

III. PROJECT SELECTION AND PRIORITIZATION PROCESS

This chapter describes the project selection process, criteria for evaluation of project eligibility and benefits, and the Transportation Improvement Program (TIP) modification process. The TIP has been updated and/or reprioritized regularly since the passage of the Intermodal Surface Transportation Efficiency Act of 1991 (ISTEA). The metropolitan transportation planning/programming process provides for continual refinement of the TIP to make adjustments to projects as they near implementation.

With enactment of ISTEA came new responsibilities for Metropolitan Planning Organizations (MPOs). Subsequent transportation bills, including the Safe, Accountable, Flexible, Efficient Transportation Equity Act: A Legacy for Users (SAFETEA-LU) reconfirmed these new responsibilities. State departments of transportation share project selection authority for certain transportation funding programs with MPOs. The North Central Texas Council of Governments (NCTCOG), as the MPO for the Dallas-Fort Worth-Arlington Urbanized Area, the Denton-Lewisville Urbanized Area, and the McKinney Urbanized Area, is assigned project-level programming responsibilities for funding programs that focus on achieving the regional mobility and air quality objectives of the Metropolitan Area. The Texas Department of Transportation (TxDOT) continues to select projects that focus on maintaining and improving the State and National Highway System and areas that lie outside the metropolitan area. In [Chapter III, Exhibits III-1 and III-2](#) illustrate the agencies responsible for selecting projects for each of the State and federal funding programs listed in the TIP.

EXHIBIT III-1

ROADWAY PROGRAM SELECTION RESPONSIBILITY

CATEGORY	PROGRAM TITLE	SELECTED BY:
1	Preventive Maintenance and Rehabilitation	TxDOT
2	Metropolitan Area (TMA) Corridor Projects	TxDOT/MPO
3	Urban Area (Non TMA) Corridor Projects	TxDOT
4	Priority Rural Corridor Projects	TxDOT
5	Congestion Mitigation & Air Quality Improvement	MPO
6	Structures Replacement and Rehabilitation	TxDOT
7	STP Metropolitan Mobility/Rehabilitation	MPO
8	STP Safety	TxDOT
9	STP Transportation Enhancements	TxDOT
10	Miscellaneous	TxDOT
11	District Discretionary	TxDOT
12	Strategic Priority	TxDOT
	Private/Toll Bonds	TxDOT/MPO
	Local Funds (Non-State, Nonfederal)	Local Govt./NTTA

EXHIBIT III-2

TRANSIT PROGRAM SELECTION RESPONSIBILITY

TRANSIT CATEGORY	SELECTED BY:
Section 5307 - Urbanized Area Formula Program	MPO
Section 5309 - Capital Program	Congress
Section 5310 - Elderly & Persons with Disabilities Program	TxDOT Districts
Section 5311 - Nonurbanized Area Formula Program	TxDOT PTN Division
Section 5316 - Job Access Reverse Commute	TxDOT/MPO
Section 5317 - New Freedom	TxDOT/MPO

The 2008-2011 TIP represents the culmination of a continuing process to refine and prioritize the projects selected for implementation since ISTEA was passed. The 1993 TIP was the first metropolitan TIP in North Central Texas prepared under ISTEA. It was developed through the cooperative efforts of NCTCOG, local governments, transportation authorities, and TxDOT, with input by the public. The project selection process utilized by the Dallas-Fort Worth MPO has evolved since that time and is explained in more detail below. TxDOT's project selection responsibility is shared by the local District offices, Austin Division offices, and the Texas Transportation Commission.

TRANSPORTATION FUNDING PROGRAMS

The following summary ([Exhibits III-3](#) and [III-4](#)) provides a brief description of transportation funding program categories included in the 2008-2011 TIP and the specific types of projects funded in the various categories. Program selection responsibility is shown in [Exhibits III-1](#) and [III-2](#). [Chapter VII](#) contains complete project listings for each of these programs.

EXHIBIT III-3

STATE AND FEDERAL ROADWAY FUNDING CATEGORIES

CATEGORY NUMBER	CATEGORY	DESCRIPTION
1	Preventive Maintenance and Rehabilitation	Rehabilitation and preventive maintenance of the existing State Highway System. Interstate Highway System main lanes, frontage roads, structures, construction of HOV lanes, rehabilitation of signs, pavement markings, striping, etc. Funds may be used for the construction of interchanges, but may not be used for the construction of new SOV lanes.
2	Metropolitan Area (TMA) Corridor Projects	Mobility (added capacity) projects on major State Highway System corridors located in Metropolitan (TMA) MPOs.
3	Urban Area (Non-TMA) Corridor Projects	Mobility (added capacity) projects on major State Highway System corridors located in Urban Areas (non-TMA) MPOs
4	Priority Rural Corridor Projects	Mobility (added capacity) projects on major State Highway System corridors located in Rural Areas not represented by an MPO
5	Congestion Mitigation and Air Quality Improvement Program	Addresses attainment of national ambient air quality standard in the nonattainment areas (currently Dallas-Fort Worth, Houston, Beaumont, and El Paso). Funds cannot be used to add capacity for single-occupancy vehicles.
6	Structures Replacement and Rehabilitation	Replacement or rehabilitation of eligible bridges on and off the State Highway System (functionally obsolete or structurally deficient). Replacement of existing highway-railroad grade crossings, and the rehabilitation or replacement of deficient railroad underpasses on the State Highway System. Specific locations evaluated by cost-benefits derived index (benefits such as improved traffic flow, accident/fatality reduction).
7	Surface Transportation Program (STP)—Metropolitan Mobility/Rehabilitation	Transportation needs within Metropolitan Area boundaries with populations of 200,000 or greater. Projects selected by Metropolitan Planning Organizations (MPOs).
8	STP—Safety – Federal Hazard Elimination Program	Safety-related projects on and off State Highway System. Projects are evaluated using three years of accident data, and ranked by Safety Improvement Index
8	STP—Safety – Federal Railroad Signal Safety Program	Installation of automatic railroad warning devices at hazardous railroad crossings on and off State Highway System, selected from statewide inventory list which is prioritized by index (number of trains per day, train speed, ADT, type of existing warning device, train-involved accidents within prior five years, etc.).
9	STP—Transportation Enhancements	Projects above and beyond what normally is expected for transportation – 12 general activities as outlined in SAFETEA-LU. Projects recommended by local government entities, reviewed and recommended by committee, selected by Texas Transportation Commission.
10	Miscellaneous—State Park Roads 1992	Construction and rehabilitation of roadways within or adjacent to state parks, fish hatcheries, etc., subject to Memorandum of Agreement between TxDOT and TP&WD. Locations selected and prioritized by TP&WD.
10	Miscellaneous—Railroad Grade Crossing Replanking Program 1992	Replacement of rough railroad crossing surfaces on the State Highway System (approximately 140 installations per year statewide). Project selection based on conditions of the riding surface (highway, railroad, and drainage) and cost per vehicle using the crossing.

CATEGORY NUMBER	CATEGORY	DESCRIPTION
10	Miscellaneous—Railroad Signal Maintenance Program 1992	Contributions to each railroad company based on number of crossings and type of automatic devices present at each crossing.
10	Miscellaneous—Construction Landscape Programs 1992	New landscape development projects such as typical right-of-way landscape development, rest area/picnic area landscape development, and erosion control and environmental mitigation activities.
10	Miscellaneous (Federal) 1992	Federal programs such as Forest Highways, Indian Reservation Highways, Federal Lands Highways, and Ferry Boat Discretionary.
10	Miscellaneous ---Regional Toll Revenue (RTR) Funds	Innovative funding secured through agreement between the RTC and NTTA that gave NTTA the right to build and operate the toll system on SH 121 for 52 years in exchange for a \$3.2 billion upfront payment to the RTC to expedite construction for numerous projects throughout the Dallas-Fort Worth region.
11	District Discretionary	Miscellaneous projects on State Highway System selected at the district's discretion.
12	Strategic Priority	Commission-selected projects which promote economic development, provide system continuity with adjoining states and Mexico, or address other strategic needs as determined by the Commission.
	Private/Toll Bonds	Innovative funding secured through private/public partnership sources, such as through the Comprehensive Development Agreement (CDA) process, or through successful attainment of debt in the form of toll bonds.
	RTC/Local Funds	Innovative funding secured through exchange of federal funds for local funds on specific projects.

EXHIBIT III-4

FEDERAL TRANSIT FUNDING CATEGORIES

TRANSIT CATEGORY	DESCRIPTION
Section 5307 - Urbanized Area Formula Program	Provides Congressional discretionary funds for new transit start-ups, rail modernization, bus fleet, and other major transit projects (including Small Starts and New Starts Program).
Section 5309 - Capital Program	Provides for the distribution of capital assistance and operating assistance (under specific guidelines) to transit operators in the Urbanized Area.
Section 5310 - Elderly & Persons with Disabilities Program	Provides transportation services for elderly and disabled persons through purchase of service or through capital expenditures.
Section 5311 - Nonurbanized Area Formula Program	Provides for the distribution of capital, operating, planning, and administrative assistance to state agencies, local public bodies, nonprofit organizations, and operators of public transportation services outside the Urbanized Areas of the State.
Section 5316 - Job Access Reverse Commute	Provides for local programs that offer job access and reverse commute services to provide transportation for low income individuals who may live in the city core and work in suburban locations.
Section 5317 - New Freedom	To encourage services and facility improvements to address the transportation needs of persons with disabilities that go beyond those required by the Americans with Disabilities Act. Provides a new formulate grant program for associated capital and operating costs

PROJECT SELECTION RESPONSIBILITY

The MPO has project selection responsibility for the following funding programs: 1) Surface Transportation Program--Metropolitan Mobility (STP-MM) funds in the Dallas-Fort Worth-Arlington Urbanized Area, the Denton-Lewisville Urbanized Area, and the McKinney Urbanized Area; 2) Congestion Mitigation and Air Quality Improvement Program (CMAQ) funds in the Dallas-Fort Worth ozone nonattainment area; 3) Transit Section 5307--Urbanized Area Formula Program (UAFFP) funds in the Dallas-Fort Worth-Arlington Urbanized Area, the Denton-Lewisville Urbanized Area, and the McKinney Urbanized Area; and 4) Metropolitan Area Corridor funds (in conjunction with the TxDOT Dallas and Fort Worth Districts). These projects are selected in consultation with TxDOT, local governments, and local transportation agencies. In addition, projects selected by TxDOT, as part of the National Highway System (NHS), must be selected in cooperation with the MPO prior to inclusion in the TIP.

