

North Central Texas Council of Governments

**TO:** NCTCOG Executive Board

**DATE:** October 20, 2022

**FROM:** Mike Eastland  
Executive Director

**SUBJECT:** Executive Board Meeting

The next meeting of the **NCTCOG Executive Board** will be:

**DATE:** October 27, 2022

Please RSVP to the Executive Director's office as soon as possible by email or call (817) 695-9100.

**REGULAR BOARD MEETING**

**TIME:** 12:00 noon – Lunch

12:30 p.m. – Executive Board Meeting: **Work Session**

1:00 p.m. – Executive Board Meeting: **Regular Session**

**EXECUTIVE SESSION:** *(Immediately following the regular meeting)*

The Board will convene in Executive Session to discuss Item 11: Performance Review of Executive Director and Consideration of Compensation. Following the Executive Session, the Board will reconvene in regular session to consider any possible action.

**PLACE:** NCTCOG Offices  
Centerpoint II Conference Center  
616 Six Flags Drive  
Arlington, TX 76011  
Transportation Council Room

President Piel hopes each of you will plan to attend this important meeting. I look forward to seeing you there!

ME:jw

# North Central Texas Council of Governments

616 Six Flags Drive, Arlington, TX, 76011

## EXECUTIVE BOARD AGENDA

October 27, 2022 | 12:30 pm

### Executive Board

1. \_\_\_\_\_ Andrew Piel
2. \_\_\_\_\_ Bill Heidemann
3. \_\_\_\_\_ Chris Hill
4. \_\_\_\_\_ David Sweet
5. \_\_\_\_\_ Rick Carmona
6. \_\_\_\_\_ J.D. Clark
7. \_\_\_\_\_ Jorja Clemson
8. \_\_\_\_\_ Janet DePuy
9. \_\_\_\_\_ Carlos Flores

10. \_\_\_\_\_ Clyde Hairston
11. \_\_\_\_\_ Clay Jenkins
12. \_\_\_\_\_ Victoria Johnson
13. \_\_\_\_\_ Todd Little
14. \_\_\_\_\_ Linda Martin
15. \_\_\_\_\_ Cara Mendelsohn
16. \_\_\_\_\_ Bobbie Mitchell
17. \_\_\_\_\_ Glen Whitley
18. \_\_\_\_\_ Glenn Rogers

### Staff

- |                        |                       |
|------------------------|-----------------------|
| _____ Mike Eastland    | _____ Lucille Johnson |
| _____ Monte Mercer     | _____ Jerri Watson    |
| _____ Tim Barbee       |                       |
| _____ Doni Green       |                       |
| _____ Edith Marvin     |                       |
| _____ Maribel Martinez |                       |
| _____ Michael Morris   |                       |
| _____ Phedra Redifer   |                       |
| _____ Molly Rendon     |                       |
| _____ Christy Williams |                       |

### WORK SESSION: 12:30 pm

Edith Marvin, Director of Environment & Development, will continue a presentation on Stormwater and Floodplain Management in our region.

### REGULAR SESSION: 1:00 pm

Call to order time: \_\_\_\_\_

Pledge to the United States and Texas Flags

### **Public Comment on Agenda Items**

*Members of the public may comment on any item(s) on today's agenda at this time. If speaking, please announce your name, city of residence and the agenda item(s) on which you are commenting. A maximum three (3) minutes is permitted per speaker. At the conclusion of this item, no further opportunities for public comment will be provided for the duration of the meeting.*

### ACTION:

Motion/Second	Item #	Name of Item
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_____/____	<b>1</b>	<b>Approval of Minutes</b>
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_____/____	<b>2</b>	<b>Resolution to Accept and Approve the Quarterly Investment Report - Molly Rendon</b>
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_____/____	<b>3</b>	<b>Resolution to Review and Approve NCTCOG's Investment Policy - Molly Rendon</b>
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_____/____	<b>4</b>	<b>Resolution Authorizing Fiscal Year 2023 Supplemental Allocations for Aging Nutrition and Transportation Services - Doni Green</b>
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_____/____	<b>5</b>	<b>Resolution Endorsing Fiscal Year 2022 Reconnecting Communities Pilot Program Grant Application to the United States Department of Transportation - Michael Morris</b>
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- \_\_\_\_/\_\_\_\_ 6 Resolution Endorsing the 2022 Update of Access North Texas, the Coordinated Public Transit-Human Services Transportation Plan for North Central Texas - Michael Morris
- \_\_\_\_/\_\_\_\_ 7 Resolution Authorizing a Memorandum of Understanding with Gas Technology Institute to Participate in the Texas and Gulf Coast Hydrogen Hub Consortium - Michael Morris
- \_\_\_\_/\_\_\_\_ 8 Resolution Authorizing Amendment to Increase Contract Budget with Lea + Elliott, Inc. to Complete Performance Guidelines for an Automated Transportation System - Michael Morris

**MISCELLANEOUS:**

- \_\_\_\_/\_\_\_\_ 9 Old and New Business
- \_\_\_\_/\_\_\_\_ 10 Future Calendar and Attendance

**EXECUTIVE SESSION:**

Time: \_\_\_\_\_

The Executive Board will convene in Executive Session pursuant to Open Meetings Act Section 551.074 to deliberate regarding personnel matters:

- Performance Review of Executive Director and Consideration of Compensation

**CLOSE EXECUTIVE SESSION** Time: \_\_\_\_\_

**RECONVENE REGULAR SESSION** Time: \_\_\_\_\_

- \_\_\_\_/\_\_\_\_ 11 Performance Review of Executive Director and Consideration of Compensation

**Adjournment:** \_\_\_\_\_

A closed executive session may be held on any of the above agenda items when legally justified pursuant to Subchapter D of the Texas Open Meetings Act (Texas Government Code Chapter 551).

# NORTH CENTRAL TEXAS COUNCIL OF GOVERNMENTS EXECUTIVE BOARD MEETING

Minutes — September 22, 2022

Transportation Council Room | 616 Six Flags Drive | Arlington, Texas

President Piel called the regular meeting of the North Central Texas Council of Governments Executive Board to order at 1:05 pm on September 22, 2022.

## **Members of the Board Present:**

- |                   |                      |
|-------------------|----------------------|
| 1. Andrew Piel    | 8. Clyde Hairston    |
| 2. Bill Heidemann | 9. Clay Jenkins      |
| 3. David Sweet    | 10. Victoria Johnson |
| 4. Rick Carmona   | 11. Todd Little      |
| 5. J.D. Clark     | 12. Linda Martin     |
| 6. Janet DePuy    | 13. Cara Mendelsohn  |
| 7. Carlos Flores  | 14. Bobbie Mitchell  |

## **Members of the Board Absent:**

1. Chris Hill
2. Jorja Clemson
3. Glen Whitley
4. Glenn Rogers

## **Members of the Staff Present:**

Mike Eastland, Monte Mercer, Molly Rendon, Tim Barbee, Doni Green, Michael Morris, Edith Marvin, Maribel Martinez, Christy Williams, Lucille Johnson, April Leger, Ken Kirkpatrick, James Powell, Charles Covert, Debra Kosarek, Kelly Schmidt, Chris Klaus, Dan Kessler, Dan Lamers, Casey Cannon, Jon Blackman, Randy Richardson, Richard Michael, Lisa Sack, Erin Blackman

## **Visitors Present:**

None

## **REGULAR SESSION**

### **PUBLIC COMMENT ON AGENDA ITEMS**

President Piel opened the meeting for public comment and asked if there was anyone present wishing to speak. There being no one, he proceeded with the meeting.

## **ACTION:**

### **Item 1 Approval of Minutes**

President Piel stated that the first item on the agenda was approval of the minutes from the August Board meeting.

Upon a Motion by Mayor Carmona (seconded by Councilmember Mendelsohn), and unanimous vote of all members present, the Board approved the minutes of the August Executive Board meeting.

### **Item 2 Conduct a Public Hearing Regarding the Annual Fiscal Program for FY2023 and Consider a Resolution to Approve and Adopt the Annual Fiscal Program**

Randy Richardson, Assistant Finance Director, asked the Board to adopt the FY 2023 Annual Fiscal Program in the amount of \$287,162,048, including the receipt of federal, state and local funding. He explained that in August, the proposed budget had been presented in detail to the Finance Committee and in a less detailed fashion to the full Board. He then presented a final overview of the Fiscal Program to the Executive Board. President Piel opened the public hearing on the FY 2023 Annual Fiscal Program and explained that members of the public could comment on this item. No one requested to speak to the Board.

Upon a Motion by Judge Little (seconded by Mayor Hairston), and unanimous vote of all members present, the Board approved the resolution adopting the 2022-23 Fiscal Year budget.

**Item 3 Resolution Authorizing FY2023 Purchases of Computer Hardware, Software, and Information Technology Contract Services for the Agency**

Tim Barbee, Director of Research and Information Services, requested Board authorization for the purchase of computer hardware, software and Information Technology Contract Services, consistent with Attachment A, in an amount not to exceed \$4,450,000. The plan is based on each of the departments' IT needs over the next 12 months, regarding licensing and maintenance costs of enterprise hardware and software and expected projects to be completed over the next year.

**Attachment A**

#	Category	Vendor(s)	Costs
<b>New Board Authorizations</b>			
1	<b>Client Devices and Accessories:</b> Includes the cost of all printers, computers, tablets, monitors, accessories, and associated warranties. These items are typically purchased off a cooperative purchasing contract.	CDWG, Dell, SHI	\$ 600,000.00
2	<b>Client Productivity Software and Software as a Service:</b> Includes the cost of client productivity and collaboration software or software as a service. This includes Adobe, Smartsheet, Zoom, and other productivity software, and associated services and support. These items are typically purchased off a cooperative purchasing contract.	Carahsoft, Cartovista, CDWG, Insight	\$ 198,000.00
3	<b>Smartsheet Advanced:</b> Includes the cost of Smartsheets premium features, including secure request management, application integrations, and project, program, and process management. These items are typically purchased off a cooperative purchasing contract.	Carahsoft	\$ 210,000.00
4	<b>Network, Internet, and Voice Equipment and Services:</b> Includes the cost of equipment for the network routers, switches, firewalls, access points, or enterprise voice and data network equipment and associated warranties and software maintenance. These items are typically purchased off a cooperative purchasing contract.	CDWG, DataVox, Flair Data Systems, SHI	\$ 300,000.00
5	<b>Data Center and Infrastructure Equipment:</b> Includes the cost of replacing, upgrading, or purchasing new data center server, storage, and data protection equipment, including any warranties or software maintenance contracts. These items are typically purchased off a cooperative purchasing contract.	CDWG, FreeIT, UDI/Converged	\$ 425,000.00
6	<b>Cabling and Wiring Services:</b> Includes the cost of cabling services, installing patch panels and equipment racks, and testing existing cabling and wiring. This will be purchased off a cooperative purchasing contract.	NCS	\$ 100,000.00
7	<b>Internet, Telephone, and Wide Area Network Services:</b> Includes the cost for Internet and telephone connectivity for the Agency and the cost of the wide area network connectivity required for the Workforce Department. This will be purchased off a cooperative purchasing contract.	TxDIR	\$ 300,000.00
8	<b>Financial System Software Maintenance:</b> Includes the maintenance of Deltek financial software. This is a sole source purchase.	Deltek	\$ 175,000.00

9	<b>Transportation Modeling Software:</b> Includes the maintenance of Caliper Transcad Modeling and add-ons software. This is a sole source purchase.	Caliper	\$ 80,000.00
10	<b>Laserfiche Software and Support:</b> Includes the cost of Laserfiche software and maintenance, and the cost of support services for the Laserfiche software and related technologies. This will be purchased off a cooperative purchasing contract.	MCCi	\$ 230,000.00
11	<b>Website Licensing, Migrations and Support:</b> Includes the cost of licensing and related services for the main NCTCOG website and other websites on the Kentico platform. This will be purchased off a cooperative purchasing contract.	BlueModus, SHI	\$ 285,000.00
12	<b>Security Software, Services, and Hardware:</b> Includes funds for the maintenance, support, and associated services for security hardware and software. Typically purchased off a cooperative purchasing agreement.	Carahsoft, ePlus, Kudelski Security, SHI	\$ 512,000.00
13	<b>Security Service Edge:</b> Secures access to the web, cloud services, and private applications. Capabilities include access control, threat protection, data security, security monitoring, and acceptable-use control. This will be purchased off a cooperative purchasing contract.	ePlus	\$ 75,000.00
14	<b>Microsoft Enterprise Agreement Increase:</b> Costs for software licenses and cloud services purchased off of the Microsoft Enterprise Agreement. The amount is in addition to the \$1,725,000 approved in FY2021 bringing the total to \$2,000,025. This will be purchased off the DIR cooperative purchasing agreement.	SHI	\$ 300,000.00
15	<b>Microsoft Software Support Services:</b> Includes the cost of the Microsoft annual support contract, and consulting services on Microsoft technologies such as System Center Configuration Manager, SQL Database software, Office 365, and Windows Server. Typically purchased off a cooperative purchasing agreement.	Microsoft, Planet Technologies, SHI	\$ 300,000.00
16	<b>Web/Application Development Software and Services:</b> Includes funds for the maintenance, support, and associated services for web and application development. Typically purchased off a cooperative purchasing agreement and/or existing services contract.	BlueModus, Eixsys, SHI, Webhead	\$ 360,000.00
		<b>TOTAL NEW AUTHORIZATIONS</b>	<b>\$ 4,450,000.00</b>

Exhibit: 2022-09-03-RIS

Upon a Motion by Mayor Carmona (seconded by Councilmember Mendelsohn), and unanimous vote of all members present, the Board approved the resolution as presented.

**Item 4 This item was withdrawn**

No action was taken on this item.

**Item 5 Resolution Amending Contract Authorization with CTJ Maintenance, Inc. for Workforce Janitorial Services**

Molly Rendon, Director of Administration, requested Board approval for an amendment to the contract authorization between NCTCOG and CTJ Maintenance, Inc., increasing the amount not to exceed by \$217,500 (25%), for a revised total not to exceed amount of \$1,087,500. She explained that the Board previously authorized the contract

with CTJ Maintenance, Inc. in September 2019 for janitorial services for the Workforce Centers in an amount not to exceed \$870,000 with a term ending December 31, 2023. The increase in funding will be used to serve new Workforce Center locations, expansion of existing centers, and additional cleanings due to the COVID pandemic.

