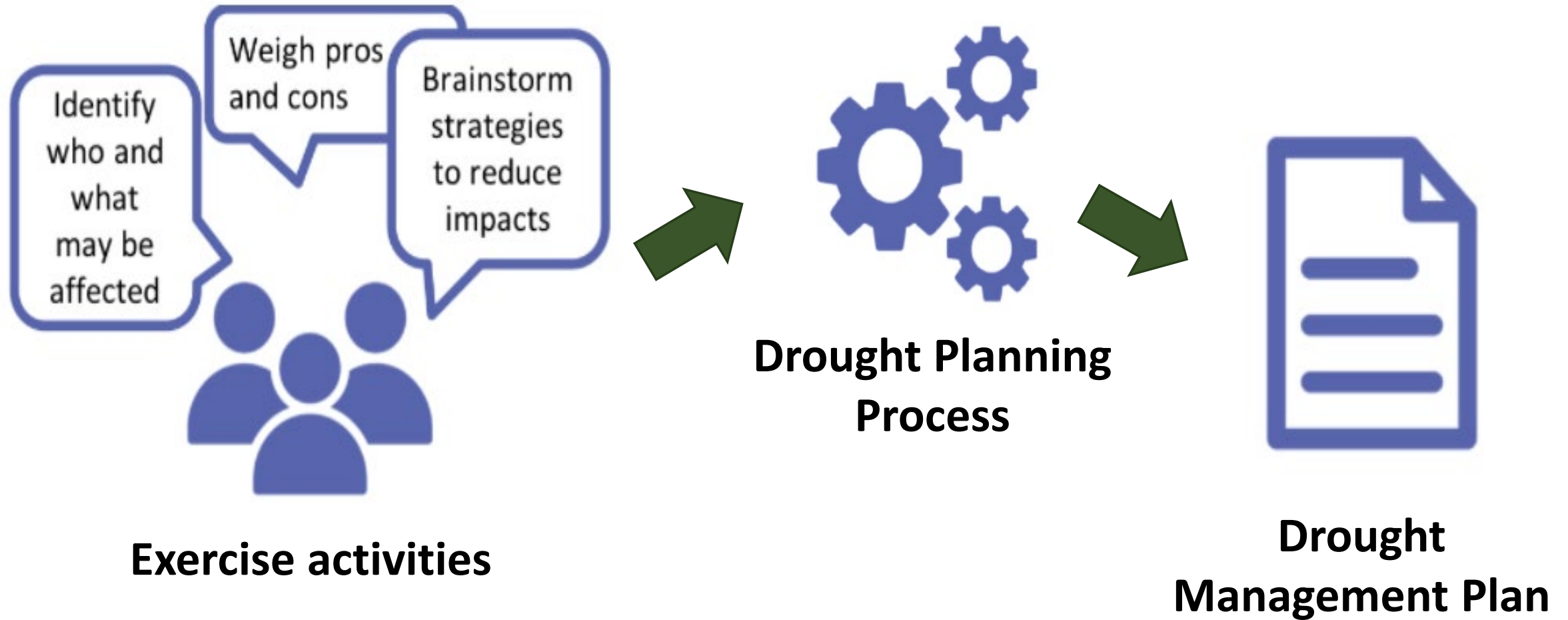
Socio-economic



Drought Impacts



Prepare for impacts





Scenario exercises can be used in any part of the planning process

Drought Planning Process



Where do I start?

No matter where you are in the drought planning process, scenario-based exercises can make a contribution. They can be used to kick off your community's drought planning efforts or to help revise an existing plan. **Click the icons below to find out.**

Scope, scale, and leadership

Start by building a leadership team that includes people who build community-wide involvement, coordinate plan development, and implement the plan in times of drought. The team will identify the developing plan's purpose, objectives, and geographic or political boundaries.



Engagement process

Involving the community can help you better understand the different ways that people think about drought and how it affects them.

Information gathering

Gathering information helps create a picture of past conditions and provides a foundation for developing a community-tailored plan.



Monitoring and early warning

Monitoring climate and water resources helps you recognize drought, alert the community to developing conditions, and trigger response to help avoid a crisis.