Project selection for the STP-MM and CMAQ programs occurs periodically by the MPO through funding initiatives. Local governments and transportation agencies are invited to submit projects for consideration through calls for projects or strategic programming initiatives. More attention is given to project selection criteria and evaluation methods used by the MPO later in this chapter.

TxDOT is responsible for selecting projects for all other funding programs with the exception of Federal Demonstration and Capital Program projects. Two TxDOT Districts encompass the Dallas-Fort Worth Metropolitan Area: the Dallas District and the Fort Worth District. As shown in [Exhibits III-1](#) and [III-2](#) in [Chapter III](#), the TxDOT Districts are responsible for selecting projects for various funding categories in their local areas. Funding categories in which TxDOT Austin has project selection responsibility are those that are selected on a statewide competitive basis and approved by the Texas Transportation Commission. Other funding programs such as the Commission Strategic Priority Program are selected directly by the Texas Transportation Commission.

However, for some program categories, the time frame for project identification is longer than four years due to the project selection process for these categories. Project selection responsibility is represented by funding category in [Exhibits III-1](#) and [III-2](#). Complete program descriptions are included in [Exhibit III-3](#).

Transit Section 5309--Capital Program projects listed in Chapter VII do not represent approved funding, but rather an intent to pursue funding from Congress.

PROJECT SELECTION CRITERIA AND EVALUATION

Prior to ISTEA, federal funds were allocated separately for roadway and transit projects. Roadway projects were selected by TxDOT based on a cost-effectiveness index as reported in the State Project Development Plan. Transit projects were selected by transit operators and funded based on the federal allocation formula, which was based on demographic and service criteria for each transit service area. After the passage of ISTEA in 1991, transportation projects had to compete with each other for limited federal funds. For example, roadway projects, transit projects, and other transportation-related projects were evaluated with a single set of criteria to determine which would receive federal funding through the STP-MM Program.

In addition, project selection had to comply with the Clean Air Act Amendments of 1990 (CAAA) and the Americans with Disabilities Act of 1991 (ADA). Beginning in 1999, specific project selection criteria were developed for each funding program.

Metropolitan Planning Organization

Federal legislation authorizes MPOs to coordinate the selection and funding of transportation projects in urbanized areas. Through the MPO process, local governments and cities have the opportunity to participate in identifying and solving transportation-related problems in their respective areas. Projects submitted for evaluation are not limited to new roadways, roadway widenings, or transit services. Projects include intersection and signal improvements, grade separations, incident management systems, sustainable development, and other types of transportation improvements or enhancements.

Since ISTEA was signed into law, the Dallas-Fort Worth MPO has conducted several funding initiatives (i.e., project selection events). Over time, NCTCOG and the RTC have employed different criteria and screening processes for different project funding and selection initiatives. NCTCOG first developed project selection and evaluation criteria for the 1992 Call for Projects. Similar evaluation methods were used in the 1994 and 1999 Calls for Projects. The selection criteria in these calls for projects generally addressed cost-effectiveness (both current and future), air quality benefits, local commitment, congestion reduction, and the level of multi-modal and social mobility benefits afforded by a project. This approach is a comprehensive project rating system with diverse rating criteria, linked to the type of funding being requested.

In 2002, NCTCOG began selecting projects more strategically. Through this type of initiative, NCTCOG staff works cooperatively with the Surface Transportation Technical Committee (STTC), RTC, and our regional partners to select projects that further regional priorities. Projects are evaluated based on their individual merits and their impact on the regional transportation system. Then, the set of recommended projects is evaluated to ensure an equal distribution of selected projects throughout the region. The RTC has issued several such funding initiatives, including the 2002 Strategic Programming Initiative, the 2003 RTC Partnership Program 1, and the 2005 RTC Partnership Program 2.

Of course, the RTC has led other types of funding initiatives that lie in the middle of the project selection spectrum (i.e., technical → strategic). Examples of these funding programs include the 2001 Park-and-Ride Call for Projects, the 2001 Land Use/Transportation Joint Venture Program Call for Projects, and the 2005 RTC Partnership Program 3. These three funding initiatives were similar to the "calls for projects" outlined above, in that they involved evaluation criteria; however, the evaluation methodology they employed was more rational than technical. In both cases, a set of evaluation criteria was created; then projects were screened or filtered through the criteria. The projects that met all the criteria or screens were recommended for funding. Therefore, this methodology is more technical than a strategic funding initiative, but less rigorous than a typical call for projects.

As the MPO has evolved and matured, the funding initiatives used to evaluate project applications have changed as well. Moreover, different types of funding initiatives are used for different programs and federal funding categories, as appropriate. As regional needs and desires change, so do the project selection and funding methodologies employed by the RTC.

In any event, projects are selected based on a competitive process, with an emphasis on public and local elected official involvement. Project selection criteria generally considered in the

Dallas-Fort Worth area, regardless of the type of funding initiative being employed, include: air quality, mobility, financial commitment, safety, intermodalism, and cost-effectiveness.

The selection criteria for the 1992 and 1994 Calls for Projects included cost-effectiveness (current and future), air quality/energy conservation, local cost participation, and intermodal/multimodal/social mobility. Specific criteria and weighting values apply to each funding program, as shown in [Exhibit III-5](#). In addition, the evaluation methodology for the 1992 and 1994 Calls for Projects are included in [Exhibit III-6](#).

[Exhibit III-7](#) includes the evaluation criteria used in the 1999 Call for Projects, which is similar to the criteria employed in the 1992 and 1994 Calls for Projects. [Exhibit III-8](#) includes the 2001 Park-and-Ride project screening criteria used in this call for projects. [Exhibit III-9](#) includes information about the selection process employed for the 2001 Land Use/Transportation Joint Venture Program. Finally, [Exhibit III-10](#) shows evaluation methodology and emphasis area scoring strategies for the 2005 RTC Partnership Program 3.

Texas Department of Transportation

For projects that TxDOT selects (either solely, or in coordination with MPOs), the Unified Transportation Program (UTP) (previously referred to as the Project Development Plan) process is used to prioritize projects for funding through the TIP and STIP. The UTP is a 10-year project planning document that guides project development and authorizes various levels of project development or implementation activity. The UTP establishes levels of development authority to allow projects to progress through the various stages of development actions included in each level. Transportation investments, particularly new facilities, typically take several years of planning before construction can begin. Projects often require feasibility studies, route studies, public hearings, environmental and social impact assessments, and the purchase of right-of-way. "Plan" status authorizes right-of-way determination including drafting the right-of-way map, studying routes, performing environmental impact studies, and holding public hearings. "Develop" is authority for the preparation of construction plans, as well as right-of-way acquisition. "Construct" is the authority for completion of construction plans, utility adjustments, and construction (projects let to contract). Projects must proceed through feasibility and planning phases before being given Develop or Construct authority.

TxDOT uses various ranking indices or allocation formulas to prioritize the many projects in the UTP. Projects selected by TxDOT Austin are evaluated on a statewide basis, while projects selected by the Districts are evaluated against other projects within that District. TxDOT selects from projects that have Construct authority for inclusion in the TIP. However, a project can only be programmed in the TIP if there are sufficient funds available.

PROJECT MONITORING, REFINEMENT, AND REVISION

The 2008-2011 TIP project listing is balanced to available resources. In addition, all projects in Year 1 are of high priority. Since the program is balanced to available resources, cost overruns can result in the potential of high priority projects being delayed into Year 2. Several other types of actions result in the need for a dynamic TIP monitoring program. Examples of potential changes that could occur during the TIP implementation process include: cost overruns/underruns, environmental concerns, local governments' inability to meet local match requirements, lawsuits, delays in right-of-way acquisition or utility clearances, and local governments wishing to pursue projects with local funds.

The current RTC policy is that reprioritization of projects from later years will occur if early construction is feasible and financial constraint requirements can still be met. Therefore, the types of changes listed above could lead to projects being expedited or delayed, depending on the circumstances. Diligent monitoring with regular briefings to the RTC is essential. The TIP is intended to be a current and accurate listing of transportation projects proposed for federal or State funding.

RTC TIP Modification Policy and Process

The RTC or NCTCOG staff may modify a project in the TIP at any time; however, project modifications are generally handled on a quarterly cycle in coordination with the STIP revision process. Timely modifications to the TIP are important in order to avoid funding/construction delays. Two types of modifications can be made to the TIP – Revisions and Administrative Amendments.

TIP revisions require approval of the RTC, while the RTC delegates that authority to the Director of Transportation for administrative amendments. The specific criteria used to determine whether a modification will require a Revision or Administrative Amendment are outlined in the TIP Modification Policy, which is included as [Exhibit III-11](#).