Exhibit: 2022-09-05-AA

Upon a Motion by Councilmember Mendelsohn (seconded by Councilmember Johnson), and unanimous vote of all members present, the Board approved the resolution as presented.

**Item 6 Resolution Authorizing the FY2023 Interlocal Cooperation Agreement with the Office of the Governor Public Safety Office**

Kelly Schmidt, Criminal Justice Program Administrator, requested Board authorization to enter an Interlocal Cooperation Agreement (ILA) with the Office of the Governor to (1) manage and administer the Homeland Security Grant Program in an approximate amount of \$50,000 and (2) provide Criminal Justice planning and coordination activities in an approximate amount of \$198,610.99, for a total approximate amount of \$250,000. The Office of the Governor's Public Safety Office (PSO) executes a single Interlocal Cooperation Agreement with the North Central Texas Council of Governments to provide Homeland Security Grants Division (HSGD) and Criminal Justice Division (CJD) services through its Emergency Preparedness and Criminal Justice Departments; however, there are two separate Statements of Work (SOW) attachments to the agreement – one SOW for HSGD deliverables and one SOW for CJD deliverables. The performance period is September 1, 2022, to August 31, 2023.

Exhibit: 2022-09-06-CJ

Upon a Motion by Mayor Hairston (seconded by Mayor Carmona), and unanimous vote of all members present, the Board approved the resolution as presented.

**Item 7 Resolution Authorizing Acceptance of the FEMA FY2022 Homeland Security Grant Program (HSGP) Grant Funds**

Maribel Martinez, Director of Emergency Preparedness, requested Board acceptance of the FEMA FY2022 Homeland Security Grant Program (HSGP) funds in an amount not to exceed \$2,000,000 in HSGP funds (UASI and SHSP combined) to provide planning, equipment, training, and exercise assistance to local governments and first responders throughout the region. The funding is part of the FEMA FY2022 Department of Homeland Security (DHS) Appropriations Act and will be used to fill gaps between needs and existing capabilities pertaining to prevention, preparedness, mitigation, response, and recovery activities. The period for performance for projects under this FEMA FY2022 HSGP is September 1, 2022, to August 31, 2025.

Current Projects to be funded under FEMA FY2022 HSGP are:

2945608 - 2022 SHSP - NCTCOG - Regional Planning  
2945308 - 2022 SHSP - NCTCOG - CCP Enhancement Project  
3061407 - 2022 SHSP - NCTCOG - Regional Training and Exercise Program  
3306306 - 2022 SHSP - NCTCOG - Emergency Management Enhancement  
2945207 - 2022 SHSP - NCTCOG - Public Education Program Enhancement  
4427201 - 2022 SHSP - NCTCOG - Regional Interoperable Communications Enhancements  
4455201 - 2022 SHSP - NCTCOG - Regional USAR  
3946203 - 2022 SHSP - NCTCOG - Cybersecurity  
2984208 - 2022 UASI - NCTCOG - Planning

Additional funding of \$45,000 through an interlocal agreement with the City of Dallas will be used to assist the Urban Area Security Initiative (UASI) jurisdictions with grant management and administrative tasks associated with the UASI Grant Program, and \$50,000 through an interlocal agreement with the Office of the Governor (OOG), will be used to assist in distributing information, accepting, scoring, prioritizing, and submitting projects to the state as well as other grant management requirements.

The grant program is 100% federally funded with no local cost-share or match requirements.

Exhibit: 2022-09-07-EP

Upon a Motion by Mayor Hairston (seconded by Mayor Pro Tem DePuy), and unanimous vote of all members

present, the Board approved the resolution as presented.

**Item 8 Resolution Authorizing Acceptance of the FY2023 Statewide Emergency Radio Infrastructure (SERI) Grant Funds and Interlocal Agreements with the Cities of Irving and Grand Prairie**

Maribel Martinez, Director of Emergency Preparedness, requested Board authorization for acceptance of the FY2023 Statewide Emergency Radio Infrastructure (SERI) Grant funds, in an amount not to exceed \$1,000,000.00, and to enter Interlocal Agreements with the Cities of Irving and Grand Prairie for the DFW Corridor Infrastructure Project. The funding is a part of the FY2023 Texas General Appropriations Act, Article I, Rider 25 for Trusteed Programs within the Office of the Governor (OOG). The funds will be used to improve regional communication systems and expand capabilities of first responder services and enhance redundancy among regional partners. NCTCOG will serve as liaison between our regional stakeholders and the OOG. The cities of Irving and Grand Prairie will front fund all purchases, procure equipment and services, complete installation, maintain the equipment, and ensure all receipts are submitted to NCTCOG for reimbursement. The performance period for projects under this FY2023 SERI grant program are September 1, 2022, to August 31, 2024. The grant program is 100% federally funded with no local cost-share or match requirements.

Exhibit: 2022-09-08-EP

Upon a Motion by Commissioner Mitchell (seconded by Councilmember Johnson), and unanimous vote of all members present, the Board approved the resolution as presented.

**Item 9 This item was withdrawn**

No action was taken on this item.

**Item 10 Resolution Authorizing Supplemental Allocations for Aging Nutrition Services**

Doni Green, Director of Aging, requested Board authorization for the supplemental allocation of \$450,000 in the aggregate to nutrition subrecipients that exceed the terms of their performance-based agreements, which include: Meals on Wheels of Collin County, Meals on Wheels North Central Texas, Senior Connect, Parker County Committee on Aging, Somervell County Committee on Aging, and Wise County Committee on Aging. She explained that NCTCOG receives federal and state funding through Title III of the Older Americans Act to support home-delivered meals for people who are at least 60 years old and live in its 14-county service region, consisting of those counties surrounding—but not including—Dallas and Tarrant. NCTCOG has unexpended Title III funds it wishes to fully expend during the current fiscal year to allow an increase in the home-delivered meal budget by \$450,000 and allocate a proportionate share to the subrecipients.

Exhibit: 2022-09-10-AG

Upon a Motion by Mayor Hairston (seconded by Mayor Carmona), and unanimous vote of all members present, the Board approved the resolution as presented.

**Item 11 Resolution Endorsing a Contract with Vexx to Provide Registered Apprenticeship Training**

Debra Kosarek, Senior Program Manager, requested Board endorsement to enter a contractual agreement with Vexx to provide apprenticeship training in the Telecommunications Industry Registered Apprenticeship Program (TIRAP), for an eighteen (18) month term and in an amount not to exceed \$702,000. She explained that the funding was awarded under the Texas Workforce Commission Request for Applications (RFA) for the Apprenticeship Texas Expansion Grant. The Expansion Grant provides funding for registered apprenticeship training to help employers develop new and expand existing apprenticeship programs. The contract with Vexx was executed in August 2022 to ensure project completion.

Exhibit: 2022-09-11-WD

Upon a Motion by Commissioner Mitchell (seconded by Mayor Hairston), and unanimous vote of all members present, the Board approved the resolution as presented.



**Item 12 Resolution Authorizing a Contract with Kezzler Group, LLC for Strategic Structural Alignment and Implementation Consulting Services**

Debra Kosarek, Senior Program Manager, requested Board authorization to enter a contractual agreement with Kezzler Group, LLC for Strategic Structural Alignment and Implementation Consulting Services, for a maximum twelve (12) month term and in an amount not to exceed \$135,000. A Request for Proposals (RFP #2022-058) was issued and evaluated by a committee comprised of NCTCOG staff from the Administration and Workforce Development departments recommending the contract awarded to Kezzler Group, LLC.

Exhibit: 2022-09-12-WD

Upon a Motion by Mayor Heidemann (seconded by Councilmember Johnson), and unanimous vote of all members present, the Board approved the resolution as presented.

**Item 13 Resolution Endorsing Fiscal Year 2022 Safe Streets and Roads for All Grant Application Submitted to the United States Department of Transportation**

Michael Morris, Director of Transportation, requested Board endorsement for the submittal of a grant application to the United States Department of Transportation (USDOT) under the SS4A Program in the amount of \$21.8 million, as detailed in Attachment 1. The funding will assist in implementing complete street (context sensitive) roadway modifications, safety improvements, and technology upgrades to the Martin Luther King, Jr./Cedar Crest Blvd. in southern Dallas. The proposed project will benefit residents in a historically disadvantaged area of Dallas with safety improvements for all roadway travelers, increases transportation choices, improves air quality, and provides access to employment, education, and community services.

The total project cost is anticipated to be \$27.25 million, with the City of Dallas and Dallas Area Rapid Transit providing a total of \$5.45 million in local match and has been approved by the Regional Transportation Council.

Attachment 1

**Safe Streets Grant Application  
Anticipated Project Budget**

Component Name	Project Cost	Federal (SS4A)	Non-Federal Match	Match Source
Complete Street (Context Sensitive) Retrofit, Safety, and Technology Upgrades	\$26,250,000	\$21,000,000	\$5,250,000	City of Dallas
Dallas Area Rapid Transit Bus Stop / Smart Bus Shelter Upgrades	\$1,000,000	\$800,000	\$200,000	DART
<b>Total</b>	<b>\$27,250,000</b>	<b>\$21,800,000</b>	<b>\$5,450,000</b>	


 Safe Streets and Roads for All Grant Application

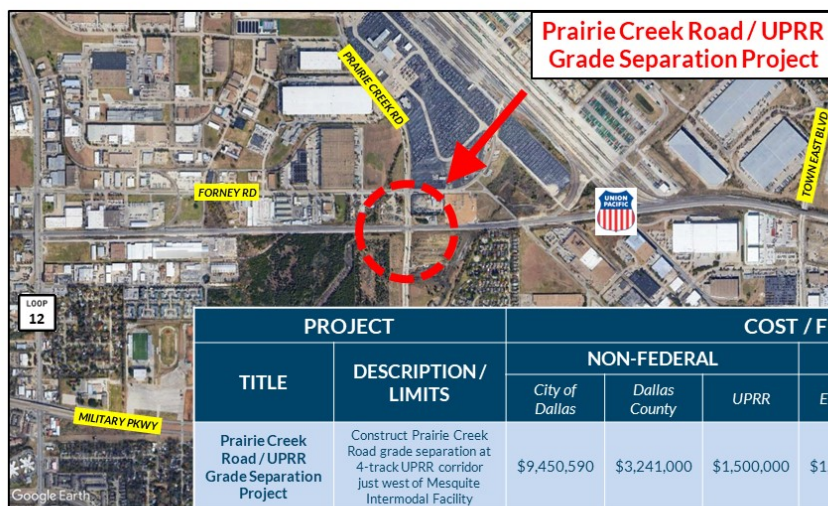
Exhibit: 2022-09-13-TR

Upon a Motion by Councilmember Mendelsohn (seconded by Commissioner Mitchell), and unanimous vote of all members present, the Board approved the resolution as presented.

**Item 14 Resolution Authorizing Fiscal Year 2022 Railroad Crossing Elimination Program Grant Application to the United States Department of Transportation**

Michael Morris, Director of Transportation, requested Board authorization for the submittal of a Railroad Crossing Elimination Program (RCEP) grant application to the United States Department of Transportation (USDOT) in the amount of \$15,138,299, as detailed in Attachment 1. The funding will be used for the Prairie Creek Road/Union Pacific Railroad (UPRR) Grade Separation Project and is set aside for the following purposes: (1) Planning - \$18 million; (2) Rural or Tribal Grade Crossing Improvement Projects - \$114.7 million; and (3) Urban Grade Crossing Improvement Projects - \$440.6 million. The proposed project will benefit residents by replacing and removing a dangerous and congested at-grade crossing by constructing a grade-separated crossing and is approved by the Regional Transportation Council.

## RAILROAD CROSSING ELIMINATION PROGRAM (RCEP) – SUBMITTAL



RTC Action Item –  
Railroad Crossing Elimination Program

Exhibit: 2022-09-14-TR

Upon a Motion by Councilmember Mendelsohn (seconded by Mayor Hairston), and unanimous vote of all members present, the Board approved the resolution as presented.

### Item 15 Resolution Authorizing Execution of Agreements with STAR Transit for Transit Service in Cedar Hill and Duncanville

Michael Morris, Director of Transportation, requested Board authorization to execute agreements with STAR Transit for an amount not to exceed \$1,260,000 (\$1,008,000 Federal, \$252,000 Local) in Enhanced Mobility of Seniors and Individuals with Disabilities Program funds for expansion of services to Cedar Hill and Duncanville. The Enhanced Mobility of Seniors and Individuals with Disabilities Program focuses on transit services for seniors and individuals with disabilities when other transportation options are limited. Mr. Morris explained that both cities submitted a proposal to NCTCOG's Transit Strategic Partnerships program requesting funding to be awarded to STAR Transit for the purpose of introducing pilot transit service that prioritizes seniors and individuals with disabilities in cities who currently have no public transportation service. The requested funding will support a combination of demand response and STARNow same-day service for a pilot period of two years and is approved by the Regional Transportation Council. Local match will be provided by Cedar Hill and Duncanville.

Exhibit: 2022-09-15-TR

Upon a Motion by Mayor Hairston (seconded by Councilmember Mendelsohn), and unanimous vote of all members present, the Board approved the resolution as presented.

### Item 16 Resolution Authorizing Amendment to the Contract with ETC Institute for the 2020 North Central Texas Regional Transit On-Board Survey

Michael Morris, Director of Transportation, requested Board authorization amending the contract between NCTCOG and ETC Institute to add Federal Transit Administration funds in the amount of \$425,000 to implement the 2020 North Central Texas Regional Transit On-Board Survey for a total contract amount not to exceed \$2,175,000. The Board approved the contract with ETC Institute in December 2019. The goal of the project is to conduct a full transit travel survey on buses, streetcars, on-demand services, light rail lines, and commuter rail lines of Dallas Area Rapid Transit (DART), Denton County Transportation Authority (DCTA), and Fort Worth Transportation Authority d/b/a Trinity Metro and is a collaborative effort between NCTCOG, DART, DCTA, and Trinity Metro. The survey provides a comprehensive picture of socioeconomic characteristics of transit users and

trip details to determine how and why they use the transit system and will benefit residents by providing data that assists transit agencies in designing more efficient routes.