Mitigation and response actions

Mitigation can help your community lessen a drought's impacts before it arrives and developing response actions can help your community cope once a drought is taking place.



Writing the plan

Putting the pieces together into a formal document allows people to see what can be expected during a drought event, eliminating uncertainty.

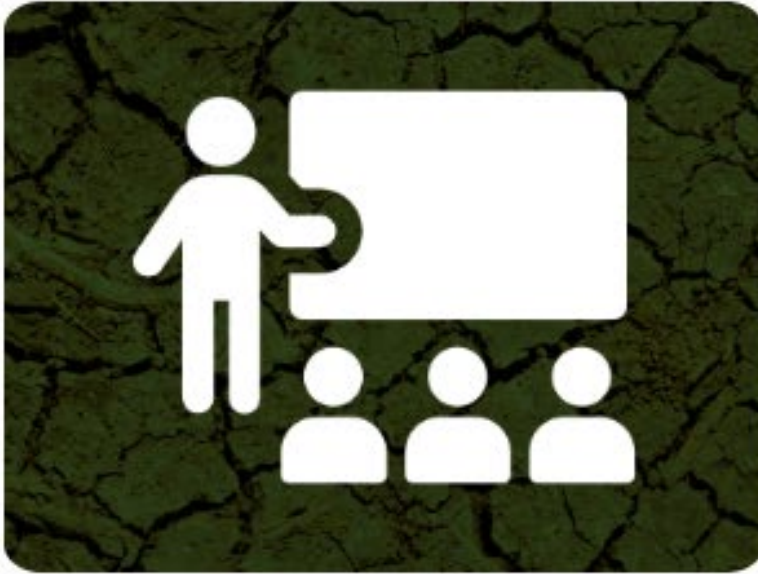


Plan evaluation and modification

After a drought, it's important to ask, "How well did our plan work?" Updating your plan with lessons learned from past droughts helps keep it responsive to changing community needs and priorities.



Workshops



Informal discussions with presentations and breakout groups.

Games



Friendly competition with teams and interactive learning.

Tabletop Exercises



Low-stress walk-through of plan implementation.

Types of drought scenario exercises

Workshops

Objectives:

- ✓ Create products or components of a drought plan
- ✓ Share knowledge
- ✓ Build consensus



Workshop: FEMA's Threat and Hazard Identification and Risk Assessment

CASE STUDY: WORKSHOP

North Platte River Basin Drought THIRA

Kearney, Nebraska • April 2017



NATIONAL DROUGHT
MITIGATION CENTER
UNIVERSITY OF NEBRASKA



TYPE	Workshop
DURATION	1 day
SCOPE	Sub-state, multi-sector
PARTICIPANTS	<ul style="list-style-type: none">Stakeholders and decision-makers in: natural resources energy, municipalities, emergency management, and recreation and tourism sectorsFacilitatorsCoordinatorsDevelopers
PARTICIPANT COUNT	40
PARTICIPANT ROLE	<ul style="list-style-type: none">Discussion group memberFacilitatorsDrought experts
MATERIALS	<ul style="list-style-type: none">Hydrologic, climatic, and socio-economic dataGISExpert opinionFlipcharts
DEVELOPMENT TEAM	<ul style="list-style-type: none">University of Nebraska Public Policy CenterNational Drought Mitigation CenterHigh Plains Regional Climate Center
APPROXIMATE COST	\$3,000 for catering, facilities, and staff travel. Excludes staff time for exercise development
FUNDING SOURCE	NOAA Sectoral Applications Research Program (SARP)

SCENARIO

The scenario was set in the North Platte River Basin with participants engaging in group discussions focused on 16 of the 32 core capabilities identified in the [National Preparedness Goal](#).

Participants could attend four groups, with each group focusing on a different core capability. Based on a scenario consisting of a 5-year drought with challenges such as wildfires, dust storms, West Nile, water supply and quality, decreased agricultural production, heatwaves, and power outages, participants identified desired preparedness, response, and recovery capabilities.