After determining that a modification requires RTC action, proposed revisions are submitted to STTC for review. STTC recommends a position on proposed revisions to the RTC. Then, the RTC takes action on STTC recommendations. A modification can be submitted directly to the RTC to preclude the normal review processing sequence, if rapid turnaround is important, and will go back to STTC for concurrence. All modifications that require a revision to the Statewide Transportation Improvement Program (STIP) are submitted to TxDOT on a quarterly basis.

EXHIBIT III-5

1992 AND 1994 CALL FOR PROJECTS SELECTION CRITERIA

STP-MM Project Selection Criteria

CRITERIA	POINTS
Current cost-effectiveness	24
Future cost-effectiveness	18
Air quality/energy conservation	18
Local cost participation	24
Intermodal/multimodal/social mobility	<u>16</u>
Total	100

CMAQ Project Selection Criteria

CRITERIA	POINTS
Current cost-effectiveness	20
Air quality/energy conservation	20
Local cost participation	20
Intermodal/multimodal/social mobility	20
Congestion Management System strategy / Transportation Control Measure	<u>20</u>
Total	100

EXHIBIT III-6

EXAMPLE OF PROJECT EVALUATION METHODOLOGIES – 1992 & 1994 CALLS FOR PROJECTS ADDITION OF LANES

Criteria - Benefit/Cost Based Upon Travel Time Savings

Benefit/Cost Ratio	=	$\frac{\text{Annualized Travel Time Savings (\$)}}{\text{Annualized Total Project Costs}}$
Annualized Total Project Costs	=	Total Project Costs * Capital Recovery Factor (6% for 40 years)
Annualized Travel Time Savings	=	Daily Travel Time Savings (Person Hours) * Value of Time * Number of Days per Year
Daily Travel Time Savings Reduction in	=	Directional Design Hourly Volume (DDHV) * Auto Occupancy * Delay Due to Road Widening * Hours of Congestion per Day
DDHV Truck	=	Equivalent Peak-Hour Volume Factor * Peak-Hour Directional Split * Factor * 24-Hour Traffic Volume

Benefit/Cost Assumptions

Cost of Congestion per Person Hour:	\$8.92	Average Auto Occupancy:	1.20
Number of Days per Year:	260	Truck Factor:	1.0
Hours of Congestion per Day:	8.33	Peak-Hour Directional Split:	60%
Delay per Mile (in minutes):	0.015 * Exp. (4.0 * V/C)		
Equivalent Peak-Hour Volume Factor:	10% (DDHV Factor = 0.06)		
Free Speeds:	90% of Speed Limits		
Capital Recovery Factor for 40 years at 6 Percent:	0.06646		

Criteria - Dollars per Pound of VOC Emissions Reductions

1. Calculate Existing Daily Hydrocarbon (HC) Emissions:
$$E_B = EF_B * \text{Volume} * \text{Distance}$$

Where: E_B = Emissions before improvement (grams)
 EF_B = Emission factor (grams per mile) based on existing average speed

2. Determine Average Speed After Improvement:

Increased Capacity → Improved Level of Service → Higher Speed

3. Calculate Daily HC Emissions After Improvement:
$$E_A = EF_A * \text{Volume} * \text{Distance}$$

Where: E_A = Emissions after improvement (grams)
 EF_A = Emission factor (grams per mile) based on new average speed and improved level of service

4. Calculate Annual HC Emissions Reductions (E_R):
$$E_R = (E_B - E_A) * 300 \text{ days per year}$$

5. Determine Cost per Pound of HC Reduction:

$$\text{Cost per Pound} = (\text{Annual Project Cost} * C_1) / E_R$$

Where: C_1 = 454 grams per pound

Criteria – Local Cost Participation

Calculated as a ratio of local funds available to total project cost. Received the higher score of either local cost participation or project commitment. When this criteria was revised for the 1995 TIP, the number of points became proportional to local cost as a percent of the total project cost.

Criteria – Intermodal/Multimodal/Social Mobility

Assumed to support mainly single-occupancy vehicle travel, score = 0

EXHIBIT III-7

**PROJECT EVALUATION CRITERIA – 1999 CALL FOR PROJECTS
CONGESTION MITIGATION AIR QUALITY IMPROVEMENT PROGRAM**

CRITERIA	POINTS
Current Cost-Effectiveness (1995)	20
Air Quality/Energy Conservation (1995)	20
Local Cost Participation	20
Intermodal/Multimodal/Social Mobility	20
Congestion Management System Strategy/ Transportation Control Measure	20
TOTAL	100

Current Cost-Effectiveness Rating

Benefit/Cost Ratio	Score
0.00 – 0.49	0
0.50 – 0.99	3
1.00 – 1.49	5
1.50 – 1.99	8
2.00 – 2.99	10
3.00 – 4.99	15
<u>>4.99</u>	20

Local Cost Participation Rating

Percent Commitment	Score
0 – 20	0
21 – 25	3
26 – 30	7
31 – 35	10
36 – 40	13
41 – 45	17
<u>>45</u>	20

Air Quality/Energy Conservation Rating

Dollars Per Pound of Volatile Organic Compound Emission Reductions	Score
<u>>99.99</u>	0
50.0 – 99.99	5
10.0 – 49.99	10
5.0 – 9.99	15
<u><5.0</u>	20

Intermodal/Multi-Modal/Social Mobility

Mode Occupancy	Score
Automobile (Occupancy = 1)	0
Goods Movement, Pedestrian, Bicycle, TDM, Bus Transit, Light Rail, Commuter Rail, HOV, Elderly & Disabled, Intermodal	20

Congestion Management System Strategy/Transportation Control Measure Rating

Criteria	Score	
Is proposed project in the Congestion Management System or State Implementation Plan?	No	0
	Yes	20

EXHIBIT III-7 (CONT'D)

**PROJECT EVALUATION CRITERIA – 1999 CALL FOR PROJECTS
SURFACE TRANSPORTATION PROGRAM – METROPOLITAN MOBILITY (STP-MM)
AND URBANIZED AREA FORMULA PROGRAM (UAFP)**

CRITERIA	POINTS
Current Cost-Effectiveness (1995)	24
Future Cost-Effectiveness (2020)	18
Air Quality/Energy Conservation (1995)	18
Local Cost Participation	24
Intermodal/Multimodal/Social Mobility	16
TOTAL	100

Current Cost-Effectiveness Rating

Benefit/Cost Ratio	Score
0.00 – 0.49	0
0.50 – 0.99	3
1.00 – 1.49	6
1.50 – 1.99	9
2.00 – 2.99	12
3.00 – 4.99	18
<u>>4.99</u>	24

Future Cost-Effectiveness Rating

Benefit/Cost Ratio	Score
0.00 – 0.49	0
0.50 – 0.99	3
1.00 – 1.49	6
1.50 – 1.99	9
2.00 – 2.99	12
3.00 – 4.99	18
<u>>4.99</u>	24

Air Quality/Energy Conservation Rating

Dollar Per Pound of Volatile Organic Compound Emission Reductions	Score
<u>>99.99</u>	0
50.0 – 99.99	5
10.0 – 49.99	9
5.0 – 9.99	14
<u><5.0</u>	18

Local Cost Participation Rating

Percent Commitment	Score
0 – 20	0
21 – 25	4
26 – 30	8
31 – 35	12
36 – 40	16
41 – 45	20
<u>>45</u>	24

Intermodal/Multimodal/Social Mobility Rating

Mode Occupancy	Score
Automobile (Occupancy = 1)	0
Goods Movement, Bicycle & Pedestrian, TDM, Bus Transit, Light Rail, Commuter Rail, High Occupancy Vehicle Facilities, Elderly & Disabled, Intermodal	16

EXHIBIT III-8

2001 PARK-AND-RIDE CALL FOR PROJECTS PROJECT SCREENING CRITERIA

Projects selected for funding as a result of the 2001 Park-and-Ride Call for Projects must meet each of the criteria outlined below.

1. Service to Alternative Modes

Proposed facility should serve high-occupancy vehicle (HOV) lanes, bus transit, rail transit, vanpools, and/or carpools.

2. Serves Long Commute Trips

Proposed facility should be located to serve long commute trips in the Dallas-Fort Worth nonattainment area.

3. Proximity to Existing or Funded Transportation Infrastructure

Proposed facilities should be located in close proximity to existing passenger rail lines, freeway corridors, or principal arterials.

4. State Implementation Plan Commitments

Because the park-and-ride projects included in the 2001 Park-and-Ride Call for Projects are also State Implementation Plan commitments, they must be operational by 2007.

5. Convenient Access

Patrons should be able to access the proposed facility conveniently.