Mr. Morris explained that the major tasks of the project, including the main data collection, were halted in March 2020 due to the Covid-19 Pandemic. Work was resumed in June 2022 with plans to conduct the data collection in 2022 and 2023. Additional funds are needed to complete the project due to the new “normal” conditions and the updated schedules and services (transit networks). ETC is committed to meet a minimum of 31.92 percent for Disadvantaged Business Enterprise participation goals for planning activities.

Exhibit: 2022-09-16-TR

*Judge Jenkins entered the meeting.*

Upon a Motion by Mayor Pro Tem DePuy (seconded by Mayor Martin), and unanimous vote of all members present, the Board approved the resolution as presented.

**Item 17 Resolution Authorizing Agreement with Recipient for the North Texas Clean Diesel Project 2021 Call for Projects**

Michael Morris, Director of Transportation, requested Board authorization to enter an agreement with MHC Truck Leasing for an amount not to exceed \$220,259 in Environmental Protection Agency (EPA) funds. He explained that MHC Truck Leasing is the recipient for the North Texas Clean Diesel Project (NTCDP) 2021 Call for Projects (CFP). This initiative helps to reduce emissions of ozone-forming nitrogen oxides from diesel-powered heavy-duty vehicles or equipment in the Dallas-Fort Worth 10-county ozone nonattainment area by replacing older, high-emitting vehicles, engines, or equipment with new, low-emitting vehicles, engines, or equipment, as well as installing locomotive idle-reduction technology and related infrastructure. The funding is approved by the Regional Transportation Council (RTC) and will replace one diesel vehicle with a newer, zero-emission vehicle. The project will benefit residents because it advances zero-emission vehicle technology, reduces diesel engine emissions that create ozone, and reduces noise.

The following table details the anticipated funding recipient and source:

Agreement Recipient Name	CFP Source	EPA Funds		Local Contribution	
		Percent (No more than)	Amount (Not to exceed)	Percent (At least)	Amount (Approximately)
MHC Truck Leasing	NTCDP	45%	\$220,259	55%	\$269,206

Exhibit: 2022-09-17-TR

Upon a Motion by Mayor Hairston (seconded by Mayor Carmona), and unanimous vote of all members present, the Board approved the resolution as presented.

**Item 18 Resolution Authorizing Travel Outside North America**

Michael Morris, Director of Transportation, requested Board authorization for Dan Lamers to travel outside North America to attend the 2022 Global Tolling Summit in October 2022 in Dublin, Ireland. The Summit will be hosted by the International Bridge, Tunnel and Turnpike Association (IBTTA) and will showcase the region’s successful implementation of using technology to verify high-occupant vehicle status on managed lanes and in a Federal Highway Administration grant to show proof of concept in using technology as a potential gas tax replacement. Mr. Morris explained that Dan Lamers, Senior Program Manager, was invited to speak at the Summit regarding the use of the region’s GoCarma system which is currently used to verify vehicle occupancy for the purpose of providing high-occupancy vehicle (HOV) discounts on managed lanes and will also be used as part of a Federal Highway Administration grant to show proof of concept in using technology as a potential gas tax replacement. Travel, lodging, and meals are expected to be approximately \$4,000.

Exhibit: 2022-09-18-TR

Upon a Motion by Judge Little (seconded by Councilmember Flores), and unanimous vote of all members present, the Board approved the resolution as presented.

**Item 19 Resolution Authorizing a Contract Extension with Atkins North America, Inc. for the Regional Stormwater Monitoring Program**

Edith Marvin, Director of Environment and Development, requested Board authorization to extend the current contract with Atkins North America, Inc. for the Regional Stormwater Monitoring Program. She explained that the original contract was approved by the Executive Board in September 2017 for a maximum five (5) year term and in an amount not to exceed \$1,850,000. The term expires on September 30, 2022. Due to a newly mandated Texas Commission on Environmental Quality (TCEQ) requirement for a technical review by the eight participants, Atkins North America, Inc., has requested additional time to address all technical comments by the eight program participants. The extension will be for time only, no additional cost will be incurred.

Exhibit: 2022-09-19-ED

Upon a Motion by Commissioner Mitchell (seconded by Mayor Pro Tem DePuy), and unanimous vote of all members present, the Board approved the resolution as presented.

**APPOINTMENTS:**

**Item 20 Appointments to Department of Environment and Development Advisory Committees**

Edith Marvin, Director of Environment and Development, asked the Board to approve the following appointments to Environment and Development advisory committees:

**PUBLIC WORKS COUNCIL**

(Chair and Vice Chair leadership positions are one-year terms; members serve two-year terms)

*Chair:* Michael Owen, City Engineer, City of Fort Worth

*Vice Chair:* Carrie Jones, Assistant Director, City of Plano

**Public Sector Standing Member**

City of Arlington	Christopher Funches, Project Engineer
City of Carrollton	Jody Byerly, Director of Public Works
City of Dallas	Derek White, Assistant City Engineer
City of Denton	Pritam Deshmukh, Deputy Director of Water / Wastewater Utilities
City of Fort Worth	Juan Cadena, Operations Officer
City of Frisco	David Chacon, Senior Civil Engineer
City of Garland	Michael Polocek, Director of Engineering
City of Irving	Walter Thomas, City Engineer
City of McKinney	Paul Sparkman, Assistant Director of Public Works
City of Plano	Carrie Jones, Assistant Director of Public Works for Streets/Traffic/Drainage
Collin County	Mark Hines, Assistant Director of Public Works
Dallas County	Cecelia Rutherford, Assistant Director
Denton County	Stephen Belknap, Assistant Director of Development Services

**Public Sector Subregional Representative**

Denton/Lake Cities	Layne Cline, Public Works Superintendent, City of Lake Dallas
DFW North	Clayton Riggs, Director of Public Works, Town of Flower Mound
East	Scott Monaghan, Director of Public Works, City of Fate
Mid-Cities	Caroline Waggoner, Director of Public Works, City of North Richland Hills
Northeast	Andrew Figueroa, Director of Public Works, City of Celina
Northeast Dallas	Ronnie O'Brien, Assistant Director of Public Works, City of Rowlett
Northeast Tarrant	Keith Miertschin, Assistant Director of Operations, City of Grapevine
Northwest	Dillon Luna, Management Analyst, Town of Northlake
Southeast Dallas	Brooks Williams, City Manager, City of Ferris
Southwest	Jeremy Hutt, Director of Public Works, City of Cleburne
Southwest Dallas	Robert Woodbury, City Engineer, City of Cedar Hill
West	Manny Palacios, Director of Transportation and Public Works, City of Weatherford

### Private Sector

Texas Council of                      Lyndon Cox, Senior Principal / Engineer, Terracon Consultants  
Engineering Laboratories /  
GeoProfessionals Serving Texas

### **REGIONAL CODES COORDINATING COMMITTEE**

(Chair and Vice Chair leadership positions are one-year terms; members serve two-year terms)

*Chair:* David Kerr, Fire Marshal, City of Melissa

*Vice Chair:* Selso Mata, Chief Building Official, City of Plano

### Member

City of Carrollton	Brett King, Chief Building Official, City of Carrollton
City of Dallas	Little David Session, Building Official
City of Dallas	Lt. Dwight Freeman, Dallas Fire-Rescue
City of Frisco	Philip Climer, Assistant Building Official
City of Irving	Wayne K. Snell, Jr., Director of Inspections
City of Lewisville	Jeremy Booker, Building Official
City of North Richland Hills	David Pendley, Chief Building Official
City of Plano	Selso Mata, Chief Building Official
City of Rockwall	Jeffrey Widmer, Chief Building Official
Town of Flower Mound	Joelle Hainley, Building Official
Town of Prosper	Brady Cudd, Building Official
Associated General Contractors	Jack Baxley, Vice President of Governmental Affairs, TEXO
Construction Code Consultant	Stan Folsom, Executive Director, Systemhouse
Dallas Builders Association	Gregg McGriff, Vice President, Altura Homes
Building Owners and Managers Association, Dallas	Joe Bass, Senior Vice President – Development, Hillwood

### **RESOURCE CONSERVATION COUNCIL**

(Chair and Vice Chair leadership positions are one-year terms; members serve two-year terms)

*Chair:* Kathy Fonville, Sustainability Program Coordinator, City of Mesquite

*Vice Chair:* Howard Redfearn, Environmental Manager, City of Mansfield

### Public Sector Member

City of Dallas	Danielle McClelland, Division Manager – Zero Waste, Environmental Quality & Sustainability
City of Frisco	Julianah Marie, Environmental Programs Superintendent
City of Garland	Chris Hoffnagle, Landfill Director
City of Grand Prairie	Patricia Redfearn, Solid Waste Manager
City of Grapevine	Dewey Stoffels, Environmental Manager
City of Mansfield	Howard Redfearn, Environmental Manager
City of Mesquite	Kathy Fonville, Sustainability Program Coordinator
Dallas County	Analisa Griffith, Sanitarian Health Inspector
Hood County	Rick Crownover, Director of Public Works, City of Granbury
Kaufman County	Pam Corder, Project Manager
Tarrant County	Maria Moreno, Environmental Specialist

### Other

Educational	Dr. Arpita Bhatt, Associate Professor of Instruction, University of TX at Arlington
Environmental	Cecile Carson, KAB Affiliates Representative, Keep America Beautiful
Environmental	Rachel Hering, Program Director, Keep Texas Beautiful
Private Sector	Risa Weinberger, Principal, Risa Weinberger & Associates, Inc.
Private Sector	Lora Hinchcliff, Municipal Solutions Manager, Living Earth
Private Sector	Richard Abramowitz, Owner, RMA Consultants, LLC
Special District	Mike Friesen, Assistant Deputy-Solid Waste, North Texas Municipal Water District

## **WATER RESOURCES COUNCIL**

(Chair and Vice Chair leadership positions are one-year terms; members serve two-year terms)

*Chair:* Glenn Clingenpeel, Executive Manager, Technical Services & Basin Planning,  
Trinity River Authority of Texas

*Vice Chair:* Stacy Walters, Regulatory Administrator, City of Fort Worth

### **Major Utility Providers**

City of Fort Worth Stacy Walters, Regulatory Administrator

Tarrant Regional Water District Dan Buhman, General Manager

Trinity River Authority of Texas Glenn Clingenpeel, Executive Manager, Technical Services & Basin Planning

### **Major Utility Providers Customer Members-At-Large**

City of Dallas Sally Wright, Assistant Director, City of Dallas Water Utilities

N. TX Municipal Water Dist. Wes Kucera, Managing Director of Water and Wastewater, City of Garland

N. TX Municipal Water Dist. Eric Robison, Director of Public Services, City of Richardson

Tarrant Regional Water Dist. Rachel Ickert, Chief Water Resources Officer, Tarrant Regional Water District

Trinity River Authority Matthew Jalbert, Executive Manager, Northern Region,  
Trinity River Authority of Texas

Upper Trinity Regional Kevin Mercer, General Manager, Denton County Fresh Water Supply  
Water District District 6 & 7

### **Utility Provider, Development Community or Industry Representative Region-At-Large**

Utility Provider Mike Adams, Executive Director of Engineering & Utilities, City of Midlothian

Utility Provider Caroline Waggoner, Director of Public Works, City of North Richland Hills

Utility Provider Wilson Kakembo, Engineering Manager, Town of Addison

Utility Provider Steve Pettit, Assistant Water Utilities Director, City of Irving

Utility Provider Stephen Gay, Director of Water Utilities, City of Denton

Exhibit: 2020-09-20-ED

Upon a Motion by Mayor Carmona (seconded by Councilmember Mendelsohn), and unanimous vote of all members present, the Board approved the appointments as presented.

## **MISCELLANEOUS:**

### **Item 21 Old and New Business**

Mike Eastland stated to the Board that next month's work session would continue the discussion of Stormwater.

### **Item 22 Attendance and Calendar**

The Board was provided with a Calendar of meeting and a record of Attendance.

President Piel adjourned the meeting at 2:20 pm.

Approved by:

Checked by:

\_\_\_\_\_  
Andrew Piel, President  
North Central Texas Council of Governments  
Councilmember, City of Arlington

\_\_\_\_\_  
Mike Eastland, Executive Director  
North Central Texas Council of Governments



**North Central Texas Council of Governments**

*Item 2*

*Exhibit: 2022-10-02-AA*

Meeting Date: October 27, 2022

Submitted By: Molly Rendon  
Director of Administration

Item Title: Resolution to Accept and Approve the Quarterly Investment Report

An Investment Report, as required by the Investment Policy, is submitted for management and Executive Board review. This Investment Report provides information on the investment activity for the quarter ended September 30, 2022.

The following schedule, which complies with NCTCOG's Investment Policy and the Public Funds Investment Act, shows the average rate of return, cumulative transactions, beginning and ending balances for this quarter.

In addition, a supplementary schedule regarding the State's investment activity of the Regional Toll Revenue funds as well as the NTTA Hwy 161 funds has been provided.

I will be available at the Board meeting to answer any questions.

MR

**RESOLUTION TO ACCEPT AND APPROVE THE QUARTERLY INVESTMENT REPORT**

**WHEREAS**, the North Central Texas Council of Governments (NCTCOG) is a Texas political subdivision and non-profit corporation organized and operating under Texas Local Government Code Chapter 391 as the regional planning commission for the 16-county North Central Texas region; and,

**WHEREAS**, NCTCOG is a voluntary association of, by and for local governments established to assist in planning for common needs, cooperating for mutual benefit, and coordinating for sound regional development; and,

**WHEREAS**, the NCTCOG's Quarterly Investment Report for the quarter ended September 30, 2022, is hereby submitted in accordance with the Public Funds Investment Act; and,

**WHEREAS**, the Executive Board has reviewed the document and finds it to be in compliance with the Act.

**NOW, THEREFORE, BE IT HEREBY RESOLVED THAT:**

**Section 1.** The NCTCOG Executive Board accepts and approves the Quarterly Investment Report for the quarter ended September 30, 2022.

**Section 2.** This resolution shall be in effect immediately upon its adoption.

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Andrew Piel, President  
North Central Texas Council of Governments  
Councilmember, City of Arlington

I hereby certify that this Resolution was adopted by the Executive Board of the North Central Texas Council of Governments on October 27, 2022.