OBJECTIVES

- Determine the usefulness of the Department of Homeland Security's THIRA process for drought planning
- Educate participants on the multi-sector impacts of drought
- Identify drought preparedness capabilities and required resources
- Increase collaboration in the planning process



THIRA Core Capabilities

<https://www.fema.gov/emergency-managers/national-preparedness/mission-core-capabilities>

MISSION AREAS



Prevention

1. [Planning](#)
2. [Public Information and Warning](#)
3. [Operational Coordination](#)
4. [Intelligence and Information Sharing](#)
5. [Interdiction and Disruption](#)
6. [Screening, Search, and Detection](#)
7. [Forensics and Attribution](#)

Protection

1. [Planning](#)
2. [Public Information and Warning](#)
3. [Operational Coordination](#)
4. [Intelligence and Information Sharing](#)
5. [Interdiction and Disruption](#)
6. [Screening, Search, and Detection](#)
7. [Access Control and Identity Verification](#)
8. [Cybersecurity](#)
9. [Physical Protective Measures](#)
10. [Risk Management for Protection Programs and Activities](#)
11. [Supply Chain Integrity and Security](#)

Mitigation

1. [Planning](#)
2. [Public Information and Warning](#)
3. [Operational Coordination](#)
4. [Community Resilience](#)
5. [Long-Term Vulnerability Reduction](#)
6. [Risk and Disaster Resilience Assessment](#)
7. [Threats and Hazards Identification](#)

Response

1. [Planning](#)
2. [Public Information and Warning](#)
3. [Operational Coordination](#)
4. [Infrastructure Systems](#)
5. [Critical Transportation](#)
6. [Environmental Response/Health and Safety](#)
7. [Fatality Management Services](#)
8. [Fire Management and Suppression](#)
9. [Logistics and Supply Chain Management](#)
10. [Mass Care Services](#)
11. [Mass Search and Rescue Operations](#)
12. [On-Scene Security, Protection, and Law Enforcement](#)
13. [Operational Communications](#)
14. [Public Health, Healthcare, and Emergency Medical Services](#)
15. [Situational Assessment](#)

Recovery

1. [Planning](#)
2. [Public Information and Warning](#)
3. [Operational Coordination](#)
4. [Infrastructure Systems](#)
5. [Economic Recovery](#)
6. [Health and Social Services](#)
7. [Housing](#)
8. [Natural and Cultural Resources](#)





Goal: Address water supply shortage issues during drought, specifically concerning large animal operations; develop strategies and actions to mitigate and respond to drought.

Format: 3 breakouts at 1 hr each



What threats and hazards can affect our community?



What strategies or capabilities should we have to be prepared for those impacts?



If they occurred, what impact would they have?



What resources do we have and/or need to build these capabilities?

