Tampering & Aftermarket Defeat Devices

Evan Belser
Associate Director
Air Enforcement Division
United States Environmental Protection Agency
(202) 564-6850, belser.evan@epa.gov

Presentation with North Central Texas Council of Governments
November 2019
The Problem of Tampering & Aftermarket Defeat Devices

They cause **excess emissions** of NOx, PM and other pollutants.

They are **prevalent nationwide**. Contributing factors:

- tightened emission standards;
- steady demand from vehicle and engine owners who want to remove emissions controls (often for the purposes of increasing performance or avoiding maintenance costs);
- technological advancement of aftermarket electronic devices; and
- many who profit from manufacturing, selling, and installing aftermarket defeat devices.
NOx Emission Standards and Controls – Diesel Trucks

Tier Zero

Engine-Out Emission Control (calibration and hardware improvements)

Tier 2 and Tier 3

DOC, EGR, DPF, SCR OBDII

Tier Zero

1985 1988

Tier 2 and Tier 3

DOC, EGR, DPF OBDII

2004 2007 2010 Today

NOx Standards (g/mi or g/bhp-hr)

Source: https://nepis.epa.gov/Exe/ZyPDF.cgi?Dockey=P1000A01.pdf
NOx Emissions Increases Due to Full Delete

**Tier Zero**

- Engine Out Emission Control (calibration and hardware improvements)

**Tier 2 and Tier 3**

- DOC, EGR, OBD
- DOC, EGR, DPF, OBDII
- DOC, EGR, DPF, SCR, OBDII

NOx Standards (g/mi or g/bhp-hr)

- 1985
- 1988
- 2004
- 2007
- 2010
- Today
EPA Tuner Emissions Tests
2011 Ford F-250 6.7 Diesel Powerstroke

- First test: stock
- Second and Third test: EGR electronically disabled by tuner. DOC, DPF, and SCR replaced with straight pipe and disabled by tuner in calibration.
- Fourth Test: EGR not disabled electronically by tuner. DOC, DPF, and SCR replaced with straight pipe and disabled by tuner in calibration.
Emissions Increase Due to Full Delete

These test results show the increase in NOx, NMHC, CO, and PM when a tuner enables the full removal of emissions controls (i.e., “a full delete”). These tests were conducted without the SCR, DPF, DOC, and EGR emission controls.

- NOx increased ~310x
- NMHC increased ~1,140x
- CO increased ~120x
- PM increased ~40x
Nationwide?

- Hard to say
- Evidence from recent EPA investigations concerning diesel pickup trucks (“trucks”) show cause for concern → →
- This is only the tampering the EPA has identified during recent investigations concerning diesel pickup trucks and is not an estimate of nationwide tampering rates.

- 500,000+ trucks deleted since 2009. That’s 13% of all registered trucks that were originally certified with filters, catalysts or EGR.
- 500,000+ tons of excess NOx.
- Air quality impact equivalent to adding 9 million trucks to our roads.
It’s not just pickup trucks . . .

- EPA investigations find tampering and aftermarket defeat devices for heavy-duty trucks, light-duty cars, agriculture equipment, forestry equipment, construction equipment, and more.
- Like diesel pickup trucks, tampering takes these vehicles and engines from today’s standards back to “tier zero.”
Types of Defeat Devices:

Exhaust Gas Recirculation
Delete Hardware

Includes:
- EGR block off plates
- EGR cooler deletes

Calibration modification typically required
- Disable OBD diagnostics
- Disable EGR operation

Tampering & Aftermarket Defeat Devices, USA EPA, September 2019
Types of Defeat Devices:
Exhaust Aftertreatment
Delete Pipes

- “Straight” pipes, “Turbo back” pipes or “Downpipe back” pipes.
- Replaces stock exhaust system, including the necessary filters and catalysts.
- Calibration modification typically required to disable OBD diagnostics, sensors and other operations.

Tampering & Aftermarket Defeat Devices, USA EPA, September 2019
Types of Defeat Devices:

**Tuners and Tunes**

- Tuning = Hacking into and modifying software and calibration file(s)
- Typically installed using a “tuner” through the vehicle’s data link connector
- Tuning is required to
  - make engine operate after removal of EGR, filters and catalysts.
  - prevent OBD from activating the check engine light and/or limp mode
- Even with EGR, filters and catalysts intact, tuning can substantially increase tailpipe emissions of NOx
Clean Air Act **Civil** Prohibitions: Defeat Devices

The following acts and the causing thereof are prohibited –

- For any person to manufacture or sell, or offer to sell, or install, a part or component for a motor vehicle, where
  - A principle effect of the part or component is to bypass, defeat, or render inoperative any emission control device, and
  - The person knows or should know that such part or component is being offered for sale or installed for such use or put to such use.

The following acts and the causing thereof are prohibited –

- For anyone to remove or render inoperative an emission control component on a certified motor vehicle or engine prior to sale or delivery to ultimate purchaser, or
- For anyone to knowingly remove or render inoperative any emission control component on a certified motor vehicle or engine after sale and delivery to the ultimate purchaser.

Clean Air Act Criminal Prohibitions

It is a crime to knowingly falsify, tamper with, render inaccurate, or fail to install any “monitoring device or method” required under the CAA.