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Chris Hill, Secretary/Treasurer  
North Central Texas Council of Governments  
County Judge, Collin County



**NCTCOG Quarterly Investment Report**  
**Fiscal Year 2022 Quarter 4**  
**Ended 9-30-2022**

**Investment Portfolio Detail  
by Fund**

Description	Account #	Program	Beginning Balance	Deposits	Withdrawals	Interest	Ending Balance	% of Total
TexPool	2200400001	General Fund	\$ 8,743,664.42	\$ 2,000,000.00	\$ (4,798,401.27)	\$ 38,647.50	\$ 5,983,910.65	8.56%
Logic	6049012001	General Fund	471.17	-	-	2.49	473.66	0.00%
TexStar	2200411110	General Fund	5,020,779.97	4,049,797.94	-	24,087.12	9,094,665.03	13.01%
<b>General Fund</b>			<b>\$ 13,764,915.56</b>	<b>\$ 6,049,797.94</b>	<b>\$ (4,798,401.27)</b>	<b>\$ 62,737.11</b>	<b>\$ 15,079,049.34</b>	<b>21.57%</b>
TexPool	2200400002	Solid Waste	\$ 1,043,908.54	\$ 753,469.34	\$ -	\$ 5,403.39	\$ 1,802,781.27	2.58%
TexPool	2200400003	Supplemental Environmental Projects	275,025.36	-	-	1,408.13	276,433.49	0.40%
TexPool	2200400005	9-1-1 Operating (1)	3,655,969.28	-	-	18,717.99	3,674,687.27	5.26%
TexPool	2200400007	Transportation Revenue Center 5 Funds	14,022,790.71	244,931.93	-	71,813.87	14,339,536.51	20.51%
TexStar	2200400009	TWDB Commit G1001314 NCTCOG Grant	3,005,419.27	-	-	15,387.32	3,020,806.59	4.32%
TexStar	2200411111	Transportation	2,704,588.90	-	(1,150,510.48)	12,722.64	1,566,801.06	2.24%
TexStar	2200421009	Exchange Funds Principal Pooled	26,954,703.03	-	(3,026,536.87)	127,249.41	24,055,415.57	34.41%
TexStar	2200431009	Exchange Funds Earnings Pooled	5,942,130.81	127,249.41	-	28,341.64	6,097,721.86	8.72%
<b>Special Revenue Fund</b>			<b>\$ 57,604,535.90</b>	<b>\$ 1,125,650.68</b>	<b>\$ (4,177,047.35)</b>	<b>\$ 281,044.39</b>	<b>\$ 54,834,183.62</b>	<b>78.43%</b>
<b>Total</b>			<b>\$ 71,369,451.46</b>	<b>\$ 7,175,448.62</b>	<b>\$ (8,975,448.62)</b>	<b>\$ 343,781.50</b>	<b>\$ 69,913,232.96</b>	<b>100.00%</b>

**Investment Portfolio Detail  
by Investment Type**

Description	Account #	Program	Beginning Balance	Deposits	Withdrawals	Interest	Ending Balance	% of Total
TexPool	2200400001	General Fund	\$ 8,743,664.42	\$ 2,000,000.00	\$ (4,798,401.27)	\$ 38,647.50	\$ 5,983,910.65	8.56%
TexPool	2200400002	Solid Waste	1,043,908.54	753,469.34	-	5,403.39	1,802,781.27	2.58%
TexPool	2200400003	Supplemental Environmental Projects	275,025.36	-	-	1,408.13	276,433.49	0.40%
TexPool	2200400005	9-1-1 Operating <sup>(1)</sup>	3,655,969.28	-	-	18,717.99	3,674,687.27	5.26%
TexPool	2200400007	Transportation Revenue Center 5 Funds	14,022,790.71	244,931.93	-	71,813.87	14,339,536.51	20.51%
TexPool	2200400009	TWDB Commit G1001314 NCTCOG Grant	3,005,419.27	-	-	15,387.32	3,020,806.59	4.32%
<b>TexPool</b>			<b>\$ 30,746,777.58</b>	<b>\$ 2,998,401.27</b>	<b>\$ (4,798,401.27)</b>	<b>\$ 151,378.20</b>	<b>\$ 29,098,155.78</b>	<b>41.62%</b>
Logic	6049012001	General Fund	\$ 471.17	\$ -	\$ -	\$ 2.49	\$ 473.66	0.00%
TexStar	2200411110	General Fund	\$ 5,020,779.97	\$ 4,049,797.94	\$ -	\$ 24,087.12	\$ 9,094,665.03	13.01%
TexStar	2200411111	Transportation	2,704,588.90	-	(1,150,510.48)	12,722.64	1,566,801.06	2.24%
TexStar	2200421009	Exchange Funds Principal Pooled	26,954,703.03	-	(3,026,536.87)	127,249.41	24,055,415.57	34.41%
TexStar	2200431009	Exchange Funds Earnings Pooled	5,942,130.81	127,249.41	-	28,341.64	6,097,721.86	8.72%
<b>TexStar</b>			<b>\$ 40,622,202.71</b>	<b>\$ 4,177,047.35</b>	<b>\$ (4,177,047.35)</b>	<b>\$ 192,400.81</b>	<b>\$ 40,814,603.52</b>	<b>58.38%</b>
<b>Total</b>			<b>\$ 71,369,451.46</b>	<b>\$ 7,175,448.62</b>	<b>\$ (8,975,448.62)</b>	<b>\$ 343,781.50</b>	<b>\$ 69,913,232.96</b>	<b>100.00%</b>

<sup>(1)</sup> Used for the North Central Texas Emergency Communications District funds

**NCTCOG Quarterly Investment Report**  
Fiscal Year 2022 Quarter 4  
Ended 9-30-2022

**Investment Portfolio Summary**

Description	Beginning Balance	Deposits	Withdrawals	Interest	Ending Balance	% of Total
General Fund	\$ 13,764,915.56	\$ 6,049,797.94	\$ (4,798,401.27)	\$ 62,737.11	\$ 15,079,049.34	21.57%
Special Revenue	57,604,535.90	1,125,650.68	(4,177,047.35)	281,044.39	54,834,183.62	78.43%
<b>Total</b>	<b>\$ 71,369,451.46</b>	<b>\$ 7,175,448.62</b>	<b>\$ (8,975,448.62)</b>	<b>\$ 343,781.50</b>	<b>\$ 69,913,232.96</b>	<b>100.00%</b>

**Fiscal Year 2022 to Date**

Pool Description	Beginning Balance	Deposits	Withdrawals	Interest	Ending Balance	% of Total
TexPool	\$ 21,993,132.63	\$ 24,535,447.41	\$ (17,635,447.41)	\$ 205,023.15	\$ 29,098,155.78	41.62%
Logic	470.10	-	-	3.56	473.66	0.00%
TexStar	40,550,824.89	6,866,026.19	(6,866,026.19)	263,778.63	40,814,603.52	58.38%
<b>Total</b>	<b>\$ 62,544,427.62</b>	<b>\$ 31,401,473.60</b>	<b>\$ (24,501,473.60)</b>	<b>\$ 468,805.34</b>	<b>\$ 69,913,232.96</b>	<b>100.00%</b>

**Year to Date Interest**

Pool Description	Fiscal Year 2022			Fiscal Year 2021		
	General Fund	Special Revenue	Total	General Fund	Special Revenue	Total
TexPool	\$ 51,981.40	\$ 153,041.75	\$ 205,023.15	\$ 1,747.46	\$ 8,323.82	\$ 10,071.28
Logic	3.56	-	3.56	0.16	-	0.16
TexStar	32,969.60	230,809.03	263,778.63	2,992.18	13,730.50	16,722.68
<b>Total</b>	<b>\$ 84,954.56</b>	<b>\$ 383,850.78</b>	<b>\$ 468,805.34</b>	<b>\$ 4,739.80</b>	<b>\$ 22,054.32</b>	<b>\$ 26,794.12</b>

**Interest Rate Averages**

Rate Description	September-2022	September-2021	FY 2022 Qtr 4	FY 2021 Qtr 4	FY 2022 thru September-2022	FY 2021 thru September-2021
90 Day T-Bill	3.1300%	0.0400%	2.6633%	0.0467%	1.0250%	0.0542%
TexPool	2.4126%	0.0279%	2.0320%	0.0230%	0.6991%	0.0495%
Logic	2.4756%	0.0364%	2.0971%	0.0424%	0.7746%	0.0976%
TexStar	2.2941%	0.0100%	1.8807%	0.0100%	0.6464%	0.0376%

All funds are invested in investment pools whose book and market value are the same.

Exhibit: 2022-10-02-AA  
Attachment, Page 2



Director of Administration

**Regional Toll Revenue (RTR) Supplementary Report <sup>(1)</sup>**  
**Fiscal Year 2022 Quarter 4**  
**Thru 8-31-2022**

**Investment Balances Summary**

**This Month**

<b>Fund Description</b>	<b>Beginning Balance</b>	<b>Deposits</b>	<b>Withdrawals <sup>(2)</sup></b>	<b>Interest</b>	<b>Ending Balance</b>	<b>% of Total</b>
SH121 ACCOUNT 1 NEAR NEIGHBOR, NEAR TIMEFRAME	\$ 307,202,720.98	\$ -	\$ (45,571,834.67)	\$ 366,129.31	\$ 261,997,015.62	51.42%
SH121 ACCOUNT 2, EXCESS REVENUE	242,214,014.16	890,105.07	(1,780,234.01)	287,199.72	241,611,084.94	47.42%
SH161 ACCOUNT 1 NEAR NEIGHBOR, NEAR TIMEFRAME	1,320,974.33	-	-	1,568.46	1,322,542.79	0.26%
SH161 ACCOUNT 2, EXCESS REVENUE	4,610,978.76	-	-	5,474.89	4,616,453.65	0.91%
<b>Total</b>	<b>\$ 555,348,688.23</b>	<b>\$ 890,105.07</b>	<b>\$ (47,352,068.68)</b>	<b>\$ 660,372.38</b>	<b>\$ 509,547,097.00</b>	<b>100.00%</b>

**This Quarter**

<b>Fund Description</b>	<b>Beginning Balance</b>	<b>Deposits</b>	<b>Withdrawals</b>	<b>Interest</b>	<b>Ending Balance</b>	<b>% of Total</b>
SH121 ACCOUNT 1 NEAR NEIGHBOR, NEAR TIMEFRAME	\$ 313,302,604.81	\$ -	\$ (51,938,905.11)	\$ 633,315.92	\$ 261,997,015.62	51.42%
SH121 ACCOUNT 2, EXCESS REVENUE	241,273,886.55	1,747,583.24	(1,902,160.77)	491,775.92	241,611,084.94	47.42%
SH161 ACCOUNT 1 NEAR NEIGHBOR, NEAR TIMEFRAME	1,319,853.61	-	-	2,689.18	1,322,542.79	0.26%
SH161 ACCOUNT 2, EXCESS REVENUE	4,607,066.83	-	-	9,386.82	4,616,453.65	0.91%
<b>Total</b>	<b>\$ 560,503,411.80</b>	<b>\$ 1,747,583.24</b>	<b>\$ (53,841,065.88)</b>	<b>\$ 1,137,167.84</b>	<b>\$ 509,547,097.00</b>	<b>100.00%</b>

**Last Quarter**

<b>Fund Description</b>	<b>Beginning Balance</b>	<b>Deposits</b>	<b>Withdrawals</b>	<b>Interest</b>	<b>Ending Balance</b>	<b>% of Total</b>
SH121 ACCOUNT 1 NEAR NEIGHBOR, NEAR TIMEFRAME	\$ 320,649,608.73	\$ -	\$ (7,804,192.48)	\$ 457,188.56	\$ 313,302,604.81	55.90%
SH121 ACCOUNT 2, EXCESS REVENUE	238,381,611.55	2,690,054.69	(141,461.84)	343,682.15	241,273,886.55	43.05%
SH161 ACCOUNT 1 NEAR NEIGHBOR, NEAR TIMEFRAME	1,317,958.45	-	-	1,895.16	1,319,853.61	0.24%
SH161 ACCOUNT 2, EXCESS REVENUE	4,600,451.60	-	-	6,615.23	4,607,066.83	0.82%
<b>Total</b>	<b>\$ 564,949,630.33</b>	<b>\$ 2,690,054.69</b>	<b>\$ (7,945,654.32)</b>	<b>\$ 809,381.10</b>	<b>\$ 560,503,411.80</b>	<b>100.00%</b>

<sup>(1)</sup> All funds are invested by the Texas Treasury Safekeeping Trust Company; interest earned in the current month is credited in the following month

Exhibit: 2022-10-02-AA  
Attachment, Page 3

**Regional Toll Revenue (RTR) Supplementary Report <sup>(1)</sup>**  
**Fiscal Year 2022 Quarter 4**  
**Thru 8-31-2022**

**Investment Balances Summary**

**Fiscal Year 2022 to Date**

<b>Fund Description</b>	<b>Beginning Balance</b>	<b>Deposits</b>	<b>Withdrawals</b>	<b>Interest</b>	<b>Ending Balance</b>	<b>% of Total</b>
SH121 ACCOUNT 1 NEAR NEIGHBOR, NEAR TIMEFRAME	\$ 318,233,876.45	\$ 18,514,223.15	\$ (76,262,272.05)	\$ 1,511,188.07	\$ 261,997,015.62	51.42%
SH121 ACCOUNT 2, EXCESS REVENUE	243,949,733.06	9,477,255.13	(12,978,342.87)	1,162,439.62	241,611,084.94	47.42%
SH161 ACCOUNT 1 NEAR NEIGHBOR, NEAR TIMEFRAME	1,316,172.27	-	-	6,370.52	1,322,542.79	0.26%
SH161 ACCOUNT 2, EXCESS REVENUE	4,594,214.35	-	-	22,239.30	4,616,453.65	0.91%
<b>Total</b>	<b>\$ 568,093,996.13</b>	<b>\$ 27,991,478.28</b>	<b>\$ (89,240,614.92)</b>	<b>\$ 2,702,237.51</b>	<b>\$ 509,547,097.00</b>	<b>100.00%</b>