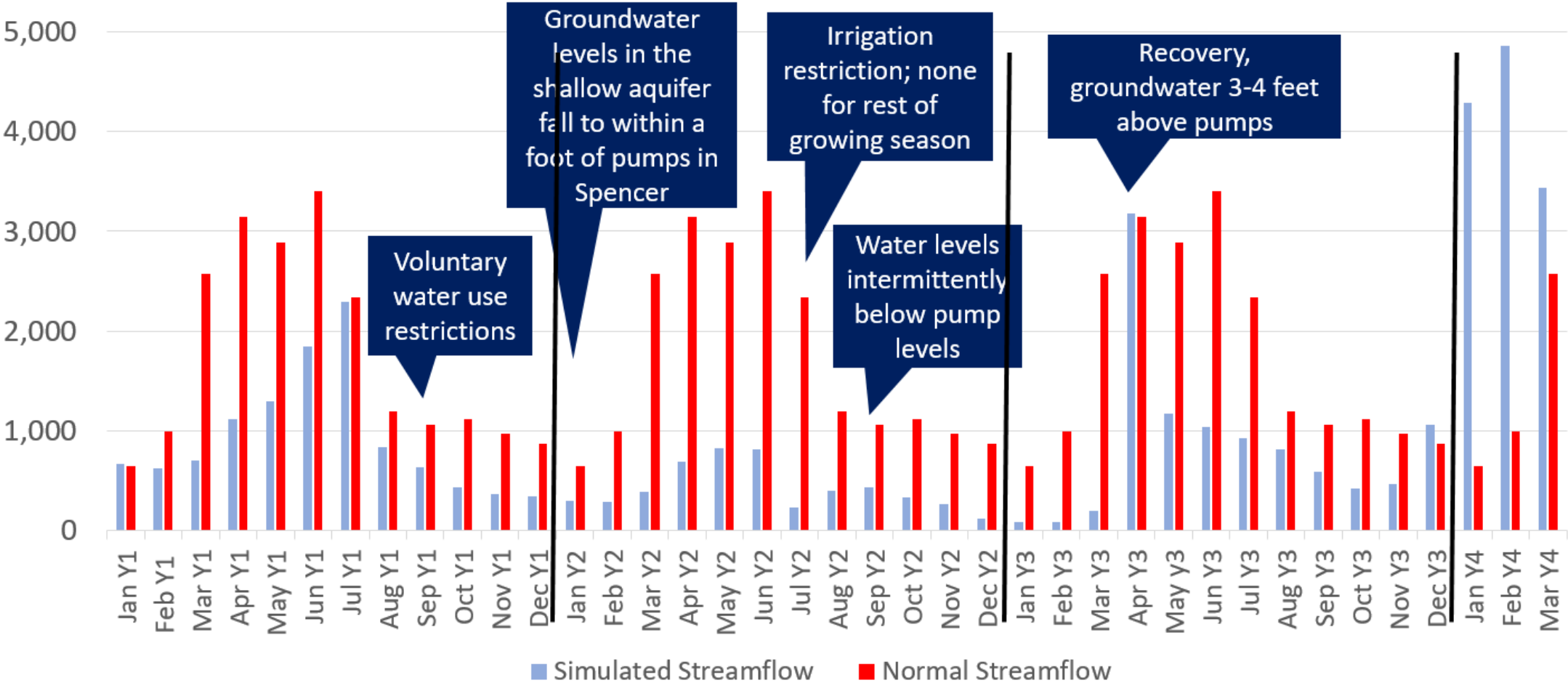
Northwest Iowa Drought Workshop - 2020

Simplified THIRA



Streamflow

Little Sioux near Turin, IA



Example scenario

Breakout 1

Impacts & Vulnerabilities

Given the drought scenario,
what impacts and challenges
would your community and/or
operations face?

Water Supply

- Rural Homes without water
Impact
- Processing Plants Business' without water
Impacts
- Livestock without water
Livestock needs:
 - drinking, cooling, washing
 - cooling & washing can conserve
 - Not drinking - can't conserveImpact - Reduce animal numbers