EXHIBIT III-9

**2001 LAND USE/TRANSPORTATION JOINT VENTURE PROGRAM
PROJECT SELECTION PROCESS**

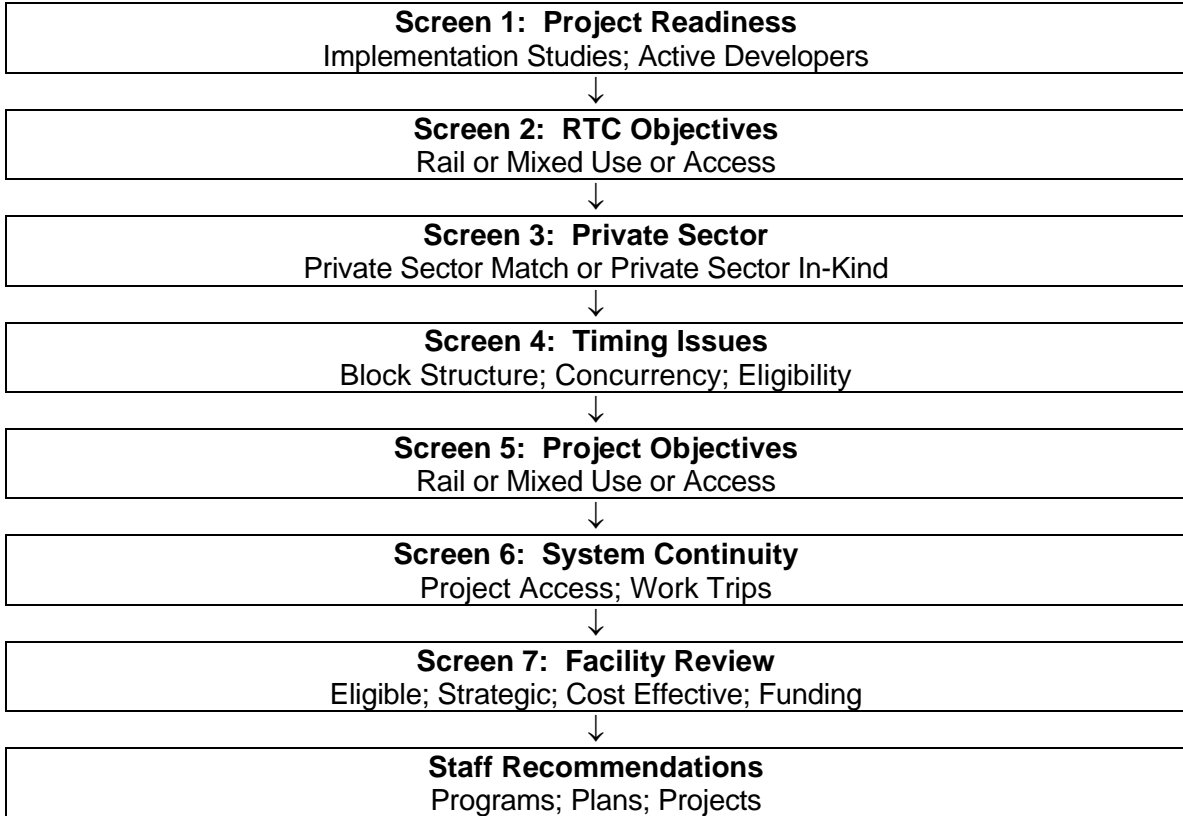


EXHIBIT III-10

STRATEGIC FUNDING PROGRAM ARTERIAL STREETS PROGRAM EMPHASIS AREAS AND PROPOSAL CONTENT

Emphasis Areas:

- Projects that widen or extend existing arterial roadways and projects that construct new arterial roadways
- Projects that improve mobility and safety
- Projects that target resources to most congested areas
- Projects that are currently identified in the metropolitan transportation plan and transportation conformity
- Projects that involve multiple transportation modes (i.e., include sidewalks or other pedestrian amenities)
- Projects that create permanent improvements,
- Projects that are ready for construction,
- Agencies submitting projects under this funding initiative must be willing and able to sign TxDOT's standard right-of-way participation and local project advance funding agreements to receive funding.

Proposal Content:

Project Location - include project limits (to/from)

Map of Project

Scope of Work - detailed description of improvements to be made (i.e., widen Main Street from point A to point B, 2 to 4 lanes, divided/undivided roadway)

Project Type (i.e., addition of lanes, new roadway)

Project Length

Project Phases to be Funded - indicate the phases for which funds are being requested (engineering, right-of-way, and/or construction). Please note that engineering initiated before final State/federal approval of the project funding is received must be paid with 100 percent local/private funds (and cannot be counted toward local match commitment).

Cost Estimate - provide an estimated cost (in 2005 dollars) that details the roadway and non-roadway items included in the project cost. The cost should take into account (and delineate) each of the phases for which you wish to request funding. It should also include Engineering and Contingency (E&C) charges, which is a fee that TxDOT charges to cover engineering, contingencies, project inspection, etc. This fee is a percentage of the total project cost (rate schedule: \$0 to \$1 million total cost - 16 percent E&C; \$1 million to \$5 million - 11.5 percent E&C; \$5 million to \$25 million - 11 percent E&C; over \$25 million - 7.5 percent E&C). Please note that landscaping and amenities that cost more than one (1) percent of the total construction cost will be 100 percent locally funded, unless otherwise noted.

Local Match - document who is paying the local match and whether or not funds are already available

Estimated Let/Start Date (for each phase)

Estimated Completion Date (for each phase)

Project Contact - include name of project contact, their contact information, and the name of the office or department serving as the primary contact

Partnership Program Workshop Certification - include printed name and signature of individual that attended the NCTCOG/TxDOT Partnership Program Workshop for this agency/project

EXHIBIT III-10 (CONT'D)

**STRATEGIC FUNDING PROGRAM
ARTERIAL STREETS PROGRAM
EVALUATION METHODOLOGY**

Eligibility Determination

Widen/Extend Existing or Construct New Roadway?	Creates Permanent Improvements?	Can Sign TxDOT Agreements?	Within MPO Boundary?	Are Additional Lanes Warranted (SOV Analysis)?	On FFCS?
Yes = 1	Yes = 1	Yes = 1	Yes = 1	Yes = 1	Yes = 1
No = 0 (reconstruction only)	No = 0	No = 0	No = 0	No = 0	No = 0

Evaluation of Eligible Projects

Improves Safety?	Provides Multiple Transportation Modes?	Volume Ranges	Levels of Service and Volume Capacity Ratio	Listed in MTP?	Ready for Construction?	Local Priority	Regional Facility	Interjurisdictional Project
Yes = 1	Yes = 1	80,000+ = 4	F = 4	Listed Correctly = 2	If ROW, PE, and Env are Completed and Const is Scheduled to Begin by Dec 2007 = 1	Priority 1 = 4	Listed in Regional Arterials in the Plan = 1	Yes = 1
No = 0	No = 0	40,000 - 79,999 = 3	E = 3	Listed Incorrectly, but Lets After May 2007 = 1	If Const is Scheduled to Begin Later than Dec 2007 = 0	Priority 2 = 3	Not Listed in the Plan = 0	No = 0
		20,000 - 39,999 = 2	D = 2	Listed Incorrectly, but Lets Before May 2007 = 0		Priority 3 = 2		
		19,999 or less = 1	C = 1	Not Listed at All = 0		Priority 4+ = 1		
			B = 0					

Notes:

- SOV = Single Occupant Vehicle
- FFCS = Federal Functional Classification System
- MTP = Mobility Plan
- ROW = Right of Way
- PE = Preliminary Engineering
- Env = Environmental Phase

EXHIBIT III-10 (CONT'D)

STRATEGIC FUNDING PROGRAM ARTERIAL INTERSECTION AND BOTTLENECK PROGRAM EMPHASIS AREAS AND PROPOSAL CONTENT

Emphasis Areas:

- Projects that reduce travel time, delay, and/or accidents due to implementation of low-cost improvements
- Projects that improve mobility, safety, and air quality at arterial intersections or along arterial streets
- Projects that are currently identified in the metropolitan transportation plan, transportation conformity, and/or major investment studies
- Projects that target resources to most congested areas,
- Projects that involve multiple transportation modes (i.e., include sidewalks or other pedestrian amenities)
- Projects that create permanent improvements
- Projects that are ready for construction
- Agencies submitting projects under this funding initiative must be willing and able to sign TxDOT's standard right-of-way participation and local project advance funding agreements to receive funding.

Proposal Content:

Project Location - include project limits and/or individual locations to be improved

Map of Project

Scope of Work - detailed description of improvements to be made (i.e., add left and right turn lanes on Street A at Street B, add grade separation on Street X at Street)

Project Type (i.e., safety, grade separation, intersection improvement)

Project Length

Project Phases to be Funded - indicate the phases for which funds are being requested (engineering, right-of-way, and/or construction). Please note that engineering initiated before final State/federal approval of the project funding is received must be paid with 100 percent local/private funds (and cannot be counted toward local match commitment).

Cost Estimate - provide an estimated cost (in 2005 dollars) that details the roadway and non-roadway items included in the project cost. The cost should take into account (and delineate) each of the phases for which you wish to request funding. It should also include E&C charges, which is a fee that TxDOT charges to cover engineering, contingencies, project inspection, etc. This fee is a percentage of the total project cost (rate schedule: \$0 to \$1 million total cost – 16 percent E&C; \$1 million to \$5 million - 11.5 percent E&C; \$5 million to \$25 million – 11 percent E&C; over \$25 million - 7.5 percent E&C). Please note that landscaping and amenities that cost more than one (1) percent of the total construction cost will be 100 percent locally funded, unless otherwise noted.