**Inception to Date**

<b>Fund Description</b>	<b>Beginning Balance</b>	<b>Deposits</b>	<b>Withdrawals</b>	<b>Interest</b>	<b>Ending Balance</b>	<b>% of Total</b>
SH121 ACCOUNT 1 NEAR NEIGHBOR, NEAR TIMEFRAME	\$ -	\$ 2,610,315,740.29	\$ (2,576,910,025.17)	\$ 228,591,300.50	\$ 261,997,015.62	51.42%
SH121 ACCOUNT 2, EXCESS REVENUE	-	1,095,111,042.42	(924,188,742.51)	70,688,785.03	241,611,084.94	47.42%
SH161 ACCOUNT 1 NEAR NEIGHBOR, NEAR TIMEFRAME	-	151,466,358.59	(155,225,312.87)	5,081,497.07	1,322,542.79	0.26%
SH161 ACCOUNT 2, EXCESS REVENUE	-	50,339,871.17	(47,420,726.19)	1,697,308.67	4,616,453.65	0.91%
<b>Total</b>	<b>\$ -</b>	<b>\$ 3,907,233,012.47</b>	<b>\$ (3,703,744,806.74)</b>	<b>\$ 306,058,891.27</b>	<b>\$ 509,547,097.00</b>	<b>100.00%</b>

**Interest Rate Averages**

<b>Rate Description</b>	<b>August-2022</b>	<b>August-2021</b>	<b>FY 2022 Qtr 4 thru August-2022</b>	<b>FY 2021 Qtr 4 thru August-2021</b>	<b>FY 2022 thru August-2022</b>	<b>FY 2021 thru August-2021</b>
90 Day T-Bill	2.6300%	0.0500%	2.4300%	0.0500%	0.8336%	0.0555%
TexPool	2.1627%	0.0222%	1.8417%	0.0206%	0.5434%	0.0515%
Logic	2.1619%	0.0389%	1.9079%	0.0454%	0.6200%	0.1032%
TexStar	1.9469%	0.0100%	1.6740%	0.0100%	0.4966%	0.0401%
State Comptroller	1.8595%	0.2207%	1.6291%	0.2477%	0.6741%	0.4048%

<sup>(1)</sup> All funds are invested by the Texas Treasury Safekeeping Trust Company; interest earned in the current month is credited in the following month

Exhibit: 2022-10-02-AA  
Attachment, Page 4



**North Central Texas Council of Governments**

*Item 3*

*Exhibit: 2022-10-03-AA*

Meeting Date: October 27, 2022

Submitted By: Molly Rendon  
Director of Administration

Item Title: Resolution to Review and Approve NCTCOG's Investment Policy

In accordance with the Public Funds Investment Act (PFIA), a public entity's investment policy must be reviewed annually by its governing body. The North Central Texas Council of Governments' Investment Policy, which includes a listing of authorized brokers, is enclosed for Executive Board review. Staff is not recommending any changes.

I will be available at the Executive Board meeting to answer any questions.

<sup>(1)</sup> The Authorized Brokers/Dealers list is updated each year based on the City of Arlington and City of Carrollton respective lists. There are no changes that affect NCTCOG's list.

**RESOLUTION TO REVIEW AND APPROVE NCTCOG'S INVESTMENT POLICY**

**WHEREAS**, the North Central Texas Council of Governments (NCTCOG) is a Texas political subdivision and non-profit corporation organized and operating under Texas Local Government Code Chapter 391 as the regional planning commission for the 16-county North Central Texas region; and,

**WHEREAS**, NCTCOG is a voluntary association of, by and for local governments established to assist in planning for common needs, cooperating for mutual benefit, and coordinating for sound regional development; and,

**WHEREAS**, the Public Funds Investment Act requires public entities to develop written investment policies and strategies reviewed and approved annually by the governing body; and,

**WHEREAS**, the Public Funds Investment Act requires the governing body or designated investment committee of public entities to review and adopt annually a list of qualified brokers authorized to engage in investment transactions with the entity; and,

**WHEREAS**, the NCTCOG Investment Policy, which includes a listing of authorized brokers, has been presented to and reviewed by the Executive Board; and,

**WHEREAS**, the Executive Board finds the policy to provide for the safe and prudent investment of funds.

**NOW, THEREFORE, BE IT HEREBY RESOLVED THAT:**

**Section 1.** The NCTCOG Executive Board approves the Investment Policy as included in Attachment 1.

**Section 2.** NCTCOG staff is authorized to take such investment actions as the approved policy permits with authorized brokers.

**Section 3.** This resolution shall be in effect immediately upon its adoption.

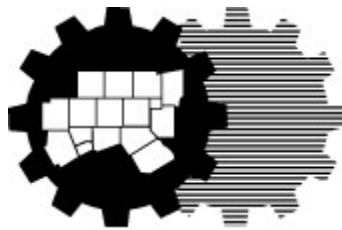
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Andrew Piel, President  
North Central Texas Council of Governments  
Councilmember, City of Arlington

I hereby certify that this Resolution was adopted by the Executive Board of the North Central Texas Council of Governments on October 27, 2022.

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Chris Hill, Secretary/Treasurer  
North Central Texas Council of Governments  
County Judge, Collin County



# **Investment Policy**

North Central Texas  
Council of Governments

October 19, 2022

# INVESTMENT POLICY

## I. POLICY

It is the policy of the North Central Council of Governments (NCTCOG) that after allowing for the anticipated cash flow requirements of NCTCOG and giving due consideration to the safety and risk of investment, all available funds shall be invested in conformance with these legal and administrative guidelines, seeking to optimize interest earnings to the maximum extent possible.

Effective cash management is recognized as essential to good fiscal management. Investment interest is a source of revenue to NCTCOG funds. The NCTCOG's investment portfolio shall be designed and managed in a manner designed to maximize this revenue source, to be responsive to public trust, and to be in compliance with legal requirements and limitations.

Investments shall be made with the primary objectives of:

- \* **Safety** and preservation of principal
- \* Maintenance of sufficient **liquidity** to meet operating needs
- \* **Public trust** from prudent investment activities
- \* Optimization of **interest earnings** on the portfolio

## II. PURPOSE

The purpose of this investment policy is to comply with applicable statutes, rules, regulations and Chapter 2256 of the Government Code ("Public Funds Investment Act"), which requires NCTCOG to adopt a written investment policy regarding the investment of its funds and funds under its control. The Investment Policy addresses the methods, procedures and practices that must be exercised to ensure effective and judicious fiscal management of the NCTCOG's funds.

## III. SCOPE

This Investment Policy shall govern the investment of all financial assets of NCTCOG. These funds are accounted for in the NCTCOG's Annual Comprehensive Financial Report (ACFR) and include:

- General Fund
- Special Revenue Funds <sup>(1)</sup>
- Internal Service Funds
- Enterprise Funds
- Any new fund created by NCTCOG, unless specifically exempted from this Policy by the Executive Board or by law.

<sup>(1)</sup> Includes funds of the North Central Texas Emergency Communications District

NCTCOG may consolidate cash balances from all funds to maximize investment earnings. Investment income will be allocated to the various funds based on their respective participation and in accordance with generally accepted accounting principles.



This Investment Policy shall apply to all transactions involving the financial assets and related activity for all the foregoing funds. However, this policy does not apply to the assets administered for the benefit of NCTCOG by outside agencies under deferred compensation programs.

The following investment strategies are the guidelines to investment of the funds of NCTCOG. All investments, when aggregated together, must be within the diversification parameters listed with the authorized investments. From time to time, on a temporary basis, the actual investments within one fund or another may vary slightly from its underlying strategy. However, these deviations should only be temporary and easily corrected.

- **Operating funds** include the general fund, internal service funds, and enterprise funds. These funds are necessary for the day to day operations of the NCTCOG's programs. Due to their short-term nature, these funds need to be available when needed. These funds will be invested in instruments authorized by this policy with a maturity not exceeding 180 days.
- **Advance funds** include any designated local, state, or federal advance funding within special revenue funds. These funds are necessary for the implementation of the NCTCOG's programs and tend to be short-term to mid-term in nature. These funds will be invested in instruments authorized by this policy with a maturity matched with programmatic needs not exceeding 365 days.
- **Designated funds** include programmed local funding within special revenue funds. These funds tend to be longer term in nature and not needed for immediate use. These funds will be invested in instruments authorized by this policy with a maturity matched with programmatic needs not exceeding two (2) years.

#### IV. INVESTMENT OBJECTIVES

NCTCOG shall manage and invest its cash with four primary objectives, listed in order of priority: **safety, liquidity, public trust, and yield, expressed as optimization of interest earnings**. The safety of the principal invested always remains the primary objective. All investments shall be designed and managed in a manner responsive to the public trust and consistent with state and local law.

NCTCOG shall maintain a comprehensive cash management program, which includes collection of account receivables, vendor payments in accordance with invoice terms, and prudent investment of available cash. Cash management is defined as the process of managing monies in order to insure maximum cash availability and maximum earnings on short-term investment of cash.

##### **Safety [PFIA 2256.005(b)(2)]**

Safety of principal is the foremost objective of the investment program. Investments shall be undertaken in a manner that seeks to ensure the preservation of capital in the overall portfolio. The objective will be to mitigate credit and interest rate risk.

- **Credit Risk and Concentration of Credit Risk** – NCTCOG will minimize credit risk, the risk of loss due to the failure of the issuer or backer of the investment, and concentration of credit risk, the risk of loss attributed to the magnitude of investment in a single issuer, by:
  - Limiting investments to the safest types of investments
  - Pre-qualifying the financial institutions and broker/dealers with which NCTCOG will do business
  - Diversifying the investment portfolio so that potential losses on individual issuers will be minimized.
- **Interest Rate Risk** – NCTCOG will manage the risk that the interest earnings and the market value of investments in the portfolio will fall due to changes in general interest rates by limiting the

maximum weighted average maturity of the investment portfolio to 365 days. NCTCOG will, in addition,:

- Structure the investment portfolio so that investments mature to meet cash requirements for ongoing operations, thereby avoiding the need to liquidate investments prior to maturity.
- Invest operating funds primarily in certificates of deposit, shorter-term securities, money market mutual funds, or local government investment pools functioning as money market mutual funds.
- Diversify maturities and staggering purchase dates to minimize the impact of market movements over time.

#### **Liquidity [PFIA 2256.005(b)(2)]**

The investment portfolio shall remain sufficiently liquid to meet all operating requirements that may be reasonably anticipated. This is accomplished by structuring the portfolio so that investments mature concurrent with cash needs to meet anticipated demands. Because all possible cash demands cannot be anticipated, a portion of the portfolio will be invested in shares of money market mutual funds or local government investment pools that offer same-day liquidity. In addition, a portion of the portfolio may consist of securities with active secondary or resale markets.

#### **Public Trust**

All participants in NCTCOG's investment process shall seek to act responsibly as custodians of the public trust. Investment officers shall avoid any transaction that might impair public confidence in NCTCOG's ability to govern effectively.

#### **Yield (Optimization of Interest Earnings) [PFIA 2256.005(b)(3)]**

The investment portfolio shall be designed with the objective of attaining a market rate of return throughout budgetary and economic cycles, taking into account the investment risk constraints and liquidity needs. Return on investment is of secondary importance compared to the safety and liquidity objectives described above.

### **V. RESPONSIBILITY AND CONTROL**

#### **Delegation of Authority [PFIA 2256.005(f)]**

In accordance with applicable statutes, rules, regulations and the Public Funds Investment Act, the Board designates the Director of Administration as NCTCOG's Investment Officer. An Investment Officer or designee is authorized to execute investment transactions on behalf of NCTCOG. No person may engage in an investment transaction or the management of NCTCOG funds except as provided under the terms of this Investment Policy as approved by the Board. The investment authority granted to the investing officers is effective until rescinded by the Board.

#### **Quality and Capability of Investment Management [PFIA 2256.005(b)(3)]**

NCTCOG shall provide periodic training in investments for the designated investment officer(s) and other investment personnel through courses and seminars offered by professional organizations, associations, and other independent sources in order to insure the quality and capability of investment management in compliance with the Public Funds Investment Act.

#### **Training Requirement [PFIA 2256.008 – Local Governments]**

In accordance with applicable statutes, rules, regulations and the Public Funds Investment Act, designated Investment Officers shall attend an investment training session no less often than once every

two years commencing on the first day of NCTCOG's fiscal year and consisting of the two consecutive fiscal years following that date. and shall receive not less than 10 hours of instruction relating to investment responsibilities. A newly appointed Investment Officer must attend a training session of at least 10 hours of instruction within twelve months of the date the officer took office or assumed the officer's duties. The investment training session shall be provided by an independent source approved by the Board (see Attachment). For purposes of this policy, an "independent source" from which investment training shall be obtained shall include a professional organization, an institution of higher education or any other sponsor other than a business organization with whom NCTCOG may engage in an investment transaction.

### **Internal Controls**

The Director of Administration is responsible for establishing and maintaining an internal control structure designed to ensure that the assets of NCTCOG are protected from loss, theft, or misuse. The internal control structure shall be designed to provide reasonable assurance that these objectives are met. The concept of reasonable assurance recognizes that (1) the cost of a control should not exceed the benefits likely to be derived; and (2) the valuation of costs and benefits requires estimates and judgments by management.

Accordingly, the Director of Administration shall establish a process for annual independent review by an external auditor to assure compliance with policies and procedures. The internal controls shall address the following points.

- Control of collusion.
- Separation of transactions authority from accounting and record keeping.
- Custodial safekeeping.
- Avoidance of physical delivery securities.
- Clear delegation of authority to subordinate staff members.
- Written confirmation for all transactions for investments and wire transfers.
- Development of a wire transfer agreement with the depository bank or third party custodian.

### **Prudence [PFIA 2256.006]**

The standard of prudence to be applied by the Investment Officer shall be the "prudent investor" rule. This rule states that "Investments shall be made with judgment and care, under circumstances then prevailing, which persons of prudence, discretion and intelligence exercise in the management of their own affairs, not for speculation, but for investment, considering the probable safety of their capital as well as the probable income to be derived." In determining whether an Investment Officer has exercised prudence with respect to an investment decision, the determination shall be made taking into consideration:

- The investment of all funds, or funds under NCTCOG's control, over which the officer had responsibility rather than a consideration as to the prudence of a single investment.
- Whether the investment decision was consistent with the written approved investment policy of NCTCOG.

### **Indemnification**

The Investment Officer, acting in accordance with written procedures and exercising due diligence, shall not be held personally responsible for a specific investment's credit risk or market price changes, provided that these deviations are reported immediately and the appropriate action is taken to control adverse developments.