Water Quality

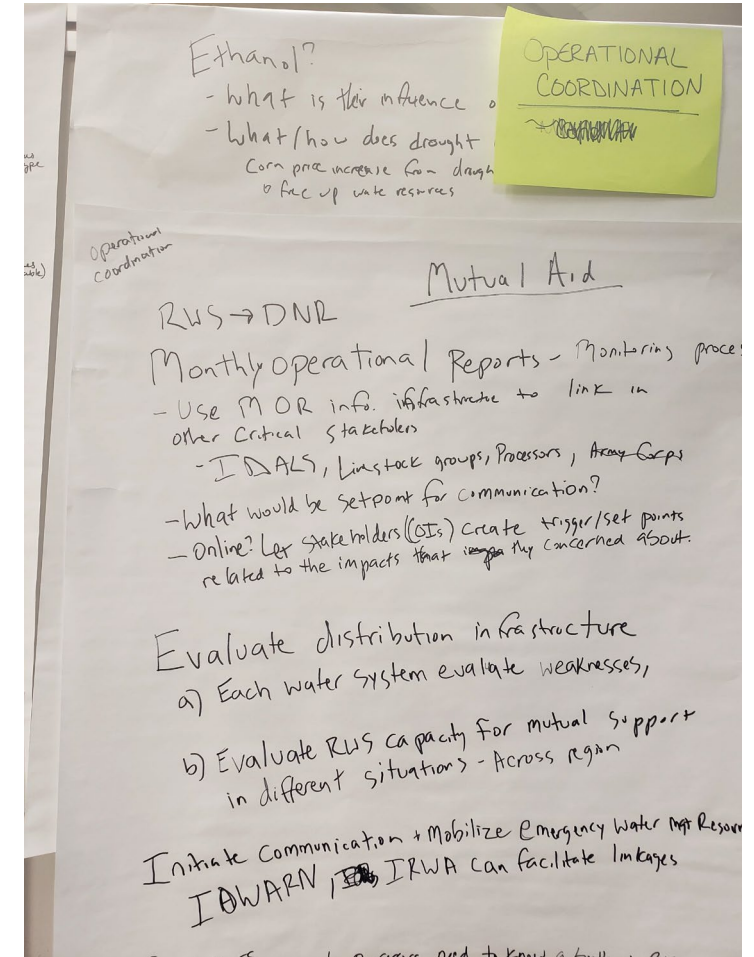
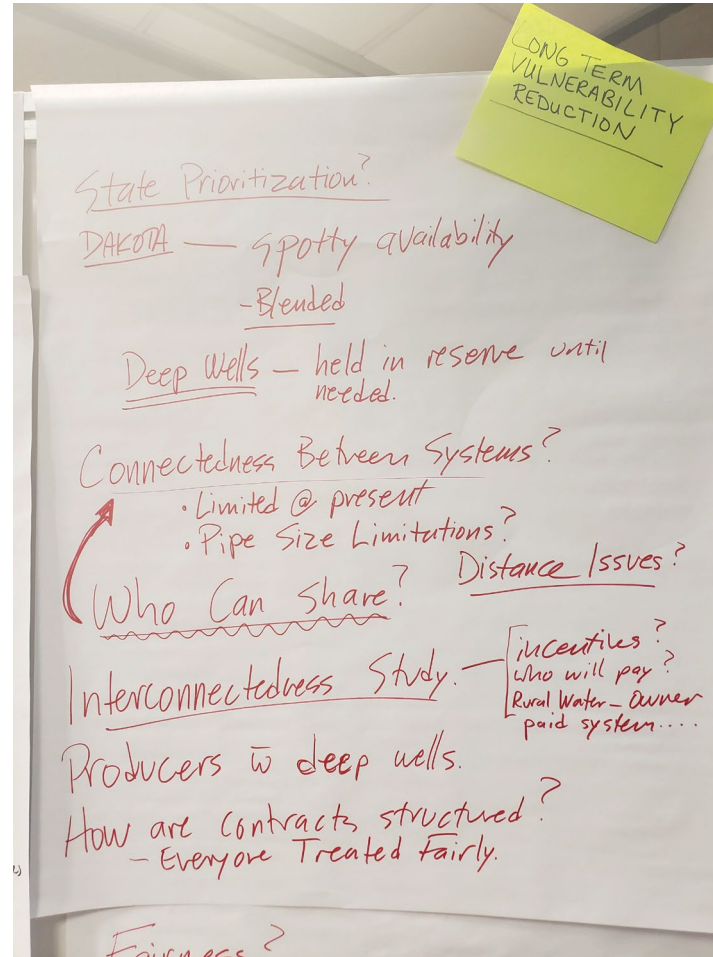
- 3. How to manage water quality in a water shortage situation

Prioritize

- Who gets water 1st?
How do we decide?
- Government will come in and tell us what to do
- 2. How do we balance water supply rationing between livestock + human needs
- How to decide who to shut off?
- End of Y2 RUS
Calling well diggers
Permits?
Who priority?