Local Match - document who is paying the local match and whether or not funds are already available

Estimated Let/Start Date (for each phase)

Estimated Completion Date (for each phase)

Project Contact - include name of project contact, their contact information, and the name of the office or department serving as the primary contact

Partnership Program Workshop Certification - include printed name and signature of individual that attended the NCTCOG/TxDOT Partnership Program Workshop for this agency/project

EXHIBIT III-10 (CONT'D)

**STRATEGIC FUNDING PROGRAM
ARTERIAL INTERSECTIONS & BOTTLENECKS
PROGRAM EVALUATION METHODOLOGY**

Eligibility Determination

Creates Permanent Improvements?	Can Sign TxDOT Agreements?	Is it an intersection improvement?
Yes = 1	Yes = 1	Yes = 1
No = 0	No = 0	No = 0

Evaluation of Eligible Projects

Reduces NOx/Air Quality Benefits (in lbs/day)?	Is Cost Effective (~cost/tons of emissions reduced)?	Volume Ranges	Levels of Service/Volume Capacity Ratio	Improves Safety?	Provides Multiple Transportation Modes?	Ready for Construction?	Local Priority	Regional Facility	Interjurisdictional Project
≥ 3.0 = 3	\$99,999 or less = 5	80,000+ = 4	F = 4	Yes = 1	Yes = 1	If ROW, PE, and Env are Completed and Const is Scheduled to Begin by Dec 2007 = 1	Priority 1 = 4	Listed in Regional Arterials in the Plan = 1	Yes = 1
1.5 < 3.0 = 2	\$100,000 - 499,000 = 4	40,000 - 79,999 = 3	E = 3	No = 0	No = 0	If Const is Scheduled to Begin Later than Dec 2007 = 0	Priority 2 = 3	Not Listed in the Plan = 0	No = 0
0.01 < 1.5 = 1	\$500,000 - \$999,999 = 3	20,000 - 39,999 = 2	D = 2				Priority 3 = 2		
0 = 0	\$1 million+ = 2	19,999 or less = 1	C = 1				Priority 4+ = 1		
			B=0						

Notes:

NOx = Nitrogen Oxides

ROW = Right of Way

PE = Preliminary Engineering

Env = Environmental Phase

EXHIBIT III-10(CONT'D)

STRATEGIC FUNDING PROGRAM INTELLIGENT TRANSPORTATION SYSTEM PROJECTS EMPHASIS AREAS AND PROPOSAL CONTENT

Emphasis Areas:

- Projects that fill in gaps in the existing Intelligent Transportation System (ITS) infrastructure by completing critical systems
- Projects that enhance interagency cooperation
- Projects that increase the reliability of the existing transportation system
- Projects that promote multimodal usage

Eligible and Ineligible Projects:

- Programs, projects, corridors and/or systems identified in the regional ITS plans are eligible.
- Projects consistent with priority services identified in the North Texas Regional ITS Architecture are eligible.
- Project sponsorship must include a commitment to provide at least 20 percent of the total project cost from a local source, in order to qualify for federal funding.
- Agencies submitting projects under this funding initiative must be willing and able to sign TxDOT's standard local project advance funding agreement to receive funding.
- Traffic signal communication projects which provide or enhance communication between signals and the central control are eligible under the ITS program.
- Traditional traffic signal improvement projects (signal optimization, controller replacement, signal upgrade, and signal coordination) are not eligible under the ITS program.
- Purchase of right-of-way is not an eligible expense.
- Cost overruns for currently selected or future ITS projects will not be funded with federal funds.

Proposal Content:

- Project Location - include project limits and/or individual locations to be improved
- Map of Project
- Scope of Work - description of improvements to be implemented as part this project
- Project Length
- Project Phases to be Funded - indicate the phases for which funds are being requested (engineering and/or construction). Please note that engineering initiated before final State/federal approval of the project funding is received must be paid with 100 percent local/private funds (and cannot be counted toward local match commitment).
- Prioritization number of the project, as ranked by your agency (optional)
- Cost Estimate - provide an estimated cost in 2005 dollars that details items included in the project cost. The cost should indicate each of the phases for which you wish to request funding. It should also include engineering and contingency (E&C) charges, which is a fee that TxDOT charges to cover engineering, contingencies, project inspection, etc. This fee is a percentage of the total project cost (rate schedule: \$0 to \$1 million total cost – 16 percent E&C; \$1 million to \$5 million - 11.5 percent E&C; \$5 million to \$25 million – 11 percent E&C).
- Local Match - indicate the agency responsible for paying the local match and whether or not funds are already available. If not available, please specify when the funds will be available.
- Estimated Let/Start Date (for each phase)
- Estimated Completion Date (for each phase)
- Project Contact - include name of project contact, their contact information, and the name of the office or department serving as the primary contact
- Partnership Program Workshop Certification - include printed name and signature of individual who attended the NCTCOG/TxDOT Partnership Program Workshop for this agency/project

EXHIBIT III-10 (CONT'D)

STRATEGIC FUNDING PROGRAM INTELLIGENT TRANSPORTATION SYSTEM PROJECTS EMPHASIS AREA SCORING STRATEGY

Column Title: Fill Gaps

Column Description: Projects that fill in gaps in the existing Intelligent Transportation System (ITS) infrastructure by completing critical systems.

Projects that fill in the gaps on freeway systems received a '2'.

Projects that fill in the gaps on arterials systems received a '1'.

Projects that did not fill in the gaps received a '0'.

Column Title: Enhance Interagency Cooperation

Column Description: Projects that enhance interagency cooperation.

Projects that enhance interagency cooperation between more than two agencies received a '2'.

Projects that enhance interagency cooperation between two agencies received a '1'.

Projects that did not enhance interagency cooperation received a '0'.

Column Title: Increase Reliability

Column Description: Projects that increase the reliability of the existing transportation system.

Projects that increase reliability on freeway systems received a '2'.

Projects that increase reliability on arterials systems received a '1'.

Projects that did not increase reliability received a '0'.

Column Title: Multimodal

Column Description: Projects that promote multimodal usage

Projects that promote multimodal usage, roadway and transit directly received a '2'.

Projects that promote multimodal usage, roadway and transit indirectly, received a '1' (i.e., projects located within a transit service area).

Projects that do not promote multimodal usage directly or indirectly received a '0'.

EXHIBIT III-10(CONT'D)

JOINT TXDOT/RTC FREEWAY INTERCHANGE/BOTTLENECK PARTNERSHIP PROGRAM ELIGIBILITY AND SELECTION PRIORITY

Eligible

Interchange Improvements
Bottleneck Removal Projects

Locations

Highway to highway interchanges
Highway to arterial crossings
Highway bottlenecks

Funding Requirements

1/3 local (can include city, county, and private funds)
1/3 TxDOT
1/3 RTC

Selection Priority

- Leveraging of federal and State funds with local funding sources
- Bottleneck and interchange locations identified in the Mobility Plan – Amended April 2005 or in the 2003 DFW Commuter Traffic Study available online at <http://www.nctcog.org/trans/photo-survey/2003/index.html>
- Corridors that did not receive funding through RTC Partnership Program 1 (October 2004)
- Projects that create permanent improvements
- Projects are ready for construction
- Agencies submitting projects under this funding initiative must be willing and able to sign TxDOT's standard right-of-way participation and local project advance funding agreements to receive funding

Other Considerations

TxDOT and NCTCOG staff will coordinate in drafting a list of project funding recommendations for STTC and RTC consideration.

EXHIBIT III-10 (CONT'D)

LOCAL AIR QUALITY PROGRAM BICYCLE/PEDESTRIAN REGIONAL CONNECTIONS ELIGIBILITY, EMPHASIS AREAS, AND PROPOSAL CONTENT

Eligible Project Types:

- Construction of a new trail
- Construction of sidewalks

Emphasis Areas:

- Projects that provide regional connections
- Projects that yield air quality benefits
- Projects that are consistent with the Mobility Plan
- Projects that are consistent with the Rail Station Access Study (available online at http://www.nctcog.org/trans/sustdev/bikeped/access_to_rail/index.html)
- Projects that are consistent with local bicycle/pedestrian area plans
- Projects that adhere to current regional, state, or federal design guidelines
- Projects that are located within a bicycle/pedestrian transportation district (available online at http://www.nctcog.org/trans/sustdev/bikeped/2005_update/Exhibit XIII-20 Bike & Ped Facilities Revised May05.pdf)
- Projects that reduce vehicle miles traveled (VMT)

Proposal Content:

- Prioritization or Ranking of Project (if submitting multiple projects)
- Name of Facility
- Facility Location – Include city name, and beginning and end point of project
- Project Description – Detailed description of improvements to be made (i.e., construction of a new trail, sidewalks, bicyclist/pedestrian amenities, lighting, landscaping).
- Type of Facility – Indicate if facility is on-street, off-street, or sidewalk
- Length of Facility (in miles)
- Project Justification – Why is this project needed? How will this project meet the emphasis areas listed above? Describe any other relevant information that will assist in the evaluation of this project.
- Describe the nearby land uses and expected users of the facility
- Right-of-Way Availability – Is right-of-way already in hand? If not, will it be purchased or donated? And, has purchase or donation process been initiated? What is the estimated completion for right-of-way acquisition?
- Phases to be Funded – indicate the phases for which funds are being requested (engineering, right-of-way, and/or construction).
- Cost Estimate – Provide an itemized cost estimate (in 2006 dollars). The cost should take into account (and delineate) each of the phases for which funding is requested.
- Map of project location
- MAPSCO Page Number – Indicate the MAPSCO page number(s) in which the project is located
- Local Match – Indicate who is paying the local match and whether or not funds are already available
- Estimated Let/Start Date (month and year for each phase)
- Estimated Completion Date (month and year for each phase)
- Project Contact – Include name of project contact, their contact information, and the name of the office or department serving as the primary contact
- Partnership Program Workshop Certification – Include printed name and signature of individual that attended the NCTCOG/TxDOT Partnership Program Workshop for this agency/project

EXHIBIT III-10 (CONT'D)