### **Ethics and Conflicts of Interest [PFIA 2256.005(i)]**

Officers and employees involved in the investment process shall refrain from personal business activity that would conflict with the proper execution and management of the investment program, or that would impair their ability to make impartial decisions. Employees and Investment Officers shall disclose any material interests in financial institutions with which they conduct business. They shall further disclose any personal financial/investment positions that could be related to the performance of the investment portfolio. Employees and officers shall refrain from undertaking personal investment transactions with the same individual with which business is conducted on behalf of the NCTCOG.

An Investment Officer of NCTCOG who has a personal business relationship with an organization seeking to sell an investment to NCTCOG shall file a statement disclosing that personal business interest. An Investment Officer who is related within the second degree by affinity or consanguinity to an individual seeking to sell an investment to NCTCOG shall file a statement disclosing that relationship. A statement required under this subsection must be filed with the Texas Ethics Commission and the Board.

## **VI. SUITABLE AND AUTHORIZED INVESTMENTS**

### **Portfolio Management**

NCTCOG currently has a “buy and hold” portfolio strategy. Maturity dates are matched with cash flow requirements and investments are purchased with the intent to be held until maturity. However, investments may be liquidated prior to maturity for the following reasons:

- An investment with declining credit may be liquidated early to minimize loss of principal.
- Cash flow needs of NCTCOG require that the investment be liquidated.
- Portfolio restructuring to accommodate NCTCOG needs and requirements or to address market conditions.

### **Investments [PFIA 2256.005(b)(4)(A)]**

NCTCOG funds governed by this policy may be invested in the instruments described below, all of which are authorized by Chapter 2256 of the Government Code (Public Funds Investment Act). Investment of NCTCOG funds in any instrument or security not authorized for investment under the Act is prohibited. NCTCOG will not be required to liquidate an investment that becomes unauthorized subsequent to its purchase.

#### **I. Authorized**

1. Obligations of the United States of America, its agencies and instrumentalities.
2. Certificates of Deposit issued by a depository institution that has its main office or a branch office in Texas. The certificate of deposit must be guaranteed or insured by the Federal Deposit Insurance Corporation or its successor or the National Credit Union Share Insurance Fund or its successor and secured by obligations in a manner and amount as provided by law. In addition, certificates of deposit obtained through a depository institution that has its main office or a branch office in Texas and that contractually agrees to place the funds in federally insured depository institutions in accordance with the conditions prescribed in Section 2256.010(b) of the Public Funds Investment Act are authorized investments.
3. Fully collateralized direct repurchase agreements with a defined termination date secured by obligations of the United States or its agencies and instrumentalities. These shall be pledged to NCTCOG, held in NCTCOG’s name, and deposited at the time the investment is made with NCTCOG or with a third party selected and approved by NCTCOG. Repurchase agreements must be purchased through a primary government securities dealer, as defined by the Federal Reserve, or a financial institution doing business in Texas. A Master Repurchase Agreement must be signed by the bank/dealer prior to investment in a repurchase agreement. All

repurchase agreement transactions will be on a delivery vs. payment basis. Securities received for repurchase agreements must have a market value greater than or equal to 102 percent at the time funds are disbursed. (Sweep Accounts and/or Bond Proceeds)

4. Money Market Mutual funds that: 1) are registered and regulated by the Securities and Exchange Commission, 2) have a dollar weighted average stated maturity of 90 days or less, 3) seek to maintain a net asset value of \$1.00 per share, and 4) are rated AAA by at least one nationally recognized rating service.
5. Local government investment pools, which 1) meet the requirements of Chapter 2256.016 of the Public Funds Investment Act, 2) are rated no lower than AAA or an equivalent rating by at least one nationally recognized rating service, and 3) are authorized by resolution or ordinance by the Board. In addition, a local government investment pool created to function as a money market mutual fund must mark its portfolio to the market daily and, to the extent reasonably possible, stabilize at \$1.00 net asset value.

All prudent measures will be taken to liquidate an investment that is downgraded to less than the required minimum rating. (PFIA 2256.021)

**II. Not Authorized [PFIA 2256.009(b)(1-4)]**

Investments including interest-only or principal-only strips of obligations with underlying mortgage-backed security collateral, collateralized mortgage obligations with an inverse floating interest rate or a maturity date of over 10 years are strictly prohibited.

## **VII. INVESTMENT PARAMETERS**

**Maximum Maturities [PFIA 2256.005(b)(4)(B)]**

The longer the maturity of investments, the greater their price volatility. Therefore, it is NCTCOG's policy to concentrate its investment portfolio in shorter-term securities in order to limit principal risk caused by changes in interest rates.

NCTCOG attempts to match its investments with anticipated cash flow requirements. NCTCOG will not directly invest in securities maturing more than two (2) years from the date of purchase; however, the above described obligations, certificates, or agreements may be collateralized using longer dated investments.

Because no secondary market exists for repurchase agreements, the maximum maturity shall be 120 days except in the case of a flexible repurchase agreement for bond proceeds. The maximum maturity for such an investment shall be determined in accordance with project cash flow projections and the requirements of the governing bond ordinance.

The composite portfolio will have a weighted average maturity of 365 days or less. This dollar-weighted average maturity will be calculated using the stated final maturity dates of each security. [PFIA 2256.005(b)(4)(C)]

**Diversification [PFIA 2256.005(b)(3)]**

NCTCOG recognizes that investment risks can result from issuer defaults, market price changes or various technical complications leading to temporary illiquidity. Risk is managed through portfolio diversification that shall be achieved by the following general guidelines:

- Limiting investments to avoid over concentration in investments from a specific issuer or business sector (excluding U.S. Treasury securities and certificates of deposit that are fully insured and collateralized in accordance with state and federal law),
- Limiting investment in investments that have higher credit risks (example: commercial paper),
- Investing in investments with varying maturities, and
- Continuously investing a portion of the portfolio in readily available funds such as local government investment pools (LGIPs), money market funds or overnight repurchase agreements to ensure that appropriate liquidity is maintained in order to meet ongoing obligations.

The following maximum limits, by instrument, are established for NCTCOG's portfolio:

1. U.S. Treasury Securities ..... 100%
2. U.S. Agencies and Instrumentalities ..... 85%
3. Certificates of Deposit ..... 100%
4. Repurchase Agreements <sup>(1)</sup> ..... 20%
5. Money Market Mutual Funds <sup>(2)</sup> ..... 50%
6. Authorized Pools ..... 100%

<sup>(1)</sup> Excluding flexible repurchase agreements for bond proceeds investments

<sup>(2)</sup> No single investment in any one money market mutual fund which exceeds 10% of the total assets of the money market mutual fund

## VIII. SELECTION OF BANKS AND DEALERS

### **Depository [Chapter 105.017, Local Government Code]**

At least every 5 years a Depository shall be selected through the NCTCOG's banking services procurement process, which shall include a formal request for proposal (RFP). The selection of a depository will be determined by competitive bid and evaluation of bids will be based on the following selection criteria:

- The ability to qualify as a depository for public funds in accordance with state law.
- The ability to provide requested information or financial statements for the periods specified.
- The ability to meet all requirements in the banking RFP.
- Complete response to all required items on the bid form
- Lowest net banking service cost, consistent with the ability to provide an appropriate level of service.
- The credit worthiness and financial stability of the bank.

### **Authorized Brokers/Dealers [PFIA 2256.025]**

NCTCOG shall, at least annually, review, revise, and adopt a list of qualified brokers/dealers and financial institutions authorized to engage in securities transactions with NCTCOG. A designated investment committee or Executive Board will at least annually review and adopt the list of qualified brokers/dealers (see Attachment). Those firms that request to become qualified bidders for securities transactions will be required to provide a completed broker/dealer questionnaire that provides information regarding creditworthiness, experience and reputation and 2) a certification stating the firm has received, read and understood NCTCOG's investment policy and agree to comply with the policy. Authorized firms may include primary dealers or regional dealers that qualify under Securities & Exchange Commission Rule 15C3-1 (Uniform Net Capital Rule), and qualified depositories. All investment providers, including financial institutions, banks, money market mutual funds, and local government investment pools, must sign a certification acknowledging that the organization has received and reviewed NCTCOG's

investment policy and that reasonable procedures and controls have been implemented to preclude investment transactions that are not authorized by NCTCOG's policy. [PFIA 2256.005(k-l)]

### **Competitive Bids**

It is the policy of NCTCOG to require competitive bidding for all individual security purchases and sales except for: a) transactions with money market mutual funds and local government investment pools and b) treasury and agency securities purchased at issue through an approved broker/dealer or financial institution.

### **Delivery vs. Payment [PFIA 2256.005(b)(4)(E)]**

Securities shall be purchased using the **delivery vs. payment** method with the exception of investment pools and mutual funds. Funds will be released after notification that the purchased security has been received.

## **IX. CUSTODIAL CREDIT RISK MANAGEMENT**

### **Safekeeping and Custodial Agreements**

NCTCOG shall contract with a bank or banks for the safekeeping of securities either owned by NCTCOG as part of its investment portfolio or held as collateral to secure demand or time deposits. Securities owned by NCTCOG shall be held in NCTCOG's name as evidenced by safekeeping receipts of the institution holding the securities.

Collateral for deposits will be held by a third party custodian designated by NCTCOG and pledged to NCTCOG as evidenced by safekeeping receipts of the institution with which the collateral is deposited. Original safekeeping receipts shall be obtained. Collateral may be held by the depository bank's trust department, a Federal Reserve bank or branch of a Federal Reserve bank, a Federal Home Loan Bank, or a third party bank approved by NCTCOG.

### **Collateral Policy [PFCA 2257.023]**

Consistent with the requirements of the Public Funds Collateral Act, it is the policy of NCTCOG to require full collateralization of all NCTCOG funds on deposit with a depository bank, other than investments. In order to anticipate market changes and provide a level of security for all funds, the collateralization level will be 105% of market value of principal and accrued interest on the deposits or investments less an amount insured by the FDIC. At its discretion, NCTCOG may require a higher level of collateralization for certain investment securities. Securities pledged as collateral shall be held by an independent third party with which NCTCOG has a current custodial agreement. The Deputy Executive Director is responsible for entering into collateralization agreements with third party custodians in compliance with this Policy. The agreements are to specify the acceptable investment securities for collateral, including provisions relating to possession of the collateral, the substitution or release of investment securities, ownership of securities, and the method of valuation of securities. A clearly marked evidence of ownership (safekeeping receipt) must be supplied to NCTCOG and retained. Collateral shall be reviewed at least monthly to assure that the market value of the pledged securities is adequate.

### **Collateral Defined**

NCTCOG shall accept only the following types of collateral:

- Obligations of the United States or its agencies and instrumentalities
- Direct obligations of the state of Texas or its agencies and instrumentalities

- Collateralized mortgage obligations directly issued by a federal agency or instrumentality of the United States, the underlying security for which is guaranteed by an agency or instrumentality of the United States
- Obligations of states, agencies, counties, cities, and other political subdivisions of any state rated as to investment quality by a nationally recognized rating firm not less than A or its equivalent with a remaining maturity of ten (10) years or less
- A surety bond issued by an insurance company rated as to investment quality by a nationally recognized rating firm not less than A
- A letter of credit issued to NCTCOG by the Federal Home Loan Bank

### **Subject to Audit**

All collateral shall be subject to inspection and audit by the NCTCOG or NCTCOG's independent auditors.

## **X. PERFORMANCE**

### **Performance Standards**

NCTCOG's investment portfolio will be managed in accordance with the parameters specified within this policy. The portfolio shall be designed with the objective of obtaining a rate of return through budgetary and economic cycles, commensurate with the investment risk constraints and the cash flow requirements of NCTCOG.

### **Performance Benchmark**

It is the policy of NCTCOG to purchase investments with maturity dates coinciding with cash flow needs. Through this strategy, NCTCOG shall seek to optimize interest earnings utilizing allowable investments available on the market at that time. Market value will be calculated on a quarterly basis on all securities owned and compared to current book value. NCTCOG's portfolio shall be designed with the objective of regularly meeting or exceeding the average rate of return on U.S. Treasury Bills at a maturity level comparable to NCTCOG's weighted average maturity in days.

## **XI. REPORTING [PFIA 2256.023]**

### **Methods**

The Investment Officer shall prepare an investment report on a quarterly basis that summarizes investment strategies employed in the most recent quarter and describes the portfolio in terms of investment securities, maturities, and shall explain the total investment return for the quarter.

The quarterly investment report shall include a summary statement of investment activity prepared in compliance with generally accepted accounting principals. This summary will be prepared in a manner that will allow NCTCOG to ascertain whether investment activities during the reporting period have conformed to the Investment Policy. The report will be provided to the Board. The report will include the following:

- A listing of individual securities held at the end of the reporting period.
- Unrealized gains or losses resulting from appreciation or depreciation by listing the beginning and ending book and market value of securities for the period.
- Additions and changes to the market value during the period.
- Average weighted yield to maturity of portfolio as compared to applicable benchmark.
- Listing of investments by maturity date.
- Fully accrued interest for the reporting period



- The percentage of the total portfolio that each type of investment represents.
- Statement of compliance of NCTCOG's investment portfolio with state law and the investment strategy and policy approved by the Board.

An independent auditor will perform a formal annual review of the quarterly reports with the results reported to the governing body [PFIA 2256.023(d)].

**Monitoring Market Value [PFIA 2256.005(b)(4)(D)]**

Market value of all securities in the portfolio will be determined on a quarterly basis. These values will be obtained from a reputable and independent source and disclosed to the governing body quarterly in a written report.

**XII. INVESTMENT POLICY ADOPTION [PFIA 2256.005(e)]**

NCTCOG's investment policy shall be adopted by resolution of the Board. It is NCTCOG's intent to comply with state laws and regulations. NCTCOG's investment policy shall be subject to revisions consistent with changing laws, regulations, and needs of NCTCOG. The Board shall adopt a resolution stating that it has reviewed the policy and investment strategies at least annually, approving any changes or modifications.

## **Attachment**

### **Authorized Brokers/Dealers**

FTN Financial  
Hilltop Securities  
SAMCO Capital Markets, Inc.