Breakout 2 Strategies & Capabilities

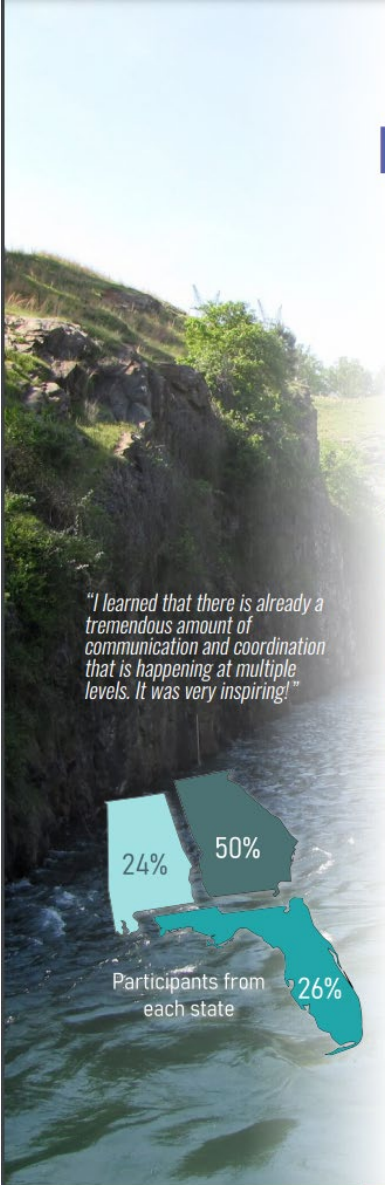
What strategies or capabilities should we have to be prepared for those impacts?



Tabletop Exercise

Objectives:

- ✓ Familiarize staff with their roles and responsibilities during a drought
- ✓ Improve coordination and resolve responsibilities among agencies
- ✓ Evaluate plans, policies, and procedures
- ✓ Discover gaps in resources or staff allocations.



ACF River Basin Drought Tabletop Exercise

"We're all in this together!"

Droughts are not discrete events, making it challenging to determine when drought starts and when it ends. The Apalachicola, Chattahoochee and Flint (ACF) Basin covers 19,600 square miles across Alabama, Florida, and Georgia and is home to nearly 6.8 million people. Water management in the basin is critical in both wet and dry years however, water stress becomes much more prevalent in the ACF during times of drought. The availability of ACF water resources can be limited during times of drought, and a variety of economic and environmental impacts can result. Water management in the ACF is a shared responsibility between federal, state and local governments and ultimately every entity that consumes or uses water in the ACF.

To facilitate interstate and interagency interactions on ways to address drought, the ACF Stakeholders (ACFS) and National Drought Mitigation Center hosted a drought tabletop exercise on March 1, 2023 in Eufaula, Alabama. This exercise brought together sixty participants from federal, state and local governments and utilities gathered to have an open dialogue about how they respond to different stages of drought. The exchange encouraged the sharing of ideas and opened the door to future collaborations in drought management. Most importantly, the workshop provided an opportunity to bring together stakeholders from across the region to work toward a more resilient future from the ACF Basin.




"I learned that there is already a tremendous amount of communication and coordination that is happening at multiple levels. It was very inspiring!"

Participants from each state

State	Percentage
Alabama	24%
Florida	26%
Georgia	50%

Exercise objectives were to:

1. Increase awareness of roles and responsibilities for drought planning and response
2. Increase awareness of drought challenges and resources
3. Increase collaboration for drought planning, mitigation and response



1

Games

Objectives:

- ✓ Provide an entertaining environment for learning about managing water resources and the decisions that need to be made during a drought
- ✓ Create a risk-free environment for exploring drought management strategies and their consequences
- ✓ Foster collaboration as participants work together to address the drought scenario

CASE STUDY: GAME

Iowa Multi-hazard Tournament
Cedar Rapids, Iowa • September 2016



NATIONAL DROUGHT
MITIGATION CENTER
UNIVERSITY OF NEBRASKA

TYPE	Game
DURATION	1 day
SCOPE	Sub-state, multi-sector
PARTICIPANTS	Representatives of: <ul style="list-style-type: none">Federal, state, and local governmentsNon-governmental organizationsFarmersAcademics
PARTICIPANT COUNT	60
PARTICIPANT ROLE	<ul style="list-style-type: none">Multi-sector team playerTeam facilitatorAnnouncerReferee
MATERIALS	<ul style="list-style-type: none">Hydrologic, climatic, and drought impact dataHydrologic modelWeb based decision-support systemExpert knowledgePlay bookComputers and monitors
DEVELOPMENT TEAM	<ul style="list-style-type: none">U.S. Army Corps of Engineers Institute for Water Resources and Rock Island DistrictIowa State University, University of Iowa, University of Nebraska, LincolnNatural Resources Conservation ServiceUSDA, NOAA, USGSThe City of Cedar RapidsUNESCO HELPThe Nature ConservancySandia Labs
APPROXIMATE COST	\$200,000
FUNDING SOURCE	U.S. Army Corps of Engineers of City of Cedar Rapids, IA