CAA § 113(c)(2)(C), 42 U.S.C. § 7413(c)(2)(C).

Vehicle Onboard Diagnostics (OBD) are a “monitoring device or method” required by the CAA.
Criminal Enforcement Results

Department of Justice
U.S. Attorney’s Office
Southern District of California

FOR IMMEDIATE RELEASE
Thursday, April 11, 2019

San Marcos Companies and Executives Charged with Tampering with Emission Control Devices on Diesel Truck Fleet

Assistant U.S. Attorney Melanie K. Pierson (619) 546-7976

NEWS RELEASE SUMMARY – April 11, 2019

SAN DIEGO – A federal grand jury in San Diego today returned a six-count indictment charging three San Marcos companies, two managers and a technician with various felonies related to tampering with emission control devices on heavy-duty diesel trucks.

Diamond Environmental Services LP, Diamond Maintenance Services, LLC and Diamond Solid Waste, Inc. (collectively “Diamond”) of San Marcos, California, plus owner and manager Arie Eric De Jong III, manager Warren Van Dam and technician Jorge Leyva Rodriguez of ECM Diesel Programming were charged with conspiring to manipulate the electronic control module (ECM) on Diamond’s fleet of heavy duty diesel trucks. The alleged manipulation was designed to disable the monitoring system that would
National Compliance Initiative 2020-2023: *Stopping Aftermarket Defeat Devices for Vehicles and Engines*

This NCI will focus on stopping the manufacture, sale, and installation of defeat devices on vehicles and engines used on public roads as well as on nonroad vehicles and engines.

This NCI aligns with the Agency Strategic Plan.

✓ “Improving Air Quality.” This NCI will directly address huge sources of excess NOx and PM which appear to contribute to ozone nonattainment.

✓ “Enhanced collaboration with state[s] . . . using the full range of compliance assurance tools.” EPA will engage with states to help get ahead of this problem.

✓ “Compliance with the Law . . . EPA will focus resources on direct implementation responsibilities and the most significant violations, . . . examples include the Clean Air Act mobile source program.”

*Tampering & Aftermarket Defeat Devices, USA EPA, September 2019*
EPA is launching this NCI in response to calls from states.

“NACAA also offered that EPA should consider an additional NCI focused on compliance by mobile sources with applicable laws and regulations.”

(NACAA comment on NCIs)

“AAPCA members have previously expressed concern to U.S. EPA OECA about emission systems tampering... U.S. EPA OECA should work with air agencies to prioritize curtailing the manufacture and installation of these devices, particularly in areas that do not currently meet the NAAQS for fine particulate matter and ozone.”

(AAPCA comment on National Program Guidance)
EPA Enforcement Is Building off Ongoing Success

Since 2014:
✓ 40+ resolved civil cases
✓ Addressing over 1 million aftermarket defeat devices


Focus on supply side:
✓ National in scope, biggest impact
✓ Parts manufacturers, retailers, commercial fleets, tampering shops
Defeat Device Industry Overview

- Tuning Platform MFRs
- Custom Tuners
- EGR Delete Hardware MFRs
- Exhaust Delete Hardware MFRs
- Dealers Distributors
- Installation Shops
- End Users Fleets Vehicle Owner

Sales to End User for Self-Install

Install by Shop
EPA Compliance Assistance

Outreach and education are needed for awareness.

Compliance assistance largely based on EPA civil enforcement policy:

✓ enforcement discretion, not a regulation, and creates no legal obligations

✓ outlines steps companies may take to ensure they do not become subject to enforcement

✓ EPA does not preapprove aftermarket products
What drives demand?

Better power
Better Fuel Economy
Customization
“Rolling Coal”
Avoided downtime
Avoided maintenance

All at the expense of emissions

“The Livewire TS unlocks your vehicle’s hidden performance by recalibrating your vehicle’s computer for Maximum Horsepower & Torque, Increase Throttle Response, Firmer Shifts and even Increased Fuel Mileage.”
– Punch-It Performance Advertisement
States May Hold Key to Success: **Curtail Demand**

States can curtail the demand for tampering and aftermarket defeat devices

- Education and outreach
- Preventing registration of tampered vehicles
- Deterring vehicle dealers from buying tampered vehicles for resale
- Enforcement against those who tamper, including commercial fleets and maintenance shops
State Enforcement Authority

- Enforcement of the CAA prohibitions on tampering and aftermarket defeat devices is not delegated to the states.
- But many states have laws:
  - Prohibiting tampering
  - Prohibiting operating tampered vehicles
  - Prohibiting dealers from selling tampered vehicles
- Note preemption provisions and savings clause in CAA § 209
- Authority to inspect and investigate would be based in state law
- State enforcement in federal court? Little precedent, but potential avenues:
  - Action under CAA § 113 to enforce State Implementation Plan (provided the state law is incorporated into the SIP)
  - Citizen Suit under CAA § 304, to enforce SIP or prohibited acts in CAA § 203
Report Violations

✓ tampering@epa.gov
✓ EPA’s Report a Violation system:  
  https://www.epa.gov/enforcement/report-environmental-violations