### **Government Pools Authorized by Executive Board Resolution**

(TEXPOOL) Texas Local Government Investment Pool  
(TexSTAR) Texas Short Term Asset Reserve Program  
(LOGIC) Local Government Investment Cooperative

### **Approved Providers of Public Funds Investment Act (PFIA) Training**

University of North Texas  
Government Finance Officers Association  
Government Finance Officers Association of Texas  
Government Treasurers Organization of Texas  
Texas State University  
Texas Municipal League



**North Central Texas Council of Governments**

*Item 4*

*Exhibit: 2022-10-04-AG*

Meeting Date: October 27, 2022

Submitted By: Doni Green  
Director of Aging Programs

Item Title: Resolution Authorizing Fiscal Year 2023 Supplemental Allocations for Aging Nutrition and Transportation Services

The North Central Texas Council of Governments, in its capacity as the designated Area Agency on Aging (AAA) for State Planning Region 4A, receives federal and state funding through Title III of the Older Americans Act to provide support various community-based services, including transportation, to older persons who live in its 14-county service region, consisting of those counties surrounding—but not including—Dallas and Tarrant.

In August 2019, the NCTCOG Executive Board authorized agreements with fifteen (15) nutrition and transportation subrecipients that had been selected through a Call for Partners and to pass through Title III funds, consistent with its funding formula. That formula, also approved by the Board, consists of five variables:

- Base of \$5,000 for transportation services and \$15,000 for nutrition services;
- Number of older persons living in the county;
- Number of low-income older persons living in the county;
- Units of service generated by subrecipients during the prior three years; and,
- Square mileage in the service area (for transportation only).

In the time since the Board authorized the Title III agreements, NCTCOG has been allocated American Rescue Act funds and wishes to budget these funds to AAA nutrition and transportation services during Fiscal Year 2023.

Staff is seeking authorization to increase the budgets for home-delivered meals, congregate meals, and transportation by approximately \$3.5 million utilizing the American Rescue Act funds. Further, staff recommends supplemental allocations to City of Cleburne, Erath County Senior Citizens Services, Meals on Wheels of Collin County, Hood County Committee on Aging, Meals on Wheels North Central Texas, Meals on Wheels of Palo Pinto County, Inc., Meals on Wheels Senior Services of Rockwall County, Public Transit Services, Senior Center Resources and Public Transit, Senior Connect, Somervell County Committee on Aging, S.P. A.N., STAR Transit, and Wise County Committee on Aging. However, actual funding will be determined by subrecipients' productivity.

A draft resolution authorizing Fiscal Year 2023 supplemental allocations for Fiscal Year 2023 nutrition and transportation subrecipients is attached for Executive Board consideration.

I will be available October 27<sup>th</sup>, should you or the Board have questions.

Thank you.

DG:ct

**RESOLUTION AUTHORIZING FISCAL YEAR 2023 SUPPLEMENTAL ALLOCATIONS FOR AGING  
NUTRITION AND TRANSPORTATION SERVICES**

**WHEREAS**, the North Central Texas Council of Governments (NCTCOG) is a Texas political subdivision and non-profit corporation organized and operating under Texas Local Government Code Chapter 391 as the regional planning commission for the 16-county North Central Texas region; and,

**WHEREAS**, NCTCOG is a voluntary association of, by and for local governments established to assist in planning for common needs, cooperating for mutual benefit, and coordinating for sound regional development; and,

**WHEREAS**, NCTCOG has been designated as the Area Agency on Aging for State Planning Region 4A by the Texas Health and Human Services Commission (HHSC); and,

**WHEREAS**, NCTCOG is entrusted to allocate federal and state funds distributed through HHSC for nutrition and transportation services, utilizing the formula approved by the Executive Board; and,

**WHEREAS**, NCTCOG has received funding through the American Rescue Act and wishes to make supplemental allocations to its Title III subrecipients for nutrition and transportation services.

**NOW, THEREFORE, BE IT HEREBY RESOLVED THAT:**

**Section 1.** The NCTCOG Executive Board approves increasing the budgets for home-delivered meals, congregate meals, and transportation services by approximately \$3.5 million utilizing American Rescue Act funds.

**Section 2.** The NCTCOG Executive Board authorizes supplemental allocations to existing Title III nutrition and transportation services subrecipients on the basis of the approved funding formulas; however, recognizing actual funding will be determined by subrecipients' productivity.

**Section 3.** The Executive Director or designee is authorized to execute necessary agreements to carry out the initiatives described herein, in the name of the North Central Texas Council of Governments.

**Section 4.** This resolution shall be in effect immediately upon its adoption.

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Andrew Piel, President  
North Central Texas Council of Governments  
Councilmember, City of Arlington

I hereby certify that the resolution was adopted by the Executive Board of the North Central Texas Council of Governments on October 27, 2022.

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Chris Hill, Secretary-Treasurer  
North Central Texas Council of Governments  
County Judge, Collin County



**North Central Texas Council of Governments**

*Item 5*

*Exhibit: 2022-10-05-TR*

Meeting Date: October 27, 2022

Submitted By: Michael Morris, P.E.  
Director of Transportation

Item Title: Resolution Endorsing Fiscal Year 2022 Reconnecting Communities Pilot Program Grant Application to the United States Department of Transportation

In June 2022, the United States Department of Transportation (USDOT) announced availability of Fiscal Year (FY) 2022 funds for the Reconnecting Communities Pilot (RCP) Program. This national program solicits applications for projects targeted toward reconnecting communities by removing, retrofitting, and/or mitigating highways or other transportation facilities that create mobility, accessibility, or economic development barriers to community connectivity and vitality. Funding amounts are set aside for the following purposes: (1) Planning Grants - \$50 million, and (2) Capital Construction Grants - \$145 million. Applications were due to USDOT on October 13, 2022.

The North Central Texas Council of Governments (NCTCOG) submitted a Reconnecting Communities Pilot Program application for the following project: Klyde Warren Park – Phase 2.0 Project. NCTCOG will apply for a total of \$24,968,890 in RCP Program – Capital Construction Grant funding to expedite delivery of this \$79,814,490 project. The Regional Transportation Council (RTC) approved submission of this project on October 13, 2022. If awarded, it is anticipated that the Texas Department of Transportation will be the grant recipient and implementing agency. The proposed project will enhance community connectivity by expanding Klyde Warren Park to cover the last recessed portion of the Woodall Rodgers Freeway separating the Downtown, Arts, and Uptown districts of central Dallas.

A draft resolution endorsing the FY 2022 Reconnecting Communities Pilot Program – Capital Construction Grant application is attached for Executive Board consideration. I will provide a brief presentation on this item and will be available to answer any questions prior to requesting Board approval.

JN:kw  
Attachment

**RESOLUTION ENDORSING FISCAL YEAR 2022 RECONNECTING COMMUNITIES PILOT  
PROGRAM GRANT APPLICATION TO THE UNITED STATES DEPARTMENT OF  
TRANSPORTATION**

**WHEREAS**, the North Central Texas Council of Governments (NCTCOG) is a Texas political subdivision and non-profit corporation organized and operating under Texas Local Government Code Chapter 391 as the regional planning commission for the 16-county North Central Texas region; and,

**WHEREAS**, NCTCOG is a voluntary association of, by and for local governments established to assist in planning for common needs, cooperating for mutual benefit, and coordinating for sound regional development; and,

**WHEREAS**, NCTCOG has been designated as the Metropolitan Planning Organization (MPO) for the Dallas-Fort Worth Metropolitan Area by the Governor of the State of Texas in accordance with federal law; and,

**WHEREAS**, the Regional Transportation Council (RTC), comprised primarily of local elected officials, is the regional transportation policy body associated with NCTCOG, and has been and continues to be a forum for cooperative decisions on transportation; and,

**WHEREAS**, the Dallas-Fort Worth region is in nonattainment of the federal air quality standard for ozone and NCTCOG is actively involved in the development and implementation of the State Implementation Plan for air quality; and,

**WHEREAS**, the Fiscal Year (FY) 2022 and 2023 Unified Planning Work Program (UPWP) includes project development, technical support for transportation corridors, and surface access to aviation planning; and,

**WHEREAS**, in June 2022, the United States Department of Transportation (USDOT) announced the solicitation of projects for the FY 2022 Reconnecting Communities Pilot (RCP) Program; and,

**WHEREAS**, on October 13, 2022, the Regional Transportation Council (RTC) approved the submittal of an application for a total of \$24,968,890 in RCP Program – Capital Construction Grant funds; and,

**NOW, THEREFORE, BE IT HEREBY RESOLVED THAT:**

**Section 1.** The NCTCOG Executive Board endorses the submittal of a Reconnecting Communities Pilot Program – Capital Construction Grant application to the USDOT in the amount of \$24,968,890, as detailed in Attachment 1. It is anticipated that the Texas Department of Transportation will be the grant recipient and implementing agency.

**Section 2.** NCTCOG is authorized to receive approximately \$24,968,890 in RCP Program – Capital Construction Grant funds, subject to Section 1.

**Section 3.** These funds shall be incorporated into the appropriate fiscal year budgets, Transportation Improvement Program (TIP), Unified Planning Work Program (UPWP), and other planning/administrative documents.

**Section 4.** The Executive Director or designee is authorized to execute necessary agreements in the name of the North Central Texas Council of Governments to carry out the initiatives described herein.

**Section 5.** This resolution shall be in effect immediately upon its adoption.

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Andrew Piel, President  
North Central Texas Council of Governments  
Councilmember, City of Arlington

I hereby certify that this resolution was adopted by the Executive Board of the North Central Texas Council of Governments on October 27, 2022.

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Chris Hill, Secretary/Treasurer  
North Central Texas Council of Governments  
County Judge, Collin County

# RECONNECTING COMMUNITIES PILOT (RCP) PROGRAM – CANDIDATE: KLYDE WARREN PARK – PHASE 2.0 PROJECT

COST/ FUNDING SOURCE	FEDERAL				NON-FEDERAL					GRAND TOTAL <sup>3</sup>
	STBG (RTC) <sup>1,2</sup>	RCP Grant	SUBTOTAL	COST SHARE	City of Dallas	Dallas County	Private Sector	SUBTOTAL	COST SHARE	
Original Estimate	\$30,000,000		\$30,000,000		\$6,199,100	\$1,300,900	\$0	\$7,500,000		\$37,500,000
Cost Overrun	\$6,800,000		\$6,800,000		\$1,700,000	\$0	\$0	\$1,700,000		\$8,500,000
Previous Total	\$36,800,000		\$36,800,000		\$7,899,100	\$1,300,900	\$0	\$9,200,000		\$46,000,000
RCP Proposal	\$0	\$24,968,890	\$24,968,890		\$0	\$0	\$8,845,600	\$8,845,600		\$33,814,490
<b>RCP – TOTAL</b>	<b>\$36,800,000</b>	<b>\$24,968,890</b>	<b>\$61,768,890</b>	<b>77.4%</b>	<b>\$7,899,100</b>	<b>\$1,300,900</b>	<b>\$8,845,600</b>	<b>\$18,045,600</b>	<b>22.6%</b>	<b>\$79,814,490</b>

1. RTC = Regional Transportation Council, STBG = Surface Transportation Block Grant
2. \$10,000,000 of RTC's contribution to be paid back over time
3. Only includes RCP-eligible transportation elements; non-transportation are not included

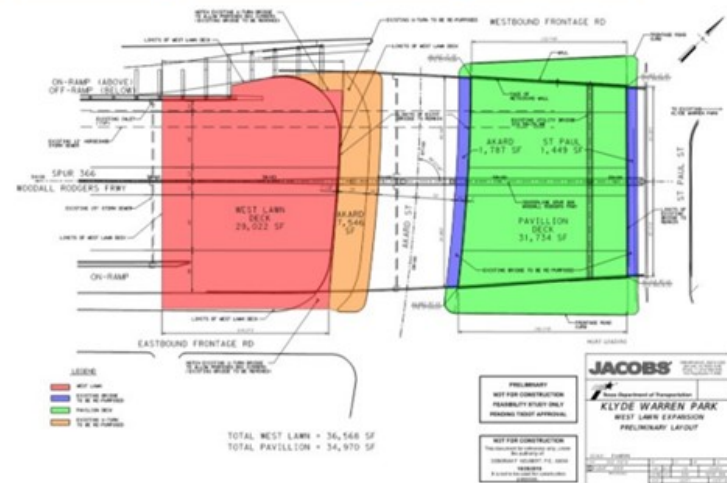
## Scope of Work:

Construction of Pavilion Deck / 2<sup>nd</sup> Level Plaza between  
St. Paul Street and Akard Street

Construction of West Lawn Deck west of Akard Street



Reconnecting Communities Pilot (RCP) Program







**North Central Texas Council of Governments**

*Item 6*

*Exhibit: 2022-10-06-TR*

Meeting Date: October 27, 2022

Submitted By: Michael Morris, P.E.  
Director of Transportation

Item Title: Resolution Endorsing the 2022 Update of Access North Texas, the Coordinated Public Transit-Human Services Transportation Plan for North Central Texas

The Federal Transit Administration's Enhanced Mobility of Seniors and Individuals with Disabilities Program, as well as State coordinated planning requirements, require the development of a public transit-human services transportation plan and an update every four years. The Texas Department of Transportation (TxDOT) designated the North Central Texas Council of Governments (NCTCOG) as the lead agency to develop this plan, called Access North Texas, for the 16-county North Central Texas region. Subtask 3.04 of the FY2022 and FY2023 Unified Planning Work Program (UPWP) includes this update to Access North Texas.

NCTCOG staff recently updated Access North Texas through an inclusive planning process that included participation by the public, transit riders, transit agencies, non-profit organizations, health and human service agencies, educational institutions, elected officials, and local government staff. This plan identifies the transportation needs of older adults, individuals with disabilities, individuals with lower incomes, and others with transportation challenges. Access North Texas focuses on regional public transportation goals with county-based strategies that local governments may use to integrate into transit planning and service projects to address the needs of transit dependent populations. The plan also serves as a guide for projects funded through the Federal Transit Administration's Enhanced Mobility of Seniors and Individuals with Disabilities program. The Regional Transportation Council approved the plan on October 13, 2022 and is available at [www.accessnorthtexas.org](http://www.accessnorthtexas.org).

A draft resolution endorsing Access North Texas is attached for Executive Board consideration. I will provide a brief presentation on this item and will be available to answer any questions prior to requesting Board endorsement. Upon endorsement, Access North Texas will be transmitted to TxDOT and other partner agencies.