SCENARIO

Participants worked within teams to select appropriate adaptation options for the scenarios under the constraints of time, budgets, state and municipal regulations, and technical aspects.

Game challenges took place over four rounds and included: (1) the selection of water management strategies and adaptation options for a 20-year planning period for a (2) flood, (3) drought, and (4) climate change. The scenario was set in the Cedar River Basin and was based upon hydrologic modeling and climate information.

OBJECTIVES

- Increase the participants' awareness of policies, strategies, and resources to reduce drought, flood, and water quality risks
- Evaluate the impacts of mitigation strategies for different climate conditions
- Build relationships and potential partnerships between stakeholders





Workshop



Games



Tabletop Exercise

Needs	<ul style="list-style-type: none">▪ Write a plan component▪ Education or training▪ Knowledge sharing▪ Build consensus▪ Improve collaboration and communication▪ Generate mitigation and response strategies▪ Identify resource needs	<ul style="list-style-type: none">▪ Engage a wide variety of individuals in the planning process▪ Education▪ Knowledge sharing▪ Build consensus▪ Improve collaboration and communication▪ Explore and evaluate mitigation and response strategies▪ Practice resource and/or budget allocation	<ul style="list-style-type: none">▪ Promote plan familiarity▪ Training▪ Information sharing▪ Practice group problem solving and decision-making▪ Evaluate mitigation and response strategies▪ Identify gaps in resource or staff allocation
Format	Informal discussion with presentations and breakout groups	Friendly competition with teams and interactive learning	Low-stress walk through of plan implementation
Participants	Single- or multi-sector Stakeholder or agency	Multi-sector stakeholder	Anyone with a policy, planning or response role
Time	4 hours to multiple days	2–8 hours	1–4 hours
Planning stage	Developing or existing plan	Developing or existing plan	Existing plan

Sample outcomes



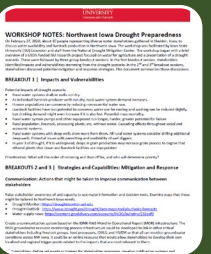
North Platte NRD Drought Tournament (2016)

- Led to the development of the 2017 North Platte NRD Community drought plan



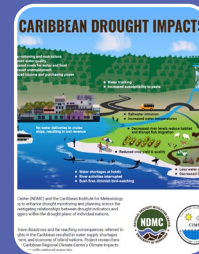
Platte River Basin Drought THIRA (2017)

- Informed the 2021 Nebraska state MHMP
- Led to the development of an online drought THIRA planning process for emergency managers



Northwest Iowa Drought Preparation Workshop (2020)

- Highlighted the need for and led to the development of the 2023 Iowa drought Plan



Caribbean Virtual Drought Exercise (2022)

- Used NDMC research tying impacts to indicators to help establish response triggers in drought plans of individual nations



"WATER" you thinking about drought? (2022)

- Exposed Alaska residents to drought monitoring and management
- Informed the development of a prototype



ACF River Basin Drought Tabletop Exercise (2023)

- 1st time the 3 states were in the same room since the 2021 Supreme Court ruling.



EXERCISE DEVELOPMENT PROCESS

Process

Foundation



Planning



Design



Implementation



Impact





RESOURCES

Resources

<https://drought.unl.edu/scenarioguide>

This section offers additional material to build your knowledge about drought planning and scenario exercises. Explore past exercises, case studies, a glossary of terms and a list of references used to create this guide.

Past Events



Worksheets



Glossary



References





Thank you!

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[DROUGHT.UNL.EDU/SCENARIOGUIDE](https://drought.unl.edu/scenarioguide)