**LOCAL AIR QUALITY PROGRAM
BICYCLE/PEDESTRIAN REGIONAL CONNECTIONS
EVALUATION METHODOLOGY**

Eligibility Screen		
Adheres to Rules/Design Standards	Provides Regional Connection ¹	Pass Eligibility Screen?
Yes?	Yes?	2 "Yes" = Pass
No?	No?	Less than 2 "Yes" = Fail

Regional Connectivity Table		
Mobility (Project serves at least 500 users)	No viable alternative currently exists for bike/ped traffic	Provides transportation benefit without construction of other major bike/ped facility to function
Y/N	Y/N	Y/N

Safety Table		
Does the facility run along a major arterial?	Grade-separated crossing over a major roadway?	New or improved facility connecting to a school?
Y/N	Y/N	Y/N

Evaluation of Eligible Projects

Bike/Pedestrian Criteria = 100 points max					Air Quality Criteria = 100 points max			Other Criteria = 100 points max		
Transit Connectivity (25)	Veloweb Connectivity (25)	Annualized capital cost per average weekday user (10)	Targets Low-Income Bike/Ped User Accessibility (25)	Safety Score ² (15)	Emission Reduction [2009 NOx Reduction in Pounds/Day] (45)	Completion Timeframe (25)	Cost Benefit [Cost/Ton Over Project Lifetime] (30)	Environmental Justice Distribution ³ (10)	Local Priority (20)	Interjurisdictional Projects (20)
Upon construction, project will provide direct access to transit = 25	Project connects to existing veloweb section = 25	Less than \$50 = 10	Project is located in an area with >15%poverty = 25	Project meets at least 2 safety criteria = 15	Greater than 100 = 45	Present - June 2007 = 25	< \$2,000 = 30	7-8 = 10	Priority 1 = 20	Joint Local Match Participation = 20
Subsequent phases necessary for project to reach a existing transit station or needs station construction = 15	Project connects to programmed veloweb section = 20	Between \$50 and \$100 = 5	Project is located in an area with >11% and <15% poverty = 15	Project meets 1 safety criteria = 10	.01 - 100 = y	July 2007 - June 2008 = 20	,001 - \$125,000 =	5-6 = 8	Priority 2 = 12	Project Crosses City Limit = 10
Project has no connection to transit = 0	Project connects to a non-existing veloweb section = 15	Greater than \$100 = 0	Project is located in an area with <11% poverty = 0	Project meets 0 safety criteria = 0	0 = 0	July 2008 - June 2009 = 15	25,001 or more =	3-4 = 5	Priority 3 = 5	All Other Cases = 0
	Project has no connection to the veloweb = 0					July 2009 - June 2010 = 10		0-2 = 2	Priority 4+ = 0	
					$y = 0.45x$	After June 2010 = 5	$y = (-30/123,000)x + 30.49$			

Notes:

¹ See Regional Connectivity Criteria table

² See Safety Criteria table

³ Based on number of disadvantaged classes satisfied

NOx = Nitrogen Oxides

VMT = Vehicle Miles of Travel

EXHIBIT III-10 (CONT'D)

LOCAL AIR QUALITY PROGRAM REGIONAL/INNOVATIVE PROJECTS AND PROGRAMS TO IMPROVE AIR QUALITY ELIGIBILITY, EMPHASIS AREAS, AND PROPOSAL CONTENT

Eligible Project Types:

- Employer trip reduction programs
- Air quality outreach and marketing programs
- Vanpool programs
- Special studies
- Other air quality control strategies

Other Considerations:

- Projects may be funded with local or federal funds
- If funding permits, RTC/local projects may be funded 100% (no local match required)
- Federally funded projects will require a minimum of 20 percent local match. However, if funding permits, the local match may be programmed with RTC/local funds.
- Project ideas/proposals may be expanded and implemented at the regional (versus local) level
- Ongoing projects will be funded through 2009. If funding permits, ongoing projects may be funded through 2010.

Emphasis Areas:

- Projects that yield air quality benefits
- Projects that lead to mobility and safety improvements
- Projects that reduce vehicle miles of travel
- Projects that encourage the use of alternative transportation modes
- Projects that reduce indirect impacts of transportation
- Projects that aid in the evaluation or implementation of air quality initiatives
- Projects supported in the Mobility Plan or State Implementation Plan

Proposal Content:

- Project Location – Identify whether this project is a city, county, or regional project
- Project Description – Include a detailed description of project proposal. The description should explain the goals, objectives, and expected outcomes/products of the project. Is the proposal for a new program or is it an enhancement of an existing program. If it is an enhancement, please specify the existing program.
- Project Justification – Why is this project needed? How will this project meet the emphasis areas listed above? Describe any other relevant information that will assist in the evaluation of this project.
- Project Phases to be Funded – Indicate the phases for which funds are being requested (engineering, implementation, staff time)
- Cost Estimate by Fiscal Year – Provide an itemized cost estimate in 2006 dollars. The cost should delineate each of the years in which funding is requested.
- Local Match – Document who is paying the local match or if the local match is being requested through this program. Please indicate when the matching funds will be available
- Estimated Start Date (month and year for each phase)
- Estimated Completion Date (month and year for each phase)
- Project Contact – Include name of project contact, their contact information, and the name of the office or department serving as the primary contact
- Partnership Program Workshop Certification – Include printed name and signature of individual that attended the NCTCOG/TxDOT Partnership Program Workshop for this agency/project

EXHIBIT III-10 (CONT'D)

**LOCAL AIR QUALITY PROGRAM
REGIONAL/INNOVATIVE PROJECTS AND PROGRAMS TO IMPROVE AIR QUALITY
SCREENING PROCESS**

1. Does the proposal duplicate an existing or recently funded project?
2. Is the project better funded under another funding source (i.e., Unified Planning Work Program, Clean Vehicle Call for Projects)?
3. Can this project be combined with other proposals or can existing projects/programs be expanded in funding and size to incorporate beneficial elements of project?
4. Does the project provide a direct air quality benefit or does it involve management or operations of a project that provides air quality benefits?
5. Is the project an existing 1-Hour Ozone State Implementation Plan (SIP) Commitment?
6. Can the project be used in the pending 8-Hour Ozone SIP?
7. Should an education, engineering, or enforcement solution be implemented?
8. Does this proposal serve as a continuation of an existing regional air quality program?
9. If so, should that project/program be continued?
10. Is the project needed or desired by the region?
11. If so, and the project is not funded under this program, is there another funding source available (i.e., do we lose a good program if we do not fund it)?
12. Is the private sector meeting this need?
13. Is this project a strategic regional commitment?

EXHIBIT III-10 (CONT'D)

LOCAL AIR QUALITY PROGRAM PARK-AND-RIDE FACILITIES ELIGIBILITY, EMPHASIS AREAS AND PROPOSAL CONTENT

Eligible Project Types:

- Construction of dedicated facilities only
- Construction of parking garages are not eligible
- Joint-use facilities are not eligible (i.e., share parking lot with athletic stadium or church)

Emphasis Areas:

- Projects that yield air quality benefits
- Facilities that serve alternative modes of transportation, such as high occupancy vehicle (HOV) lanes, bus transit, rail transit, vanpools and/or carpools
- Facilities that serve long commute trips to, from, or within the Dallas-Fort Worth nonattainment area
- Facilities that are located in close proximity to existing or funded passenger rail lines, freeway corridors, or principal arterials
- Facilities must be operational by 2009
- Patrons should be able to access the proposed facility conveniently
- Facilities that have been identified in a major investment study, environmental document, transit study, or other relevant sub-area study
- Facilities that are anticipated to provide high utilization rates

Proposal Content:

- Project Location – Include city name and closest major intersection (i.e., I.H. 30 at Ballpark Way)
- Map of Location – Map project location, along with any nearby transit stations, other park-and-ride lots, and the major transportation facility that the park-and-ride lot will serve
- MAPSCO Page Number – Indicate the MAPSCO page number(s) for the project location
- Project Description – Include a detailed description of project components (i.e., construction of spaces, access and egress, passenger shelters, lighting, and landscaping)
- Number of Spaces
- Project Justification – Why is this project needed? How will this project meet the emphasis areas listed above? Describe any other relevant information that will assist in the evaluation of this project
- Project Phases to be Funded - Indicate the phases for which funds are being requested (engineering, right-of-way, and/or construction)
- Cost Estimate – Provide an itemized cost estimate in 2006 dollars. The cost should take into account (and delineate) each of the phases for which funding is requested.
- Local Match – Indicate who is paying the local match and whether or not funds are already available
- Estimated Let/Start Date (month and year for each phase)
- Estimated Completion Date (month and year for each phase)
- Project Contact – Include name of project contact, their contact information, and the name of the office or department serving as the primary contact
- Partnership Program Workshop Certification - include printed name and signature of individual that attended the NCTCOG/TxDOT Partnership Program Workshop for this agency/project

EXHIBIT III-10 (CONT'D)

**LOCAL AIR QUALITY PROGRAM
PARK-AND-RIDE FACILITIES
EVALUATION METHODOLOGY**

Eligibility Determination

Construction of a Dedicated PNR Facility?	Within Nonattainment Area?	Passes Eligibility Screen?
Yes?	Yes?	2 "Yes" = Pass
No?	No?	Less than 2 "Yes" = Fail