EP/GG: tmb  
Attachment

**RESOLUTION ENDORSING THE 2022 UPDATE OF ACCESS NORTH TEXAS, THE  
COORDINATED PUBLIC TRANSIT-HUMAN SERVICES TRANSPORTATION PLAN FOR  
NORTH CENTRAL TEXAS**

**WHEREAS**, the North Central Texas Council of Governments (NCTCOG) is a Texas political subdivision and non-profit corporation organized and operating under Texas Local Government Code Chapter 391 as the regional planning commission for the 16-county North Central Texas region; and,

**WHEREAS**, NCTCOG is a voluntary association of, by and for local governments established to assist in planning for common needs, cooperating for mutual benefit, and coordinating for sound regional development; and,

**WHEREAS**, NCTCOG has been designated as the Metropolitan Planning Organization (MPO) for the Dallas-Fort Worth Metropolitan Area by the Governor of the State of Texas in accordance with federal law; and,

**WHEREAS**, the Regional Transportation Council (RTC), comprised primarily of local elected officials, is the regional transportation policy body associated with NCTCOG, and has been and continues to be a forum for cooperative decisions on transportation; and,

**WHEREAS**, the Dallas-Fort Worth region is in nonattainment of the federal air quality standard for ozone and NCTCOG is actively involved in the development and implementation of the State Implementation Plan for air quality; and

**WHEREAS**, Chapter 461 of the Texas Transportation Code requires the development of a regional plan to coordinate public transportation services funded with federal, State, or local funds; and,

**WHEREAS**, the North Central Texas Council of Governments was designated as the lead agency to develop the coordinated public transit-human services transportation plan for the 16-county North Central Texas region; and,

**WHEREAS**, Subtask 3.04 of the FY2022 and FY2023 Unified Planning Work Program includes public transportation planning and management activities; and,

**WHEREAS**, Access North Texas is locally developed and approved through a process that included participation by the public, transit riders, transit agencies, non-profit organizations, health and human service agencies, educational institutions, elected officials, and local government staff; and,

**WHEREAS**, Access North Texas was approved by the Regional Transportation Council on October 13, 2022.

**NOW, THEREFORE, BE IT HEREBY RESOLVED THAT:**

**Section 1.** The North Central Texas Council of Governments Executive Board endorses the 2022 update of Access North Texas.

**Section 2.** This resolution will be included in the final documentation of the 2022 update of Access North Texas.

**Section 3.**     Access North Texas will be transmitted to the Texas Department of Transportation and partner agencies.

**Section 4.**     This resolution shall be in effect immediately upon its adoption.

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Andrew Piel, President  
North Central Texas Council of Governments  
Councilmember, City of Arlington

I hereby certify that this resolution was adopted by the Executive Board of the North Central Texas Council of Governments on October 27, 2022.

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Chris Hill, Secretary/Treasurer  
North Central Texas Council of Governments  
County Judge, Collin County



**North Central Texas Council of Governments**

*Item 7*

*Exhibit: 2022-10-07-TR*

Meeting Date: October 27, 2022

Submitted By: Michael Morris, P.E.  
Director of Transportation

Item Title: Resolution Authorizing a Memorandum of Understanding with Gas Technology Institute to Participate in the Texas and Gulf Coast Hydrogen Hub Consortium

The Bipartisan Infrastructure Law (BIL) authorizes the Department of Energy to invest \$8 billion to establish at least four regional clean hydrogen hubs nationwide. Hubs are to reflect diversity in geographic location, the feedstock from which hydrogen is produced, and the end use of the hydrogen produced. At least one hub should demonstrate end-use of hydrogen in the transportation sector. The Center for Houston's Future has coordinated stakeholder engagement on the topic of regional hydrogen hub development since the BIL was passed.

In conjunction with development of the Interstate 45 Corridor Zero-Emission Vehicle Infrastructure Plan, North Central Council of Governments (NCTCOG) staff has taken part in these regional hydrogen hub conversations and developed a network of connections related to this industry. Led by Gas Technology Institute, the NCTCOG has been invited to participate in a Gulf Coast Hydrogen Consortium as a non-financial Government/Civic Advisory stakeholder in the Consortium to lend public sector experience and leadership. This effort will grow clean hydrogen as a viable alternative transportation fuel for zero emission vehicles to combat our two existing ozone nonattainment designations and other air pollution challenges. At its October 13, 2022, meeting, the Regional Transportation Council recommended Executive Board approval for NCTCOG to enter into a Memorandum of Understanding with Gas Technology Institute to participate in the Texas and Gulf Coast Hydrogen Hub Consortium. This MOU does not preclude NCTCOG from participating in other Hydrogen Hub consortia.

A draft resolution authorizing a Memorandum of Understanding with Gas Technology Institute is attached for Executive Board consideration. I will provide a brief presentation on this item and will be available to answer any questions prior to requesting Board approval.

CK:cg  
Attachment

**RESOLUTION AUTHORIZING A MEMORANDUM OF UNDERSTANDING WITH GAS  
TECHNOLOGY INSTITUTE TO PARTICIPATE IN THE TEXAS AND GULF COAST  
HYDROGEN HUB CONSORTIUM**

**WHEREAS**, the North Central Texas Council of Governments (NCTCOG) is a Texas political subdivision and non-profit corporation organized and operating under Texas Local Government Code Chapter 391 as the regional planning commission for the 16-county North Central Texas region; and,

**WHEREAS**, NCTCOG is a voluntary association of, by and for local governments established to assist in planning for common needs, cooperating for mutual benefit, and coordinating for sound regional development; and,

**WHEREAS**, NCTCOG has been designated as the Metropolitan Planning Organization (MPO) for the Dallas-Fort Worth Metropolitan Area by the Governor of the State of Texas in accordance with federal law; and,

**WHEREAS**, the Regional Transportation Council (RTC), comprised primarily of local elected officials, is the regional transportation policy body associated with NCTCOG, and has been and continues to be a forum for cooperative decisions on transportation; and,

**WHEREAS**, the Dallas-Fort Worth region is in nonattainment of the federal air quality standard for ozone and NCTCOG is actively involved in the development and implementation of the State Implementation Plan for air quality; and,

**WHEREAS**, the RTC is committed to the development and implementation of policies, projects, and programs to improve air quality and reduce emissions that create ozone; and,

**WHEREAS**, Subtask 3.03 of the Fiscal Year (FY) 2022 and FY2023 Unified Planning Work Program for Regional Transportation Planning includes implementation of air quality initiatives; and,

**WHEREAS**, traditional internal combustion diesel engines are a significant source of nitrogen oxide emissions that lead to the formation of noncompliant ozone levels; and,

**WHEREAS**, clean hydrogen is considered to be the fuel alternative over diesel in the path to Zero Emission Vehicles; and,

**WHEREAS**, on October 13, 2022, the RTC recommended Executive Board approval for NCTCOG to enter into a Memorandum of Understanding with Gas Technology Institute to participate in the Texas and Gulf Coast Hydrogen Hub Consortium as a non-financial Government/Civic Advisory stakeholder.

**NOW, THEREFORE, BE IT HEREBY RESOLVED THAT:**

**Section 1.** A Memorandum of Understanding with Gas Technology Institute for participation in the Texas and Gulf Coast Hydrogen Hub Consortium as a non-financial Government/Civic Advisory stakeholder be and is hereby approved.

**Section 2.** The Executive Director or designee is authorized to execute a Memorandum of Understanding with Gas Technology Institute in the name of the North Central Texas Council of Governments to carry out the initiatives described herein.

**Section 3.** This resolution shall be in effect immediately upon its adoption.

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Andrew Piel, President  
North Central Texas Council of Governments  
Councilmember, City of Arlington

I hereby certify that this resolution was adopted by the Executive Board of the North Central Texas Council of Governments on October 27, 2022.

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Chris Hill, Secretary/Treasurer  
North Central Texas Council of Governments  
County Judge, Collin County



**North Central Texas Council of Governments**

*Item 8*

*Exhibit: 2022-10-08-TR*

Meeting Date: October 27, 2022

Submitted By: Michael Morris, P.E.  
Director of Transportation

Item Title: Resolution Authorizing Amendment to Increase Contract Budget with Lea + Elliott, Inc. to Complete Performance Guidelines for an Automated Transportation System

On April 22, 2021, the Executive Board approved the execution of a contract between the North Central Texas Council of Governments (NCTCOG) and Lea + Elliott, Inc. for the development of performance guidelines for an automated transportation system, in an amount not to exceed \$850,000. These guidelines would then be used to shape future engineering procurements for real-world freight- and people-movement use cases for these automated transportation systems. The elements of the performance guidelines are focused on high-level specifications for coupling innovative modular infrastructure and automated vehicles.

NCTCOG staff and Lea + Elliott, Inc. expanded early development activities to encompass the rapidly evolving markets of vehicle, charging, and infrastructure technology. In light of this extra effort, staff recommends Lea + Elliott, Inc.'s contract budget be increased and extended in duration to complete the final component of the study and provide final reporting documents.

A draft resolution authorizing an amendment to the consultant contract with Lea + Elliott, Inc. to add \$198,319 to the original not to exceed amount of \$850,000 for a new total contract amount not to exceed \$1,048,319 (\$1,048,319 Surface Transportation Block Grant Program funds with 209,663 Regional Transportation Development Credits in lieu of local match) is attached for Executive Board consideration. Lea + Elliott, Inc. is committed to meet a minimum of 31.3 percent for Disadvantaged Business Enterprise participation goals for this contract. I will provide a brief presentation on this item and will be available to answer any questions prior to requesting Board approval.

MB:  
Attachment

**RESOLUTION AUTHORIZING AMENDMENT TO INCREASE CONTRACT BUDGET WITH  
LEA + ELLIOTT, INC. TO COMPLETE PERFORMANCE GUIDELINES FOR AN  
AUTOMATED TRANSPORTATION SYSTEM**

**WHEREAS**, the North Central Texas Council of Governments (NCTCOG) is a Texas political subdivision and non-profit corporation organized and operating under Texas Local Government Code Chapter 391 as the regional planning commission for the 16-county North Central Texas region; and,

**WHEREAS**, NCTCOG is a voluntary association of, by and for local governments established to assist in planning for common needs, cooperating for mutual benefit, and coordinating for sound regional development; and,

**WHEREAS**, NCTCOG has been designated as the Metropolitan Planning Organization (MPO) for the Dallas-Fort Worth Metropolitan Area by the Governor of the State of Texas in accordance with federal law; and,

**WHEREAS**, the Regional Transportation Council (RTC), comprised primarily of local elected officials, is the regional transportation policy body associated with NCTCOG, and has been and continues to be a forum for cooperative decisions on transportation; and,

**WHEREAS**, the Dallas-Fort Worth region is in nonattainment of the federal air quality standard for ozone and NCTCOG is actively involved in the development and implementation of the State Implementation Plan for air quality; and,

**WHEREAS**, in April 2014 and April 2019, the Regional Transportation Council authorized the programming of Surface Transportation Block Grant Program funds to support a people-mover test track, also known as an automated transportation system; and,

**WHEREAS**, in May 2014 and November 2020, the Executive Board authorized the receipt of Surface Transportation Block Grant Program funds for a people-mover test track, also known as an automated transportation system; and,

**WHEREAS**, the FY2022 and FY2023 Unified Planning Work Program Subtask 5.01 includes engineering design efforts for people-mover systems, also known as automated transportation systems; and,

**WHEREAS**, NCTCOG initiated a Request for Proposals to hire a consultant for Automated Transportation System Development services, including performance guidelines in freight- and people-mover environments; and,

**WHEREAS**, the Executive Board authorized NCTCOG to enter into a contract with Lea + Elliott, Inc. on April 22, 2021 and a contract was executed on June 29, 2021 to develop performance guidelines for an automated transportation system; and,

**WHEREAS**, Lea + Elliott, Inc. needs additional funds and time to complete the required study per the contract and maintain the targeted Disadvantaged Business Enterprise (DBE) participation rate per the contract.



**NOW, THEREFORE, BE IT HEREBY RESOLVED THAT:**

- Section 1.** An amendment to the contract between NCTCOG and Lea+Elliott, Inc. to increase the budget by \$198,319, for a total amount not to exceed of \$1,048,319 (\$1,048,319 Surface Transportation Block Grant Program funds with 209,663 Regional Transportation Development Credits in lieu of local match) be and is hereby approved.
- Section 2.** The Executive Director or designee is authorized to execute a contract amendment with Lea+Elliott, Inc. in the name of the North Central Texas Council of Governments as described herein.
- Section 3.** This resolution shall be in effect immediately upon its adoption.

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Andrew Piel, President  
North Central Texas Council of Governments  
Councilmember, City of Arlington

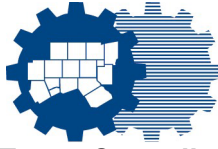
I hereby certify that this resolution was adopted by the Executive Board of the North Central Texas Council of Governments on October 27, 2022.

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Chris Hill, Secretary/Treasurer  
North Central Texas Council of Governments  
County Judge, Collin County

**June 2022 - May 2023**

<b>Attendance Code:</b>	P=Present	A=Absent	NM=No meeting	*Check previous the posted attendance sheet for that month
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**North Central Texas Council of Governments**

**2022 - 2023 NCTCOG Executive Board Calendar**

Regular Meetings start at **12:30 pm** unless otherwise posted  
Meeting Location: CenterPoint II, 616 Six Flags Drive, Arlington, Texas

<b>November 17, 2022</b>	Executive Board Meeting – NCTCOG Offices
<b>December 15, 2022</b>	<i>Cancelled</i>
<b>January 26, 2023</b>	Executive Board Meeting – NCTCOG Offices
<b>February 23, 2023</b>	Executive Board Meeting – NCTCOG Offices
<b>March 23, 2023</b>	Executive Board Meeting – NCTCOG Offices
<b>April 27, 2023</b>	Executive Board Meeting – NCTCOG Offices
<b>May 25, 2023</b>	Executive Board Meeting – NCTCOG Offices
<b>June 22, 2023</b>	Executive Board Meeting – NCTCOG Offices
<b>June 30, 2023</b>	<i>GENERAL ASSEMBLY</i>
<b>July 27, 2023</b>	Executive Board Meeting & NCTCOG Foundation Meeting – NCTCOG Offices
<b>August 24, 2023</b>	Executive Board Meeting – NCTCOG Offices
<b>September 28, 2023</b>	Executive Board Meeting – NCTCOG Offices
<b>October 26, 2023</b>	Executive Board Meeting – NCTCOG Offices
<b>November 16, 2023</b>	Executive Board Meeting – NCTCOG Offices
<b>December 21, 2023</b>	Executive Board Meeting – NCTCOG Offices