Evaluation of Eligible Projects

Congestion Management Criteria = 100 points max					Air Quality Criteria = 100 points max			
Serves Alternative Modes of Transportation (30)	Identified in MIS, EIS/EA, Transit, or Sub-Area Study (20)	Provides Convenient Access for Users (15)	Current Cost Effectiveness (Mobility Benefit/Cost Ratio) ¹ (20)	Listed in Mobility Plan (15)	Emission Reduction [2009 NOx Reduction in Pounds/Day] (45)	Completion Timeframe (25)	Cost Benefit [Cost/Ton Over Project Lifetime] (30)	Local Priority (20)
Three or More Modes = 30	Yes = 20	Freeway, Rail, Managed/HOV Lane Access = 15	1 - 0.5 = 20	Yes = 15	Greater than 100 = 45	Present - June 2007 = 25	< \$2,000 = 30	Priority 1 = 20
Two Modes = 20	No = 0	Major Arterial Access = 10	0.20 - 0.5 = 15	No = 0	.01 - 100 = y	July 2007 - June 2008 = 20	\$2,001 - \$125,000 = y	Priority 2 = 12
One Mode = 10		Other = 0	0.10 - 0.20 = 10		0 = 0	July 2008 - June 2009 = 15	\$125,001 or more = 0	Priority 3 = 5
			>0.00 - .10 = 5			July 2009 - June 2010 = 10		Priority 4+ = 0
			0.00 = 0		y = 0.45x	After June 2010 = 5	y = (-30/123,000)x + 30.49	

Notes:

¹Mobility Benefit/Cost Ratio = (Value of Time * (Avg. Commute Distance / Avg. Freeway Speed) * New PNR Spaces * Utilization Factor * Days Per Year) / Total Cost

²Based on number of disadvantaged classes satisfied

PNR = Park-and-Ride Facility

NOx = Nitrogen Oxides

MIS = Major Investment Study

EIS/EA = Environmental Documents

HOV = High Occupant Vehicle

EXHIBIT III-10 (CONT'D)

LOCAL AIR QUALITY PROGRAM TRAFFIC SIGNAL PROJECTS ELIGIBILITY, EMPHASIS AREAS AND PROPOSAL CONTENT

Eligible Project Types: Traffic signal retiming, which can include the following eligible costs:

- Installation of new traffic signal controllers
- Replacement of existing traffic signal controllers
- Replacement of vehicle detectors (loop, video, etc.)
- Installation of communication equipment
- Installation of communication software

Emphasis Areas:

- Projects that yield air quality benefits
- Projects that improve mobility and safety
- Projects that reduce travel time, delay, and/or accidents due to implementation of low-cost improvements
- Projects that target resources to most congested areas
- Projects that involve coordination with neighboring jurisdictions
- Projects that are not included in the Thoroughfare Assessment Program (TAP)
- Signal locations that were retimed before 2004

Proposal Content:

- Prioritization or Ranking of Project (if submitting multiple projects)
- Project Location/Corridor – City name, street name and project limits (beginning and ending point)
- Map of Project Location
- MAPSCO Page Number – Indicate the MAPSCO page number(s) for the signal locations
- Project Identification – An interactive query/mapping feature will be made available at <http://www.nctcog.org/trans/tip/signals>. Project locations must be selected from the GIS layer/table provided online. Proposals must include corresponding Signal ID(s) for those locations being submitted.
- Project Description – General description of requested improvements (please use terminology listed in eligible project costs above)
- Number of Locations – How many locations will be improved through project?
- Individual Locations – Provide itemized list of individual locations to be improved along that corridor. Include Signal ID (see above), street name and cross street (i.e., Beltline at Josey), the requested improvement at each location (please use terminology listed in eligible project costs above), and indicate any individual locations thought to be on the State Highway System
- Project Justification – Why is this project needed? How will this project meet the emphasis areas listed above? Describe any other relevant information that will assist in the evaluation of this project.
- Date of Last Signal Retiming – When was the last time this signal was retimed (mm/yy)?
- Length of Corridor (in miles)
- Traffic Count – Provide a 24-hour traffic count for each individual location. Also indicate the date (mm/dd/yy) that the count was taken.
- Phases to be Funded – Indicate the phases for which funds are being requested (engineering and/or construction)
- Cost Estimate – Provide an itemized cost estimate (in 2006 dollars). The cost should take into account (and delineate) each of the phases for which funding is requested.
- Local Match – Document who is paying the local match and whether or not funds are already available
- Estimated Let/Start Date (month and year for each phase)
- Estimated Completion Date (month and year for each phase)
- Project Contact – Include name of project contact, their contact information, and the name of the office or department serving as the primary contact

- Partnership Program Workshop Certification – Include printed name and signature of individual that attended the NCTCOG/TxDOT Partnership Program Workshop for this agency/project

EXHIBIT III-10 (CONT'D)

**LOCAL AIR QUALITY PROGRAM
TRAFFIC SIGNAL PROJECTS
EVALUATION METHODOLOGY**

Eligibility Determination

Involves Signal Retiming	Requested Equipment Upgrades are Eligible	Within Nonattainment Area	Signals Last Retimed Prior to December 2003	Passes Eligibility Screen?
Yes?	Yes?	Yes?	Yes?	4 "Yes" = Pass
No?	No?	No?	No?	3 or Less "Yes" = Fail

Evaluation of Eligible Projects

Congestion Management Criteria = 100 points max			Air Quality Criteria = 100 points max			Other Criteria = 100 points max		
Mobility Benefit/Cost Ratio [Based on Time Saved] ¹ (50)	Environmental Justice Distribution ² (30)	Interjurisdictional Project (20)	Emission Reduction [2009 NOx Reduction in Pounds/Day] (45)	Completion Timeframe (25)	Cost Benefit [Cost/Ton Over Project Lifetime] (30)	Regional Facility (30)	Not Included In TAP (20)	Local Priority (20)
> 4.99 = 50	7-9 = 30	Joint Local Match Participation = 20	Greater than 100 = 45	Present - June 2007 = 25	< \$2,000 = 30	Listed as Regional Arterial in MTP = 30	Not Included In TAP = 20	Priority 1 = 20
3.00 - 4.99 = 40	5-6 = 20	Project Crosses City Limit = 10	.01 - 100 = y	July 2007 - June 2008 = 20	\$2,001 - \$125,000 = y	Not Listed as Regional Arterial in MTP = 0	Retiming Funded, but Equipment Not Funded Through TAP = 10	Priority 2 = 12
2.00 - 2.99 = 30	3-4 = 10	All Other Cases = 0	0 = 0	July 2008 - June 2009 = 15	\$125,001 or more = 0			Priority 3 = 5
1.50 - 1.99 = 20	0-2 = 5			July 2009 - June 2010 = 10				Priority 4+ = 0
1.00 - 1.49 = 15			y = 0.45x	After June 2010 = 5	y = (-30/123,000)x + 30.49			
0.50 - .99 = 10								
0.00 - 0.49 = 5								

Notes:

¹ Mobility Benefit Cost Ratio = Total benefit in present dollars (time saved*value of time(\$9.7)*daily occupancy (1.14)) / Total Project Cost

²Based on number of disadvantaged classes satisfied

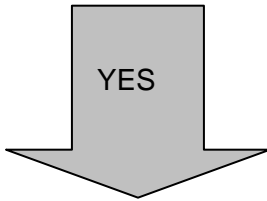
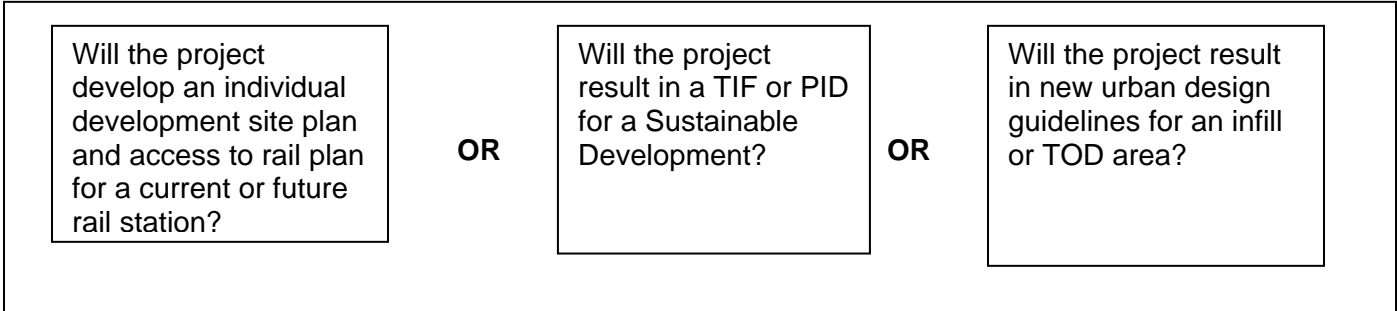
NOx = Nitrogen Oxides

MTP = Metropolitan Transportation Plan

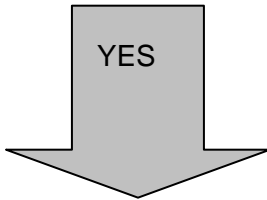
TAP = Thoroughfare Assessment Program

EXHIBIT III-10 (CONT'D)

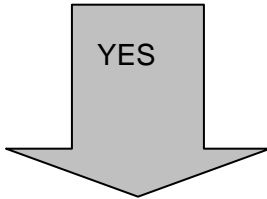
**SUSTAINABLE DEVELOPMENT PROGRAM
PLANNING PROJECT SCREENING PROCESS**



Is the project utilizing innovative techniques or an innovative application of existing practice?



If this plan doesn't get funded, could the resulting development in the area have negative consequences to the transportation system?



The project is funded

EXHIBIT III-10 (CONT'D)

**SUSTAINABLE DEVELOPMENT PROGRAM
LAND BANKING INTERVIEW QUESTIONS**

1. Does the project aim to assemble multiple parcels under separate ownership or is it focused on a single major parcel? If separate ownership, how many property owners will be involved?
2. Is there a general intent to immediately transfer the land to an identified or likely private sector developer?
3. Are there any existing private sector parcel assembly efforts underway?
4. Is the project part of or coordinated with a H.U.D. or Housing Authority project?
5. Will the long-term use of the land be for a private sector land use development, housing or a governmental use (park, education, transit, et cetera)?
6. As the local sponsor, what is your estimate of the time lag between grant and acquisition and between acquisition and use of the land?
7. Is there a current TIF/PID or other special district in place?
8. Is the project located in a Transit Authority area and is it directly adjacent to a current rail station or a station planned to be in place by 2010? By 2025?
9. If the project is successful, how many acres would be in the land bank and what ultimate land use is supported by city staff?
10. Does the project provide for a redevelopment opportunity on existing developed land?
11. Is there anything else you would like to add about the project?

EXHIBIT III-11

TRANSPORTATION IMPROVEMENT PROGRAM MODIFICATION POLICY

The Transportation Improvement Program (TIP) is a staged, multi-year program of projects approved for funding with federal, State, and local funds within the Dallas-Fort Worth area. A new TIP is approved every two years by the Regional Transportation Council (RTC), which serves as the policy board for the Dallas-Fort Worth Metropolitan Planning Organization (MPO). Due to the changing nature of projects as they move through the implementation process, the TIP must be modified on a regular basis.

Please note certain project changes require collaboration with our State and federal review partners. This collaboration occurs through the Statewide Transportation Improvement Program (STIP) revision process. Therefore, modification of the Dallas-Fort Worth TIP will follow the quarterly schedule established for revisions to the Statewide Transportation Improvement Program (STIP).

This policy consists of four sections:

General Policy Provisions: Overall policies guiding changes to project implementation

Project Changes Not Requiring TIP Modification: Changes related to administration or interpretation of Regional Transportation Council Policy

Administrative Amendment Policy: Authority granted to the MPO Director to expedite project delivery and maximize the time the RTC has to consider policy level (vs. administrative) issues

Revision Policy: Changes only the Regional Transportation Council can approve or recommend for State and federal concurrence

General Policy Provisions

1. All projects inventoried in the Transportation Improvement Program fall under this modification policy, regardless of funding source or funding category.
2. Air quality conformity, Mobility Plan consistency, congestion management system compliance, and financial constraint requirements must be met for all TIP modifications.
3. Project modifications will only be made with the consent of the implementing/impacted agency.
4. The Dallas-Fort Worth MPO will maintain a cost overrun funding pool. Program funds must be available through the cost overrun pool or from other sources in order to process modifications involving project cost increases.
5. All funding from deleted projects will be returned to the regional program for future cost overruns or new funding initiatives, unless the deleted funds are needed to cover cost overruns in other currently selected projects. However, it is important to note that funds are awarded to projects, not to implementing agencies. Therefore, funds from potentially infeasible projects cannot be saved for use in future projects by implementing agencies. MPO staff will manage timely resolution of these projects/funds.
6. For projects selected using project scoring methodologies, projects must be rescored and achieve the minimum score acceptable for programming before a cost increase is considered.
7. Cost increases for strategically-selected projects fall under the same modification policy provisions, although project rescoring may not be necessary.

8. As a general policy, new projects are proposed through periodic regional funding initiatives. However, the RTC may elect to add new projects to the TIP, with Congestion Mitigation and Air Quality Improvement Program (CMAQ) or Surface Transportation Program – Metropolitan Mobility (STP-MM) funding, outside of a scheduled funding initiative, under emergency or critical situations. Projects approved under this provision must be an immediate need and be ready for implementation or construction before the next RTC funding initiative or funding cycle.
9. Local match commitments (i.e., percentages) will be maintained as originally approved. Cost overruns on construction, right-of-way, and engineering costs will be funded according to original participation shares.
10. Additional restrictions may apply to projects selected under certain funding initiatives. For example, projects selected through the 2001 Land Use/Transportation Joint Venture program are not eligible for cost increases from RTC-selected funding categories.
11. Cost overruns are based on the total estimated cost of the project, including all phases combined, and are evaluated once total project cost is determined to exceed original funding authorization.
12. Cost indicators may be evaluated on cost overruns to alert project reviewers to potential unreasonable cost estimates (examples include cost per lane-mile, cost per turn lane). The cost indicators are developed by the MPO, in consultation with TxDOT, using experience from the last several years. If a project falls out of this range, the MPO may either:
 - (a) require a more detailed estimate and explanation,
 - (b) require value engineering,
 - (c) suggest a reduced project scope, or
 - (d) determine that a cost increase will come from local funds, not RTC funds.

Project Changes Not Requiring TIP Modification

In certain circumstances, changes may be made to TIP projects without triggering a TIP modification. These circumstances are outlined below:

1. Changes in Control Section Job (CSJ) Number – changes to CSJ's do not require a TIP modification. Potential CSJ changes may include conversion from Planning CSJ's to Permanent CSJ's, identification of a new CSJ, delineation of Permanent CSJ into segments creating multiple CSJ's, etc.
2. Changes to TxDOT's Design and Construction Information System (DCIS) – the DCIS is a project tracking system, therefore, simply updating the DCIS to match previously approved TIP projects or project elements does not require TIP modification. MPO staff maintains the official list of projects and funding levels approved by the RTC.
3. At the end of each fiscal year, unobligated funds are moved to the new fiscal year as carryover funds. For example, if a project receives funding in FY 2005, but the project is not implemented by the end of the fiscal year, staff will automatically move the funds for that project into the next fiscal year. These changes do not require a TIP modification.

Please note that a STIP revision may be required to make these changes in the statewide funding document. In all cases, MPO information systems will be updated and changes will be noted in project tracking systems.

Administrative Amendment Policy

Administrative Amendments are TIP modifications that do not require action of the RTC for approval. Under the Administrative Amendment Policy, the RTC has authorized the Director of Transportation

for the Dallas-Fort Worth MPO to approve TIP modifications that meet the following conditions. After they are approved, administrative amendments are provided to STTC and the RTC for informational purposes, unless they are merely processed to support previous RTC project approval (see Item 5).

- 1. Cost Increases:** Administrative amendments are allowed for cost increases up to the following percentages based on the total project cost:

<u>Percent Increase</u>	<u>Total Project Cost (\$)</u>
75	0 - 250,000
30	250,001 - 1,000,000
20	1,000,001 - 3,000,000
15	>3,000,001

- 2. Cost Decreases:** Administrative amendments are allowed for cost decreases.
- 3. Funding Year Changes:** Administrative amendments are allowed for fiscal year changes that advance project implementation. Once projects are ready for construction (i.e., all federal and State requirements and procedures have been met), staff will advance the project to construction.
- 4. Changes in Federal Funding Categories that Do Not Impact RTC-Selected Funding Programs:** RTC-Selected funding programs include: CMAQ, STP-MM, Urban Street Program, Category 2 -- Metro Corridor (in coordination with TxDOT), Urbanized Area Formula Program -- Transit Section 5307.
- 5. Statewide Transportation Improvement Program (STIP) Revisions Consistent with Previous RTC Action:** (e.g., adding a project previously approved by the RTC)
- 6. Addition of Noncapacity, Conformity-Exempt Projects from TxDOT Funding Programs:**

Examples include, but are not limited to:

Sign refurbishing	Intersection Improvements
Landscaping	Intelligent Transportation System
Preventive maintenance	Traffic Signal Improvements
Bridge rehabilitation/replacement	
Safety/Maintenance	

- 7. Changes to Implementing Agency:** Requires written request/approval from the current implementing agency and the newly proposed implementing agency
- 8. Increased Flexibility for CMAQ and STP-MM Traffic Signal and Intersection Improvement “Grouped” Projects**

Administrative amendments are allowed for funding and location changes as indicated below:

- Same locations, additional funding needed - see cost increase provisions above
- Fewer locations, same or additional funding needed - eligible, but requires evaluation and rescoring
- Fewer locations, decreased funding - eligible
- Additional locations, same or decreased funding - eligible, but:
 - New locations must be of the same project type,
 - Project does not change significantly, and
 - New locations must be part of a coordinated signal system or within the area of influence for intersection improvements.
- Additional locations, more funding needed - not eligible (requires a revision)

Administrative amendments are allowed for changes to project design or scope, but requires:

- Evaluation and rescoring to ensure similar benefits,
- That the project does not change significantly, and

- That the funding must be for equal or less amount.

9. **Addition of New Phases to STIP:** Includes engineering, right-of-way, and construction
10. **Potentially Controversial Projects** - The administrative amendment policy does not restrict the Transportation Director from requesting Regional Transportation Council (RTC) action on potentially controversial project changes.

Revision Policy

Revisions are modifications that require approval of the Regional Transportation Council. A revision is required for any project modification that meets the following criteria or that does not fall under the Administrative Amendment Policy.

1. **Adding or Deleting Projects from the TIP:** (except as outlined in #4 and #5 under the Administrative Amendment Policy)
2. **Cost Increases:** A revision is required on any cost increase that does not fall under item #1 in the administrative amendment policy statement
3. **Scope Changes:** (except as outlined in #7 under Administrative Amendment Policy):
 - Type of Work Being Performed
 - Physical Length of Project
 - Project Termini
4. **Funding Year Changes:** A revision is required to move a project into a fiscal year that would delay project implementation.
5. **Changes in the Funding/Cost Shares:** A change to the percentage of the total project cost paid by each funding partner requires a revision.